

# Long-range Correlation Energy from Isotropically Damped Coupled Quantum Harmonic Oscillators

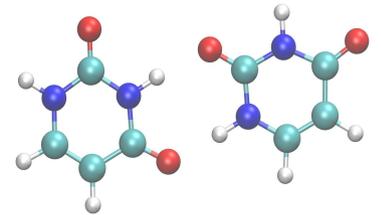
A. Ambrosetti, R. A. DiStasio Jr., A. Tkatchenko



Fritz-Haber-Institut der MPG  
- BERLIN -

# Ab Initio description of molecules and solids

Complex quantum mechanical Many-Body problem due to the e-e Coulomb interaction.

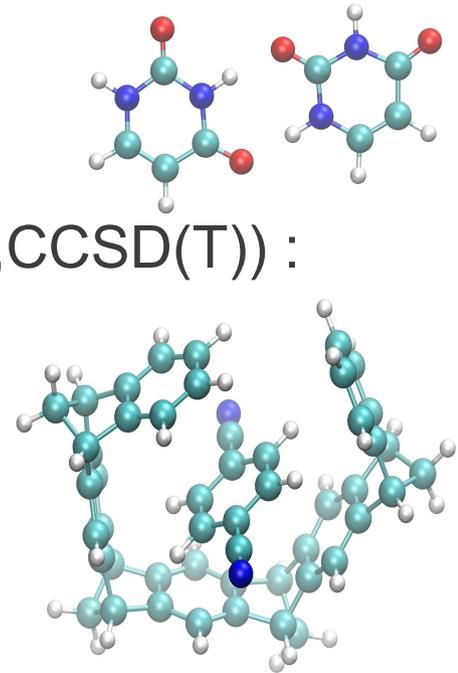


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Possible (highly accurate) approaches:

- High level correlated **Quantum Chemistry** methods (CI,CCSD(T)) :  
 $N^5$ - $N^7$  scalings ~ few dozens of atoms
  - **QMC** : very promising due to good scalability,  
 $N^3$  scaling ~ 100 atoms
- 

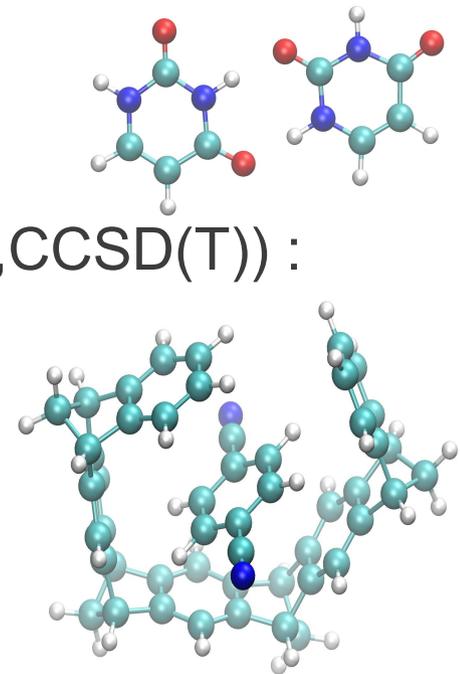


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Larger systems?

**BUT:** Molecular dynamics?

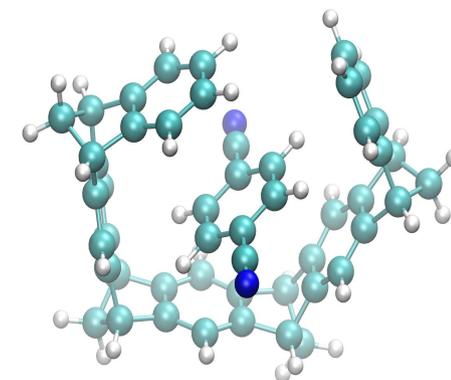
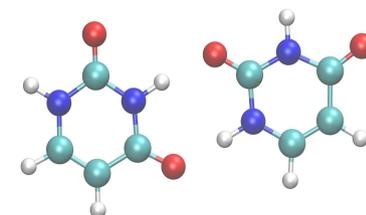
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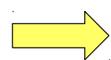
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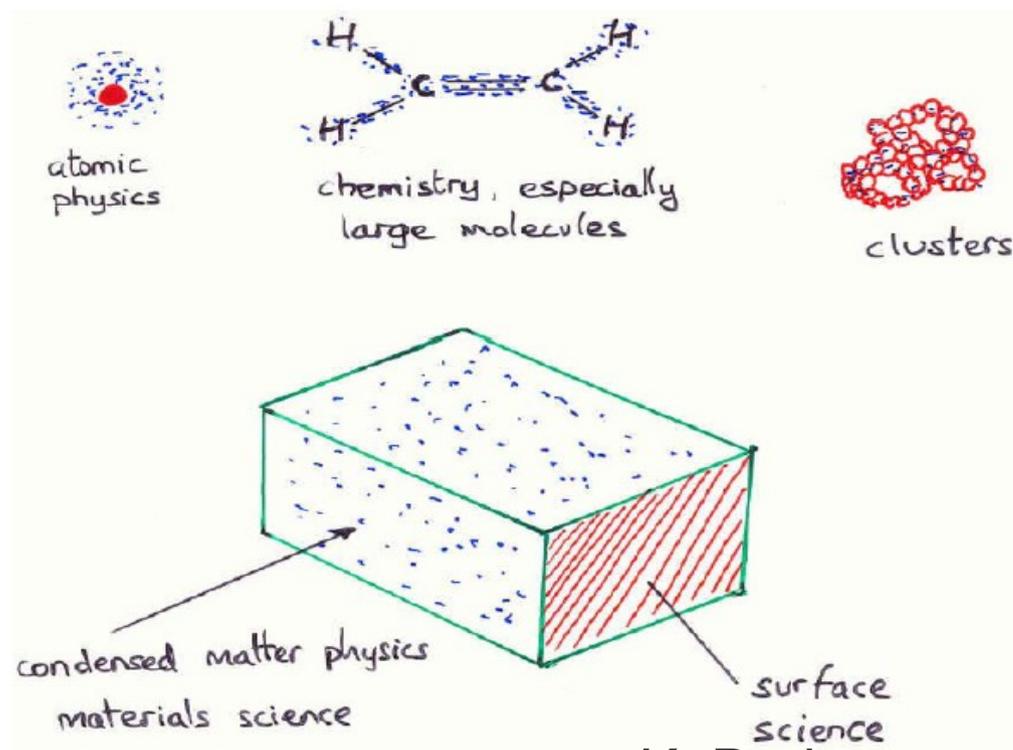
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**Efficient complementary method desirable**

# Density Functional Approximations

- Favorable ratio of accuracy to computational cost
- Limitations of widely used xc functionals: **self-interaction** error and lack of **long-range electron correlation** effects

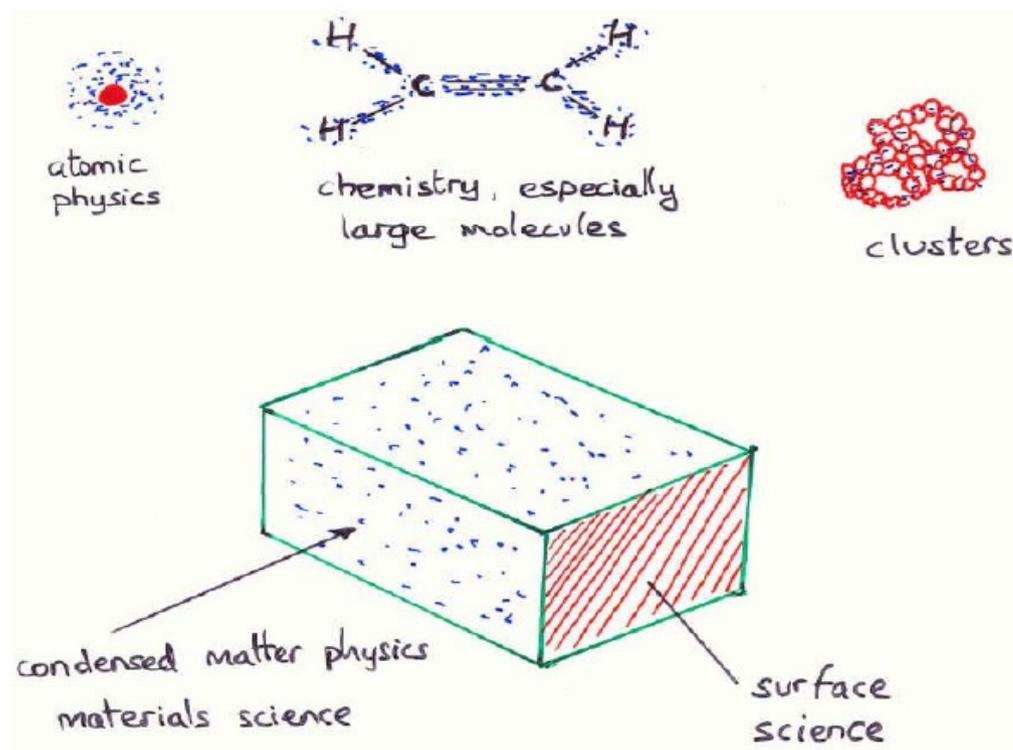


K. Burke

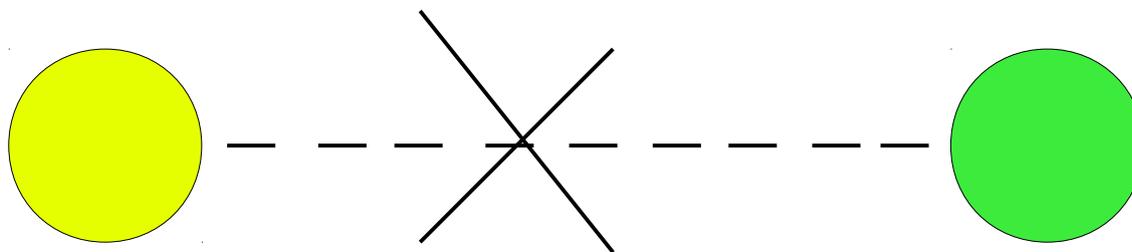
[JCP 136 150901 (2012)]

# Density Functional Approximations

- Favorable ratio of accuracy to computational cost
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Semi-local and hybrid functionals lack long range correlation for non-overlapping fragments



No interaction in absence of charge overlap

# Approaches to long range correlation

## vdW energy methods:

- Non local correlation functionals:

vdW-DF [PRL 92 246401 (2004)], VV10 [JCP 133 244103 (2010)]

- Effective core potentials

v. Lilienfeld et al. [PRL 93 153004 (2004)],

- Pairwise approaches

Grimme [JCP 132 154104 (2010)],

Silvestrelli [PRL 100 053002 (2008)], Johnson [JCP 123 124101 (2005)], TS [PRL 102 073005 (2009)],

....

- MBD [Tkatchenko et al. PRL 108 236402 (2012)]

$$\int d\mathbf{r}d\mathbf{r}'n(\mathbf{r})\phi(\mathbf{r},\mathbf{r}')n(\mathbf{r}')$$

$$E_{vdW} = -\frac{1}{2} \sum_{i,j} f_{damp}(R_{ij}) \frac{C_6^{ij}}{R_{ij}^6}$$

## Long range correlation methods:

- Range separation using long-range correlation from QC [Savin et al. PRA 70 062505 (2004)]

- Range separated RPA, ... [Toulouse et al. PRL 102 096404 (2012); de Gironcoli et al. PRB 79 205114 (2009); Paier et al. JCP 132 094103 (2010); Ren et al. PRL 106 153003 (2011); ...]

# vdW interaction

The vdW energy is a part of the correlation energy

$$E_c = -\frac{1}{2} \sum_i \sum_j \frac{C_6^{ij}}{R_{ij}^6} \quad C_6^{ij} = \frac{3}{\pi} \int_0^\infty d\omega \alpha_i(i\omega) \alpha_j(i\omega)$$

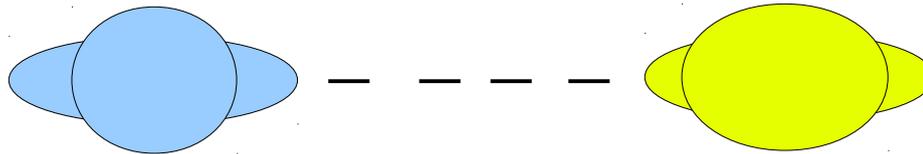


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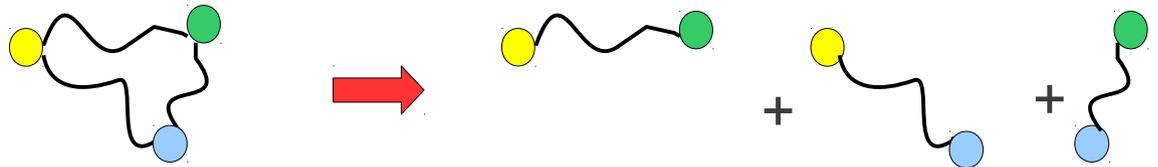
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## Intrinsic limitations:

- Additive expression

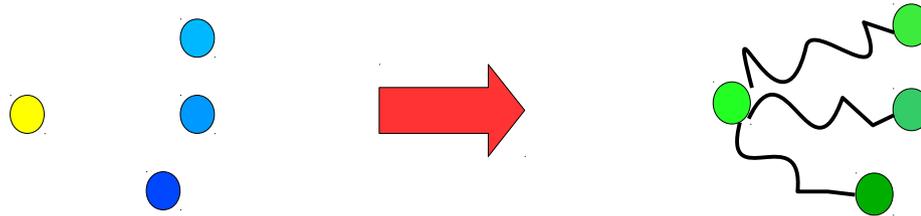


- Sum of pure 2 body terms - absence of Many Body effects



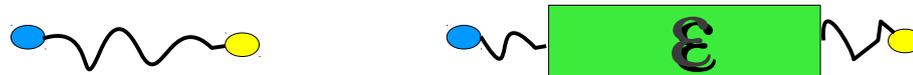
# Long range Many Body effects

The mutual interaction induces a “self consistent” modification of the polarizabilities.



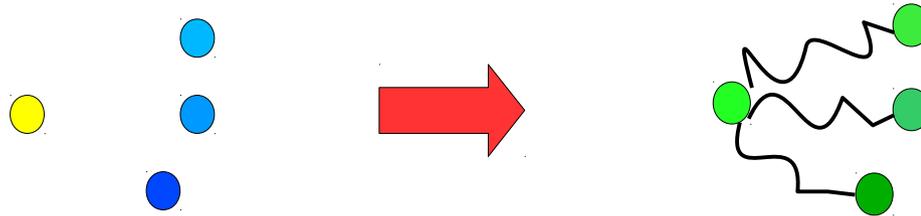
## Consequences:

- **Higher than pairwise** energy contributions (3,4,...,N-body terms) [Axilrod-Teller, JCP 11 299 (1943) ; Bade JCP 27 1280 (1957) ]
- **Screening effects:** modification of interaction due to the medium



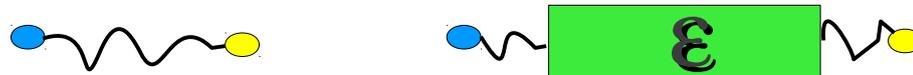
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MB effects are obtained from high order perturbation theory

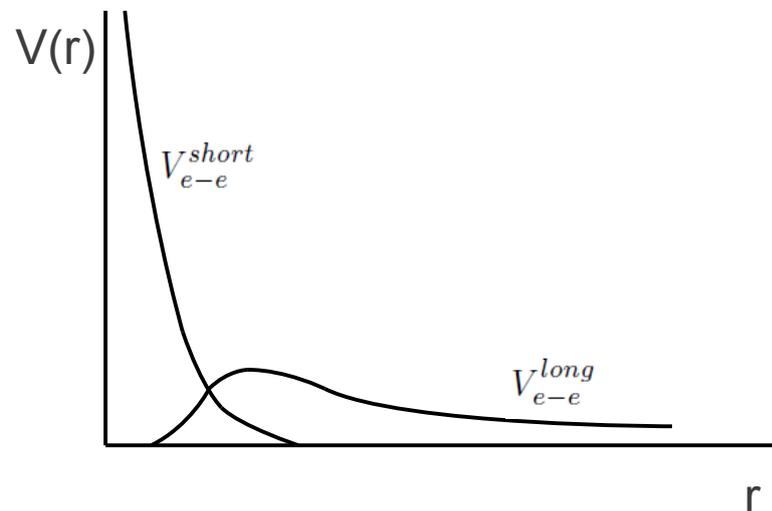
➔ **need to go beyond a 2<sup>nd</sup> order pairwise approach**

# Long-range correlation energy in DFT from range-separated Coulomb potential

## Separation of the e-e interaction into long and short range parts

[Savin et al. PRA 70, 062505 (2004); Paier et al. JCP 132 094103 (2010)]:

$$V_{e-e} = V_{e-e}^{short} + V_{e-e}^{long}$$



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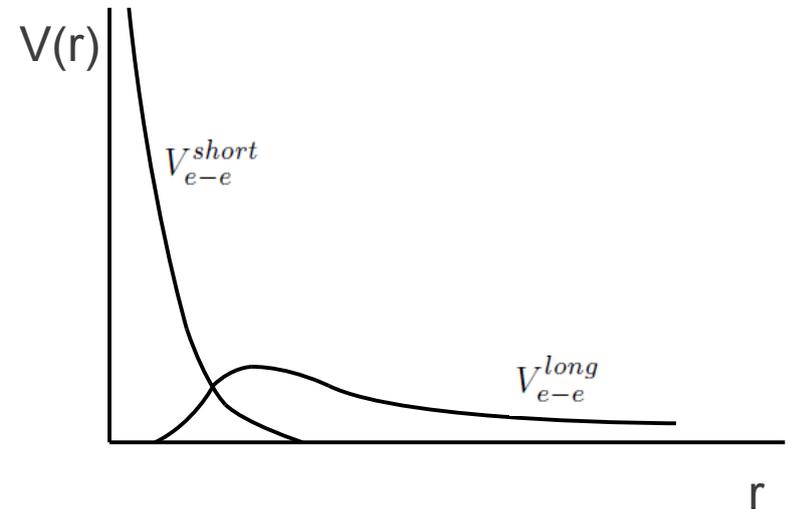
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$$E = E_{kin} + E_{el.stat.} + E_x + E_c$$

$$E_c \sim E_c^{short} + E_c^{long} \leftarrow \text{Contains VdW!}$$



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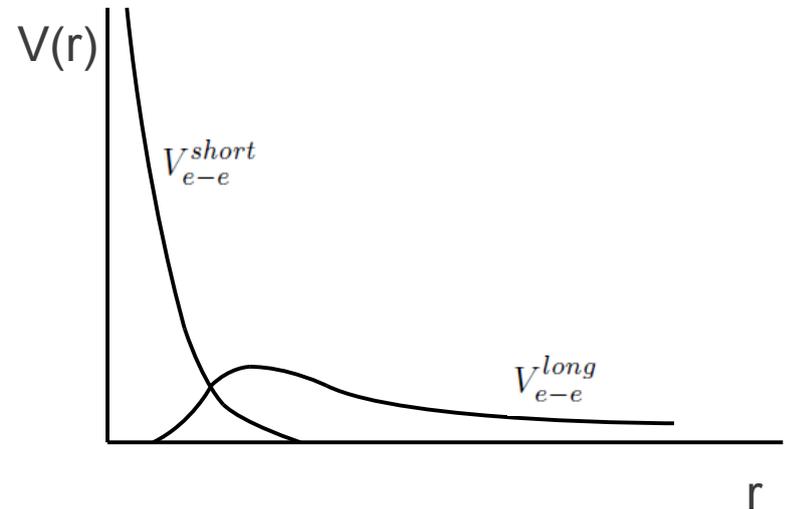
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$$E_c \sim E_c^{short} + E_c^{long} \quad \leftarrow \text{Contains VdW!}$$

$$E_{PBE} \sim E_{kin} + E_{el.stat.} + E_x + E_c^{short} \quad \rightarrow \quad \underline{E \sim E_{PBE} + E_c^{long}}$$



**Need accurate and efficient approximation for  $E_c^{long}$**

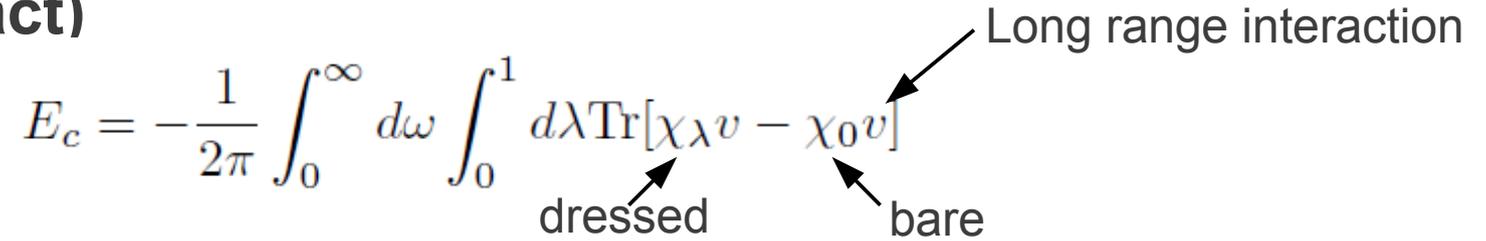
# How to compute the MB long range correlation energy?

**Adiabatic Connection Fluctuation-Dissipation formula  
(formally exact)**

$$E_c = -\frac{1}{2\pi} \int_0^\infty d\omega \int_0^1 d\lambda \text{Tr}[\chi_\lambda v - \chi_0 v]$$

dressed                      bare

Long range interaction



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- ACFD from single particle orbitals is complex and computationally expensive

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### Problems:

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  - ACFD from single particle orbitals is complex and computationally expensive
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### Approach:

- Only retain the **key ingredients**:

**1) Interaction**  $v$



**2) Response function**  $\chi_0$



- Take **appropriate approximations for the long range**

# Approximations for the long range

## 1) Interaction



**Dipole-dipole** approximation to **long range Coulomb** (at large distances higher multipoles decay faster)

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## 1) Interaction



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## 2) Response function



In dipole approx. only the **polarizability** is needed

→ we model it with **one QHO per atom**  
(finite gap systems)

Static polarizability

$$\alpha(i\omega) = \frac{\alpha_0}{1 + (\omega/\omega_0)^2}$$

QHO frequency



## MODEL HAMILTONIAN

# Long range correlation made simple using coupled QHOs

Effective hamiltonian for the computation of  $E_c^{long}$

$$H_{cQHO} = - \sum_p^N \frac{\nabla_{\xi_p}^2}{2} + \sum_p^N \frac{\omega_p^2 \xi_p^2}{2} + \sum_{p>q}^N \omega_p \omega_q \sqrt{\alpha_p \alpha_q} \xi_p T_{p,q} \xi_q$$

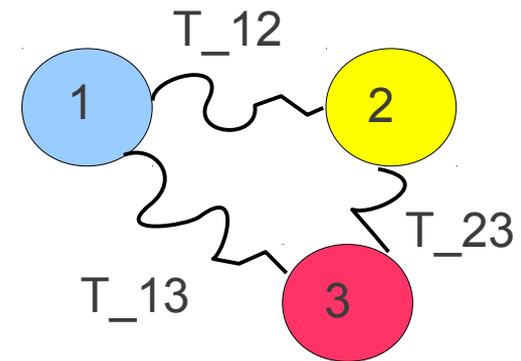
dipole interaction tensor

Coupled QHOs in dipole approximation centered on atoms

TS input polarizabilities

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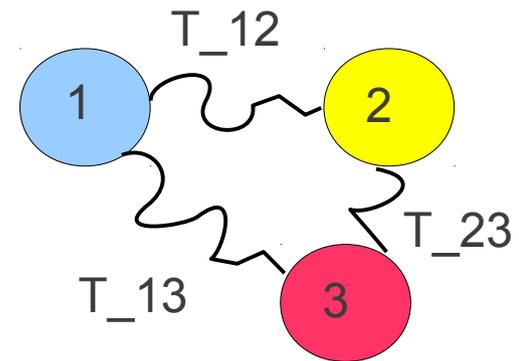
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Quadratic hamiltonian → exactly solvable

Interaction energy:

$$E_{c,QHO} = \frac{1}{2} \sum_p (\sqrt{\bar{\omega}_p^2} - \omega_p)$$

↖ Coupled  
← Uncoupled

# Which MB effects do we include through CFDM?

**cQHO is a MB hamiltonian** (due to interaction), and can be solved **exactly and efficiently** (single  $3N \times 3N$  matrix diagonalization)

**But:**

- Could we recover higher than pairwise contributions such as Axilrod-Teller-Muto from CFDM?
- Does CFDM provide screening?

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**What we proved : RPA is exact for the cQHO model**

[Tkatchenko, Ambrosetti, di Stasio JCP 138, 074106 (2013)]

—► **cQHO** naturally includes all RPA higher than pairwise and screening contributions



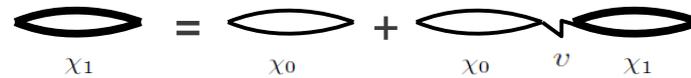
**Effective RPA long range correlation with single matrix diagonalization**

# RPA correlation energy for cQHO

The RPA correlation energy is obtained using in the ACFD formula the RPA dressed response function (summation of ring diagrams)

$$E_c^{RPA} = -\frac{1}{2\pi} \int_0^\infty d\omega \int_0^1 d\lambda \text{Tr} [\chi_\lambda^{RPA} v - \chi_0 v]$$

$$\chi_\lambda^{RPA} = \frac{\chi_0}{1 - \chi_0 v}$$

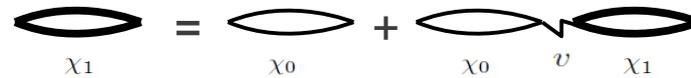


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RPA naturally contains:

**Good candidate for the MB correlation** ←

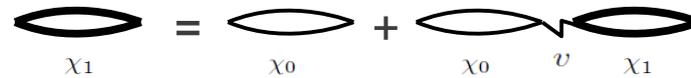
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- Axilrod-Teller-Muto (third order)
- Higher MB effects and long range screening

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After space integration (localized charge fragments) it is possible to substitute  $\chi_0 v$  with  $A_0 T$

$T$  : dipole-dipole interaction tensor

$A_0$  : diagonal matrix of the free QHO polarizabilities

**Efficient discrete matrix formulation**

# Exactness of RPA in the cQHO model

$$\chi_0 v \longrightarrow A_0 T$$

$$E_{\text{RPA}}^c = \frac{1}{2\pi} \int_0^\infty d\omega \text{Tr}[\ln(1 - \chi_0 v) + \cancel{\chi_0 v}]$$

No "self interaction"

$$\chi_\lambda^{\text{RPA}} = \frac{\chi_0}{1 - \chi_0 v}$$

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$$\alpha(i\omega) = \frac{\alpha_0}{1 + (\omega/\omega_0)^2}$$

$$E_{\text{RPA}}^{c\text{QHO}} = \frac{1}{2\pi} \sum_{p=1}^N \int_0^\infty d\omega \ln \left( \frac{\bar{\omega}_p^2 + \omega^2}{\omega_p^2 + \omega^2} \right)$$

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$\bar{\omega}_p$  : **dressed** QHO frequencies. Contribute with  $|\bar{\omega}_i|/2$  ← Coupled QHO energy  
 $\omega_p$  : **free** QHO frequencies. Contribute with  $-\omega_i/2$  ← Free QHO energy

↓

**Within the cQHO model the RPA correlation energy exactly corresponds to the total binding energy**

$$E_{c,c\text{QHO}}^{\text{RPA}} = E_{c\text{QHO}}^{\text{bind-exact}}$$

# Coupling to short-range DFT correlation

$V_{e-e}^{long}$  should be computed from the DFT electron density (ongoing)

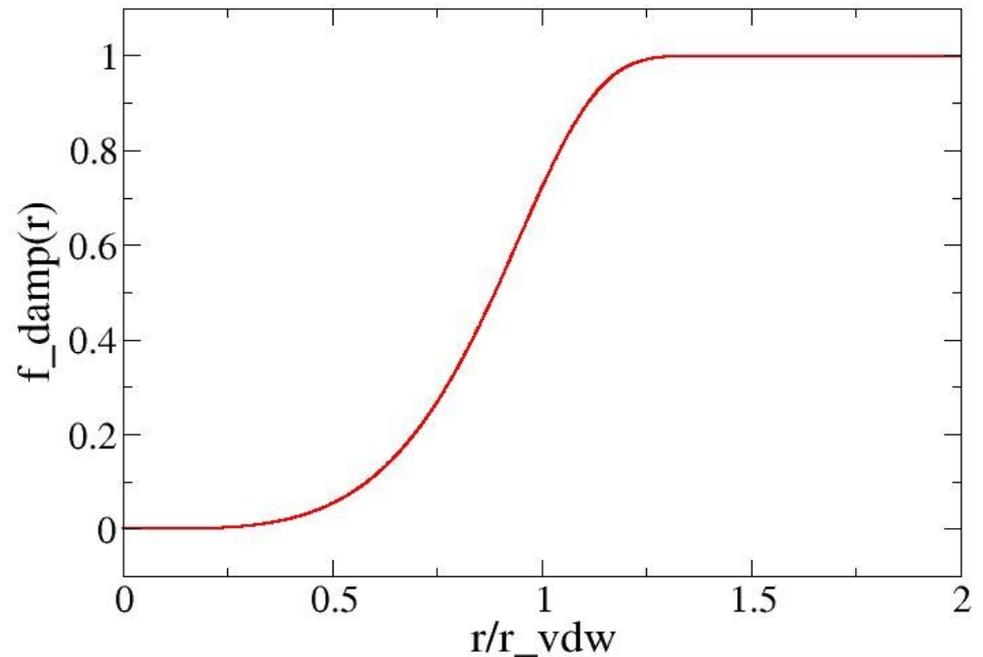
## Simplification:

Use an isotropic damping function

- Single parameter  $\beta$  obtained fitted over s66x7
- Weak variation of  $\beta$  from PBE to PBE0 (1.07 to 1.08)
- Possibility of using other functional forms for the damping - analogous performance

$$T_{ij}^{ab} = f_{damp}(r_{ij}) \frac{-3r_{ij}^a r_{ij}^b + r_{ij}^2 \delta_{ab}}{r_{ij}^5}$$

$$f_{damp}(r) = \text{erf} \left( (r / (\beta r_{vdW}))^4 \right)$$



$$E \sim E_{PBE} + E_{cQHO}^{bind-long}$$

# Polarizability screening - MBD

- In cQHO same screening for polarizability and energy
- Need to cut the energy “steeply” in order to avoid double countings of the DFT energy.
- Better screened polarizabilities are obtained from smoother range separation.

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➔ Good candidate: potential due to **gaussian-gaussian** charge distribution:

$$T_{ij}^{lm} = \partial_{\mathbf{r}_i^l} \partial_{\mathbf{r}_j^m} v_{gg}(|\mathbf{r}_i - \mathbf{r}_j|)$$

$$v_{gg}(|\mathbf{r}_i - \mathbf{r}_j|) = \frac{\text{erf}(|\mathbf{r}_i - \mathbf{r}_j|/\sigma_{ij})}{|\mathbf{r}_i - \mathbf{r}_j|}$$



Softer and consistent with QHO

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**MBD method** (Tkatchenko et al. PRL 2012): ↖ Softer and consistent with QHO

1) Classical electrodynamic “SCS” screening of TS polarizabilities

$$\alpha^{self-cons}(\mathbf{r}, i\omega) = \alpha^{free}(\mathbf{r}, i\omega) + \alpha^{free}(\mathbf{r}, i\omega) \int d\mathbf{r}' T(\mathbf{r} - \mathbf{r}') \alpha^{self-cons}(\mathbf{r}', i\omega)$$

2) Plug screened parameters in the cQHO hamiltonian to get the energy

# MBD – double screening

- MBD often improves wrt cQHO, **BUT** problems in highly anisotropic systems
- **Double screening:**

Both SCS and cQHO introduce RPA screening → double counting

$$\left( \text{bubble}_{\chi_1} = \text{bubble}_{\chi_0} + \text{bubble}_{\chi_0} \overset{v}{\text{bubble}}_{\chi_1} \right)^2$$

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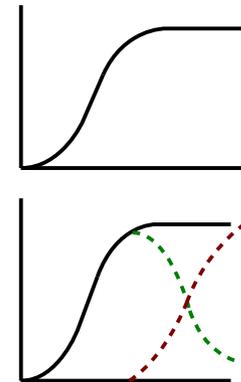
$$\left( \text{diagram with } \chi_1 \text{ and } \chi_0 \text{ labels} \right)^2$$

The diagram shows a self-energy loop equation: a large loop labeled  $\chi_1$  is equal to a smaller loop labeled  $\chi_0$  plus a diagram consisting of two  $\chi_0$  loops connected by a vertex labeled  $v$ .

## Solution:

- Start from gaussian-gaussian potential  $T_{ij}^{gauss,ab}$
- Range separate SCS and cQHO screenings:

$$T_{ij}^{gauss,ab} = T_{ij}^{short,ab} + T_{ij}^{long,ab}$$



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- **Double screening:**

Both SCS and cQHO introduce RPA screening → double counting

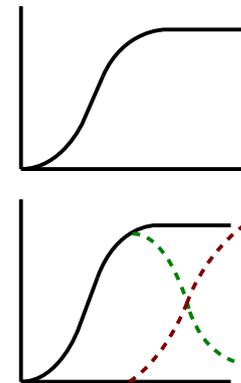
$$\left( \text{diagram with } \chi_1 \text{ and } \chi_0 \text{ and } v \right)^2$$

The diagram shows a self-energy loop diagram with two vertices labeled  $\chi_1$  and  $\chi_0$ , and a central interaction labeled  $v$ . The entire diagram is enclosed in large parentheses with a superscript 2, indicating a second-order perturbation theory calculation.

## Solution:

- Start from gaussian-gaussian potential  $T_{ij}^{gauss,ab}$
- Range separate SCS and cQHO screenings:

$$T_{ij}^{gauss,ab} = T_{ij}^{short,ab} + T_{ij}^{long,ab}$$



## dRFA method:

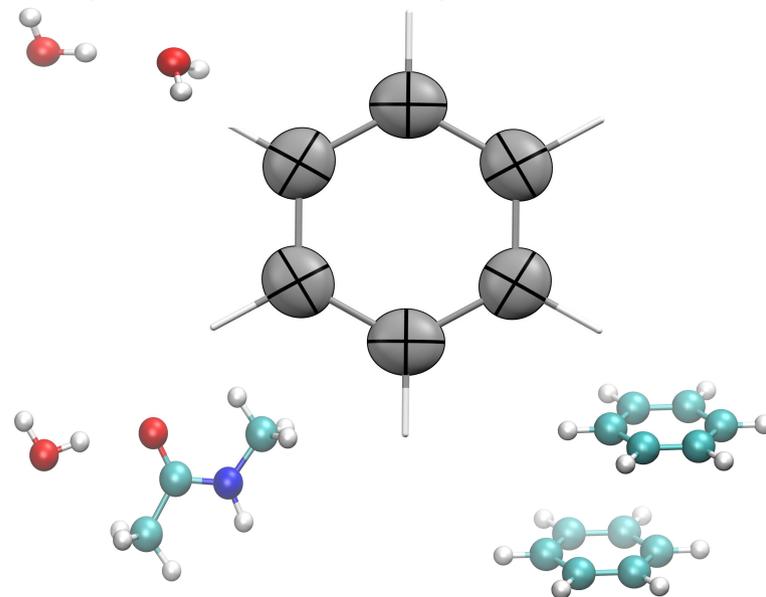
- 1) “Short range” SCS from TS polarizabilities
- 2) Plug screened parameters in “long range” cQHO and compute the energy

- **Soft polarizability screening** • **Avoids double counting** • **RPA at long range**

# Benchmarking

- **S66** database [ Hobza et al. JCTC 7, 2427 (2011) ] : **66 dimers** CCSD(T) reference energies - estimated accuracy ~ 2-3% (~ 0.2 Kcal/Mol)

	MARE	MAE (Kcal/mol)
PBE0+TS	9.7 %	0.40
PBE0+cQHO	8.2 %	0.38
PBE0+MBD	7.0 %	0.32
PBE0+dRFA	8.1 %	0.40
vdW-DF2	9.0 %	0.48
VV10	6.3 %	0.30
DFT-D3 (PBE)	-	0.46
DFT-D3 (revPBE)	-	0.32

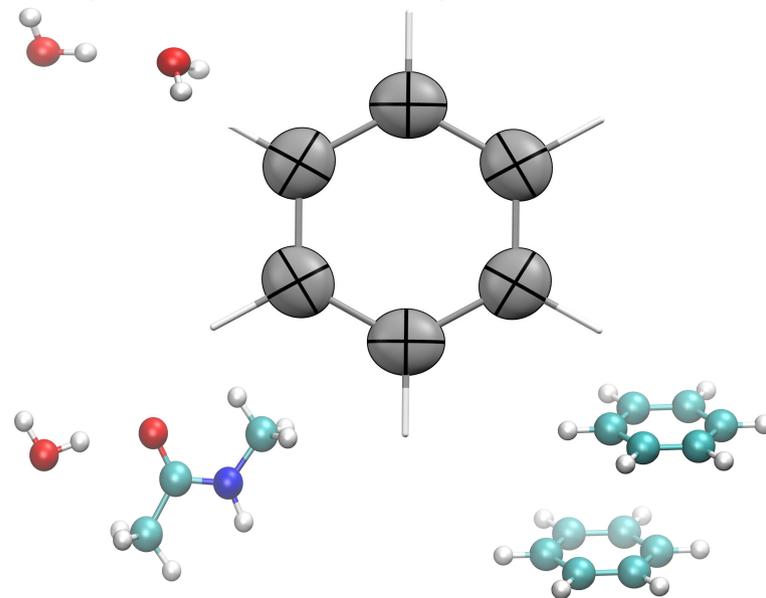


[Vydrov, van Voorhis JTCT 8 1929 (2012); Grimme et al. ChemPhysChem 2011, 12, 3421]

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- Graphite** (unbound with PBE0+MBD – meV/C atom)

	PBE0+TS	PBE0+cQHO	PBE0+dRFA	vdW-DF2	DFT-D3+E <sup>(3)</sup> PBE
	87	60	53	48	35
Exp		52±5			
QMC		56±5			

[ Graziano et al. J. Phys. Cond. Mat. 24 424216 (2012) ; Grimme et al. JCP 132 154104 (2010) ; Zacharia et al. PRB 69 155406 (2004) ; Sorella et al. PRL 103 196401 (2009) ]

# Beyond the dipole approximation: QMC

**cQHO is limited to dipole approximation**

→ No information about the influence of higher multipoles

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### **DMC - ideal approach:**

- **Bosonic** problem (QHOs distinguishable and singly occupied)
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→ direct connection to range separated DFT.

First tests suggest a **slight binding increase** (order ~10%) at mid range (4-6

A)



**very preliminar & qualitative**

### Still in Progress:

- Parametrization of QHO for higher response
- Coulomb range separation
- Study of overlap effects

# Conclusions

- **Range-separated** DFT + long-range correlation is a promising electronic structure method, beyond (semi)local approximations
- **Long-range correlation** can be **reliably** and **efficiently** computed by mapping KS electronic system to coupled QHOs
- **Accurate** results are obtained with **PBE(0)+dRFA** for a wide range of small and large molecules, as well as molecular crystals

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## Outlook

- Analytical derivation of interatomic forces
- Inclusion of **higher multipoles** through **DMC**
- Ongoing investigations on **metallic systems**