

Applications of *Ab Initio* Random Structure Searching

Richard Needs

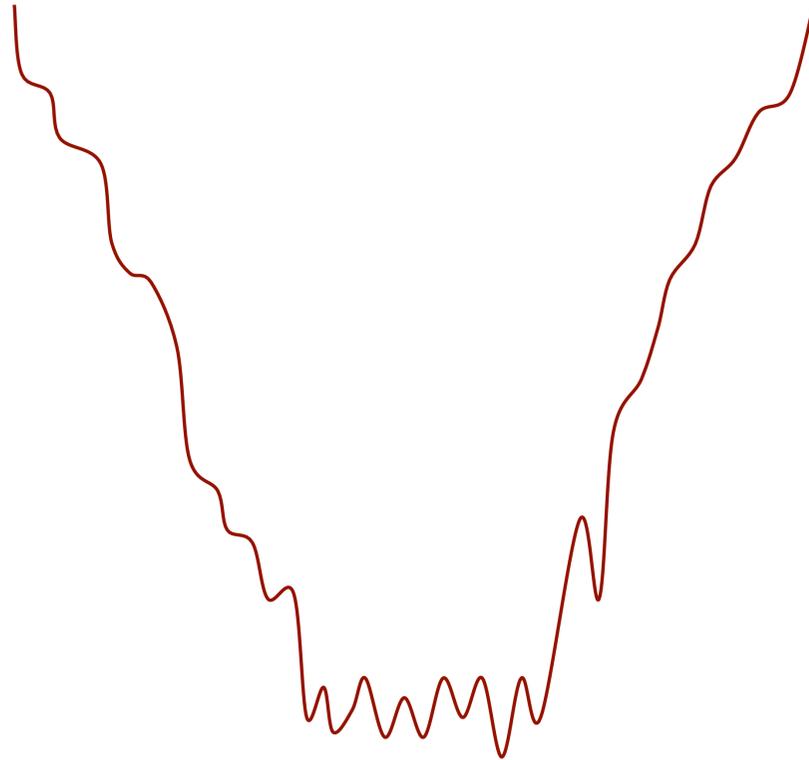
University of Cambridge, UK

Chris Pickard

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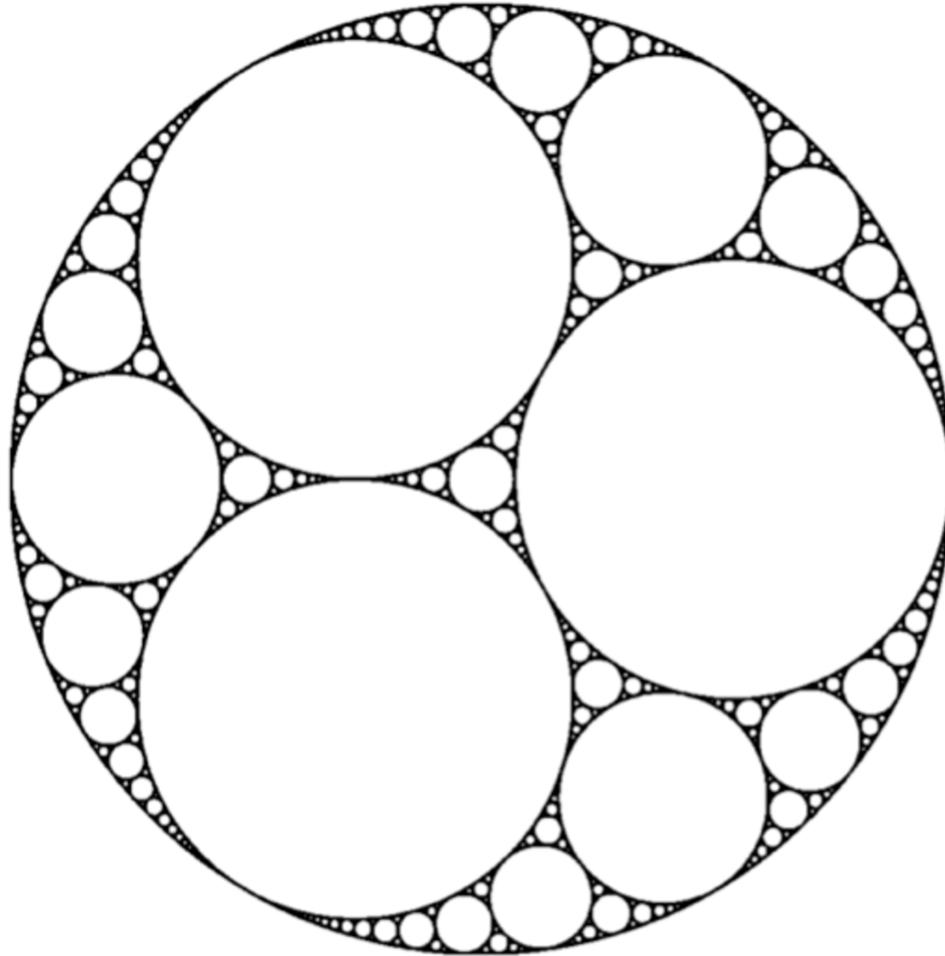
QMC in the Apuan Alps 2009, Vallico Sotto, Italy, 25 July – 1st August
2009

Energy landscape



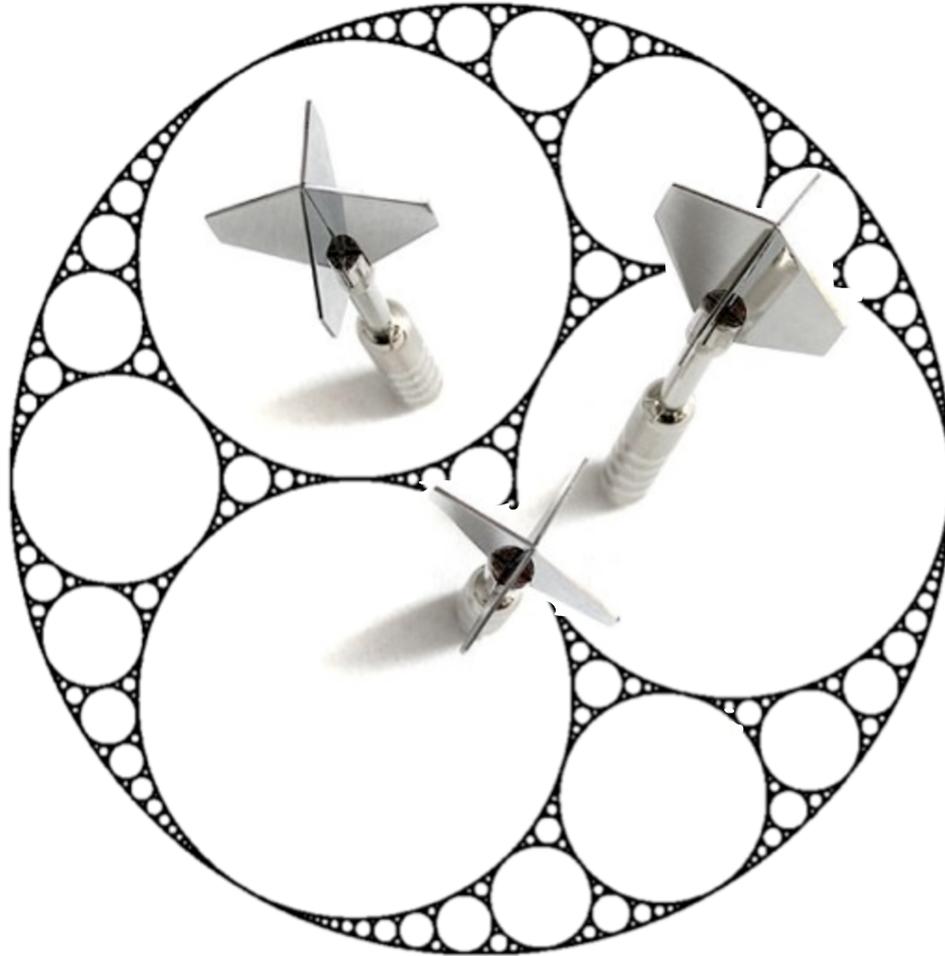
Minima at low energies, could have multiple funnels

Energy Landscape



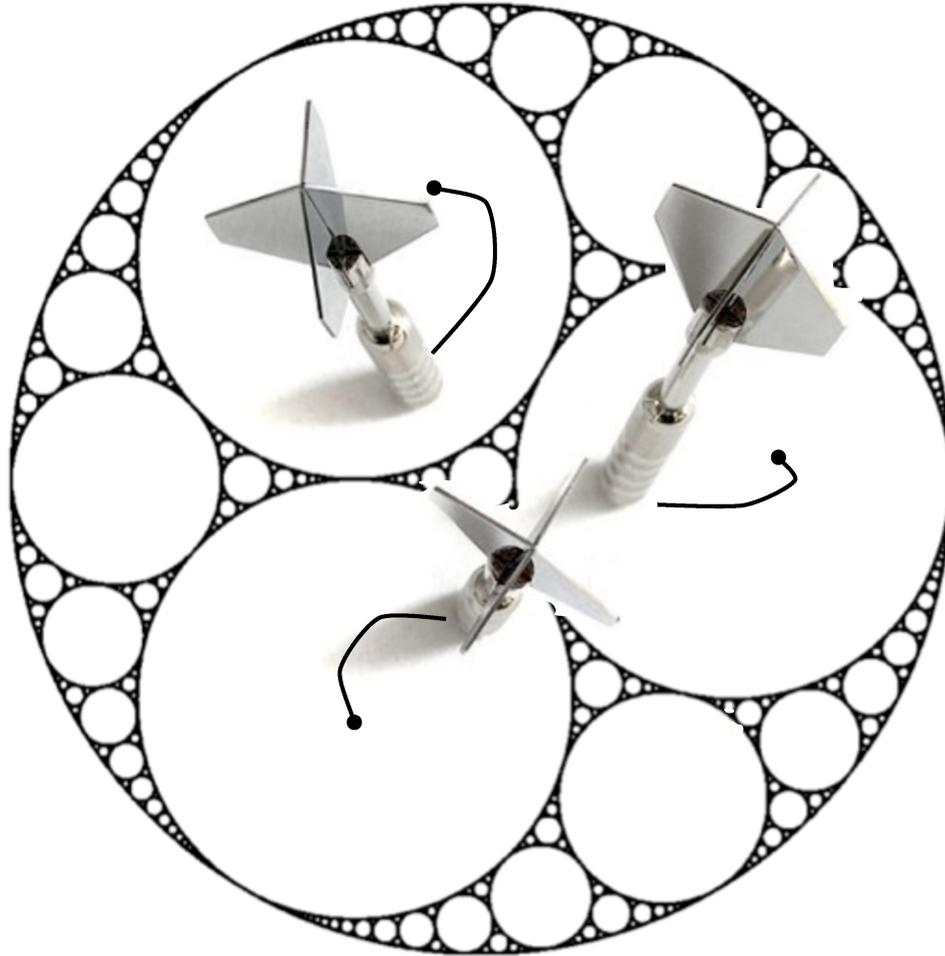
Doye and Massen, *PRE*, **71**, 016128, 2005

Energy Landscape



Doye and Massen, *PRE*, **71**, 016128, 2005

Energy Landscape



Doye and Massen, *PRE*, **71**, 016128, 2005

Ab Initio Random Structure Searching

- Make a random unit cell
- Throw the required numbers of each atom type into the cell at random
- Relax under the quantum mechanical forces and stresses
- Repeat until happy or computing credits run out
- Look at lowest-energy or other interesting structures

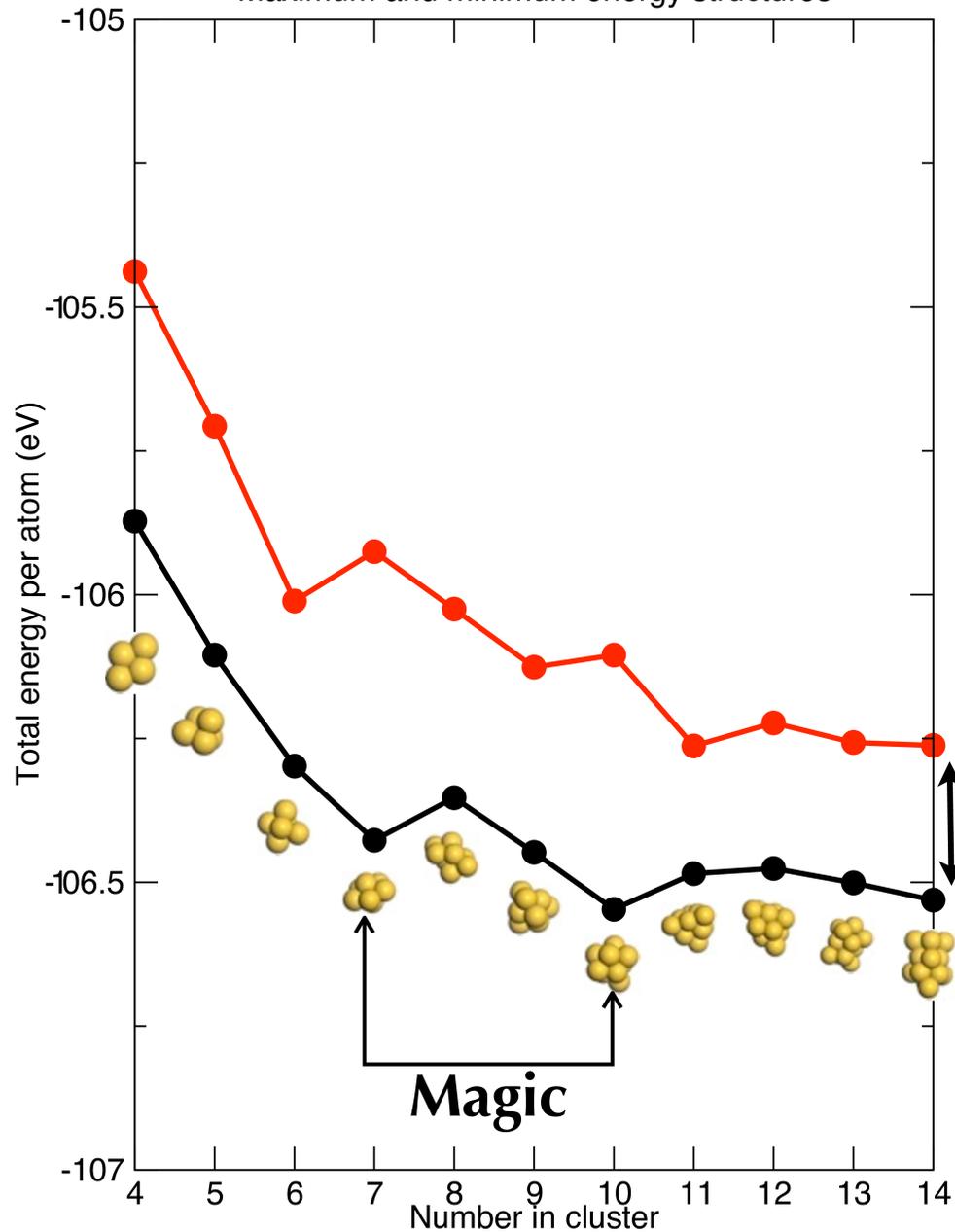
Pickard and Needs, Phys Rev Lett 97, 045504 (2006)

Ab Initio Random Structure Searching

- Easy to understand
- Easy to do
- Unbiased
- Teaches you chemistry
- Loves modern computers
- Can do exhaustive searching on ~ 12 atoms ($\equiv 39$ degrees of freedom)

Silicon clusters

Maximum and minimum energy structures



Throw atoms randomly into a box (within a bigger box)

Least stable

Most stable

A comparison of searching methods

Average number of relaxations to find the global minimum-energy structure

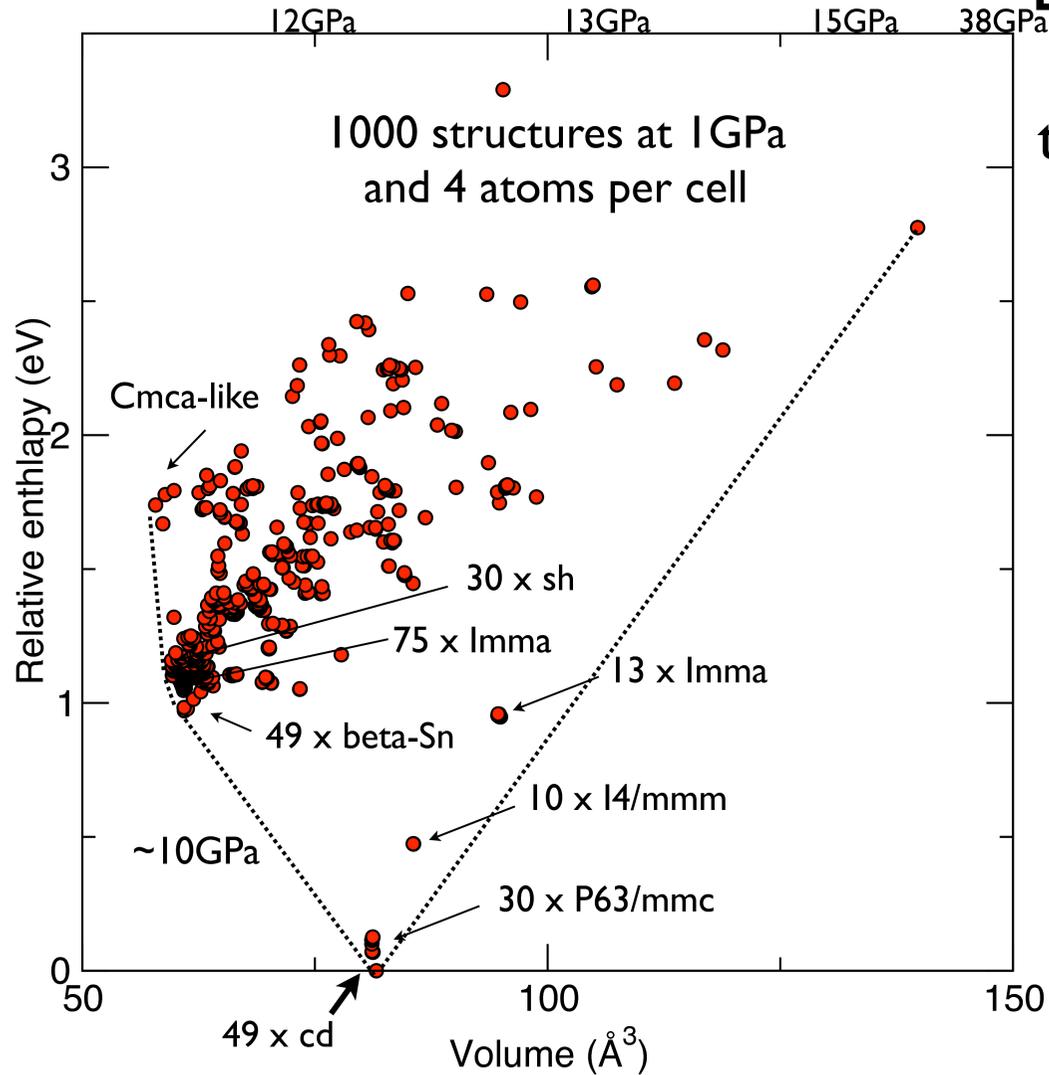
Method	LJ 26	LJ 38	LJ 55
Minima Hopping	96	1190	190
Evolutionary Algorithm	56	1265	100
Random Searching	190	12560	9846

Minima Hopping and Evolutionary Algorithm data from:
Schönborn *et al.* J Chem Phys 130, 144108 (2009)

LJ 38 using random structure searching with “relax-and-shake” ~ 1000

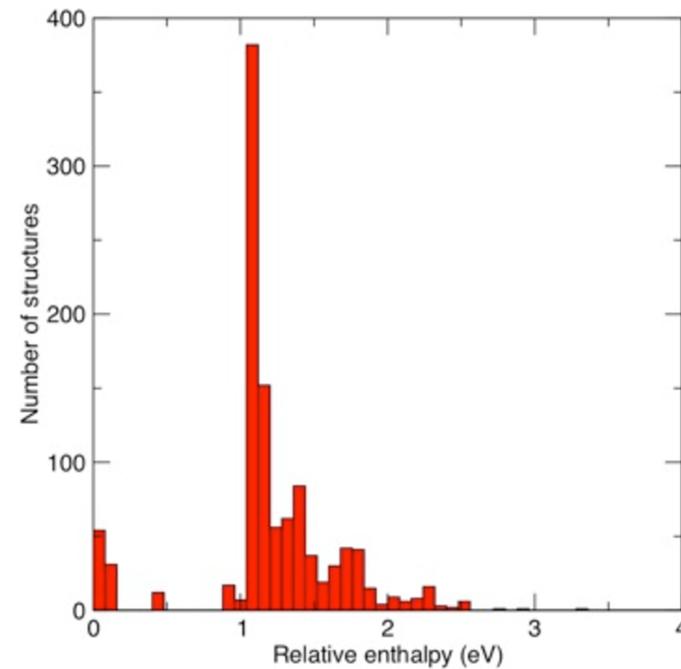
Example: silicon

cd - beta-Sn - Imma - sh - [Cmca] - hcp - fcc



A single run at 1 GPa gets all the phases up to about 40 GPa

At least 1/4 of the structures have been observed



Philosophy

- When you don't know anything, select structures from a uniform random distribution
- When you know something for sure impose it directly, when you think something is likely to be true bias the search towards it
- Impose chemical ideas through constraints on the initial structures - chemical units, coordination number
- Use experimental data as constraints
- Impose symmetry

Density Functional Theory Calculations

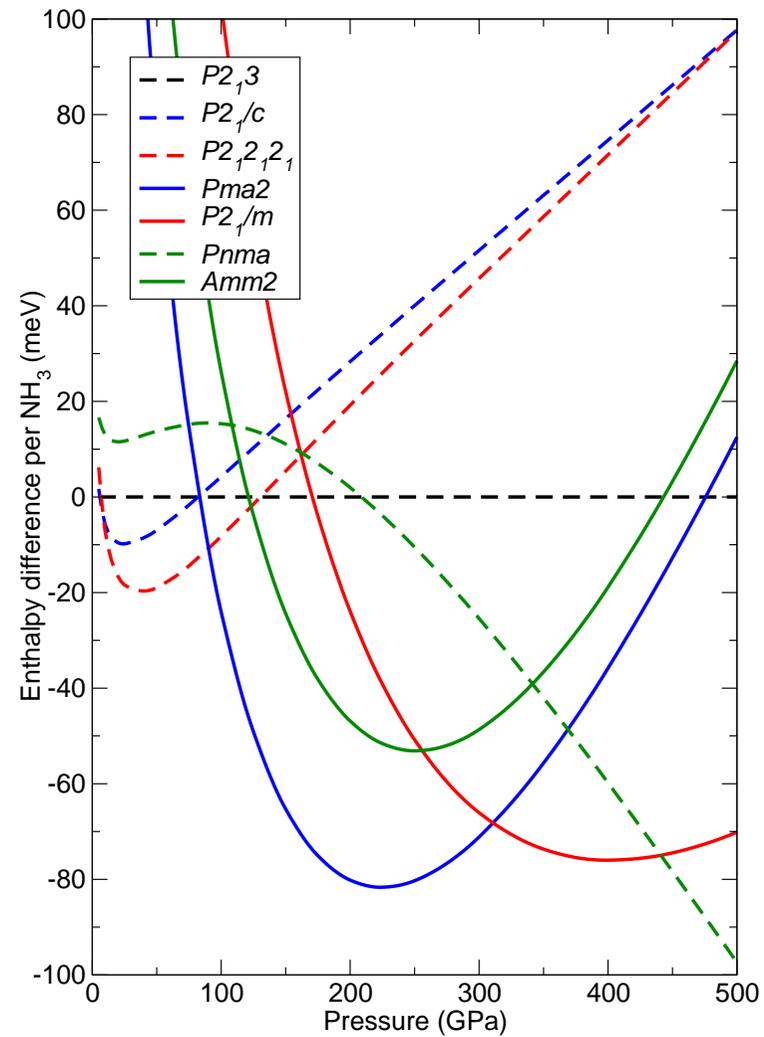
CASTEP code

Plane wave basis set

Ultrasoft pseudopotentials

Perdew-Burke-Ernzerhof Generalized Gradient Approximation
(PBE-GGA)

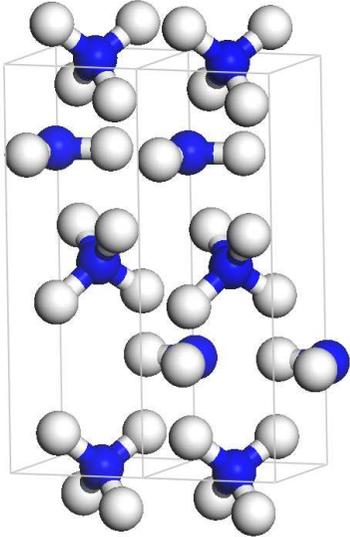
Ammonia NH_3 - Enthalpy versus Pressure



Dashed lines: Molecular Phases

Solid lines: Ionic Phases

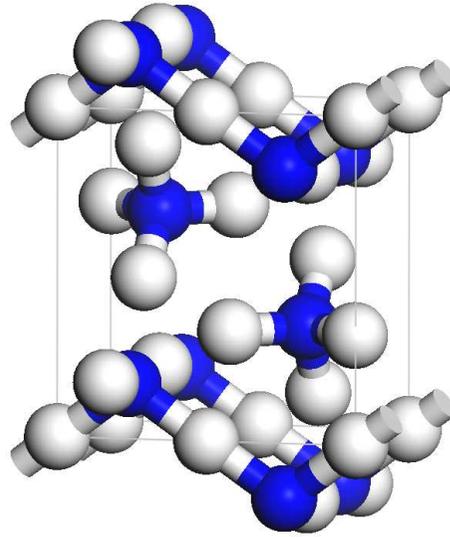
Ammonia NH₃ - Structures



Pma2

Ionic

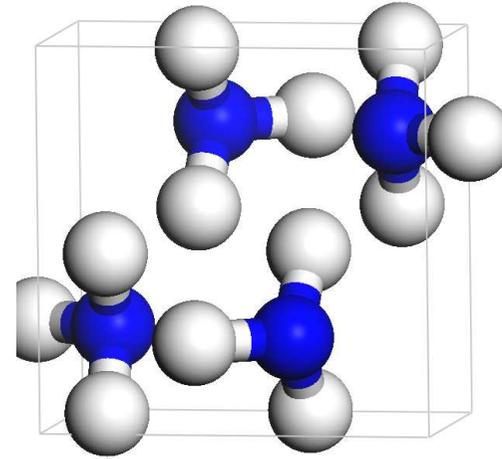
90-311 GPa



P2₁/m

Ionic

311-440 GPa



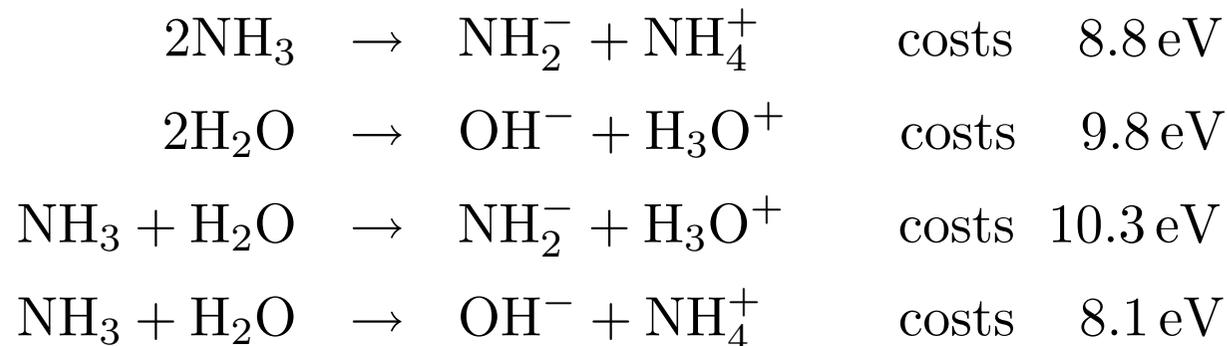
Pnma

Molecular

>440 GPa

Pickard and Needs *Nature Materials* **7**, 775 (2008)

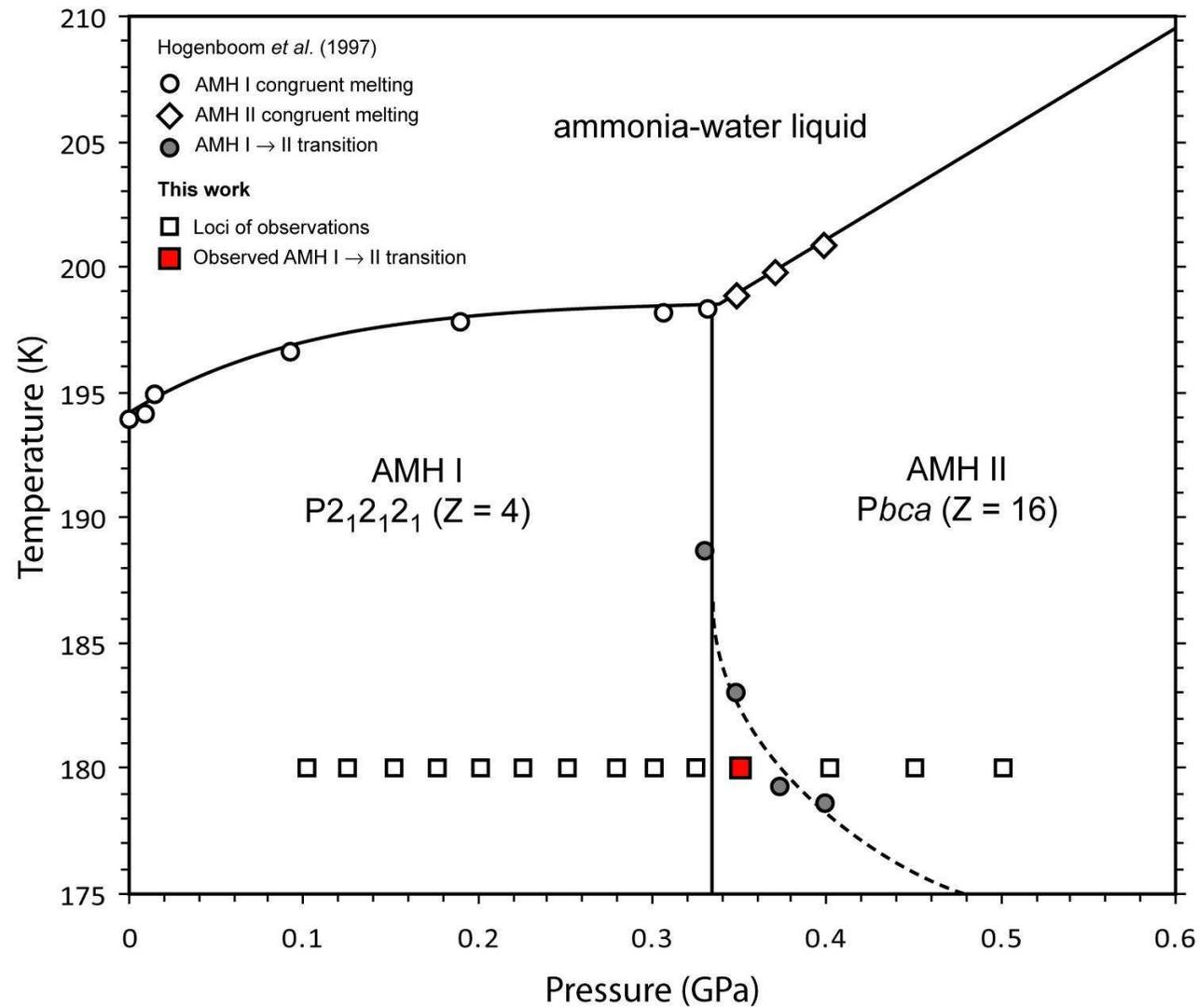
Gas-Phase Proton Transfer Energies for NH₃ and H₂O



- Gain in electrostatic energy from bringing point $+$ and $-$ charges from ∞ to a separation of 2.5 \AA is 5.8 eV
- Packing of ions compared with molecules
- DFT study by Fortes *et al.* *J. Chem. Phys.* **115**, 7006 (2001) predicted a transition from $\text{NH}_3 + \text{H}_2\text{O}$ to $\text{OH}^- + \text{NH}_4^+$ at 5 GPa

Ammonia Monohydrate

A component of the outer planets and their larger satellites

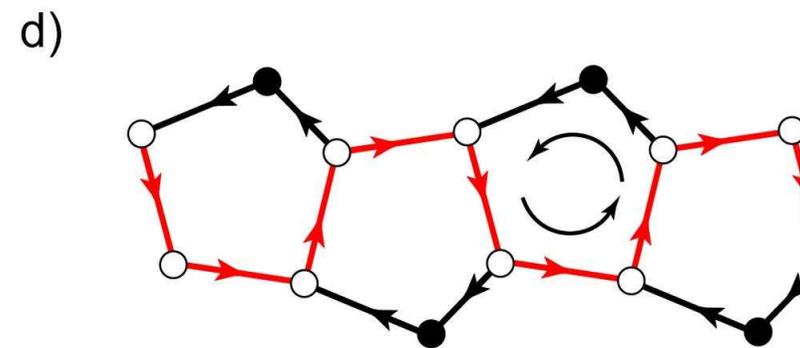
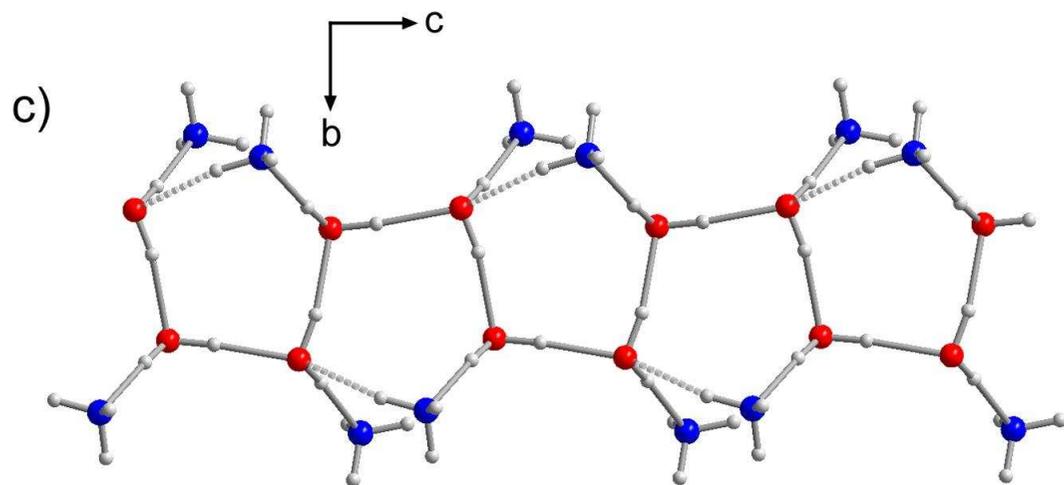
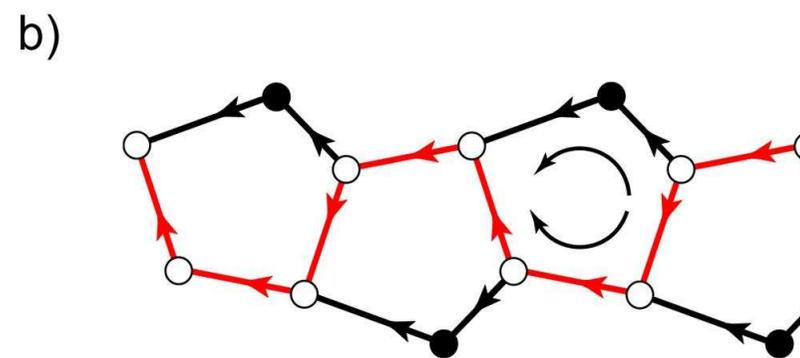
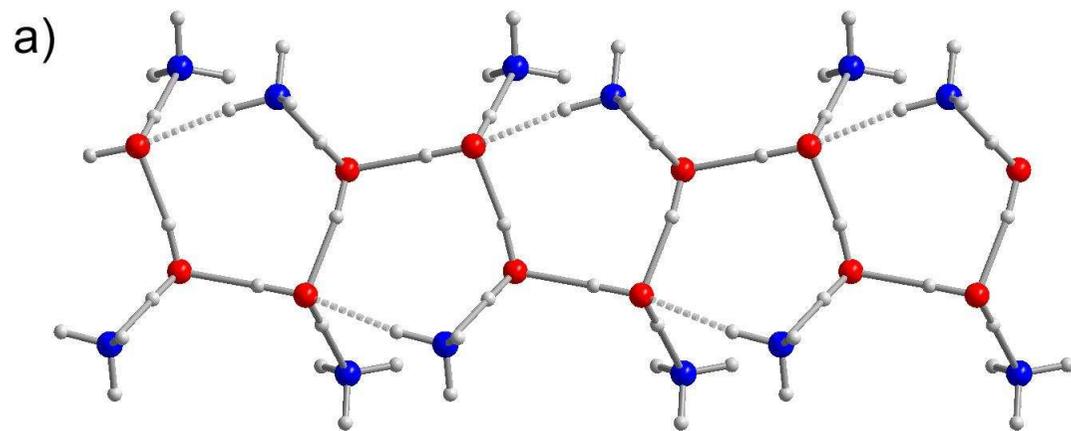


Fortes, Suard, Lemé-Cailleau, Pickard and Needs, unpublished

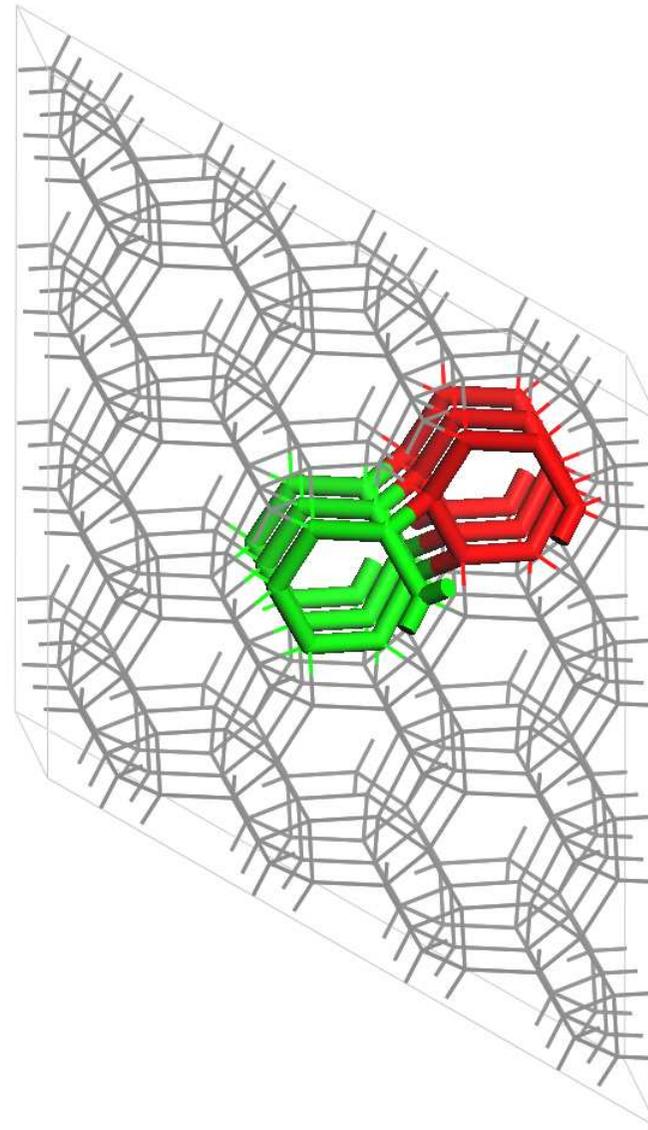
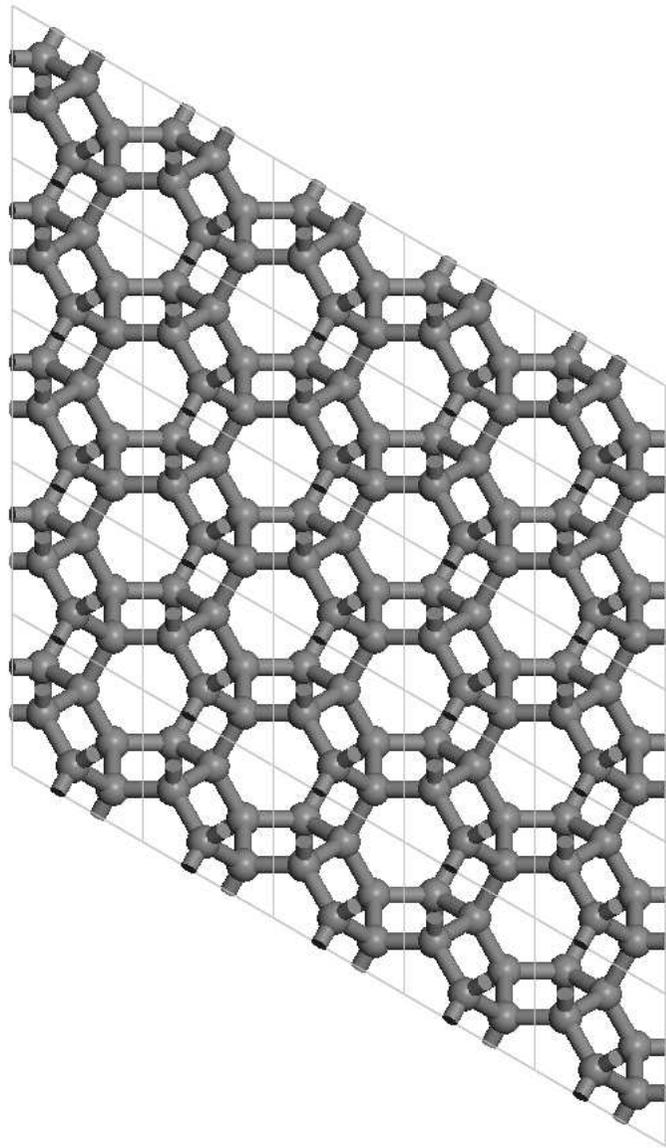
Structure of Phase II of Ammonia Monohydrate

- 2003: Neutron diffraction experiment by Fortes *et al.* on ammonia dihydrate which decomposed under pressure into ammonia monohydrate and water ice. No structure could be obtained, but unit cell has $Z = 16$ (112 atoms) with possible space groups *Pcca*, *Pnca*, or *Pbca*
- 2008: DFT calculations using experimental unit cell, inserting 16 $\text{H}_3\text{N} \cdot \cdot \text{H} \cdot \text{OH}$ units, assuming *Pcca*, *Pnca*, or *Pbca* symmetry. Lowest enthalpy structure of *Pbca* symmetry
- 2009: Neutron diffraction experiment by Fortes *et al.* on ammonia monohydrate obtains a structure of *Pbca* symmetry (slightly different from DFT prediction)

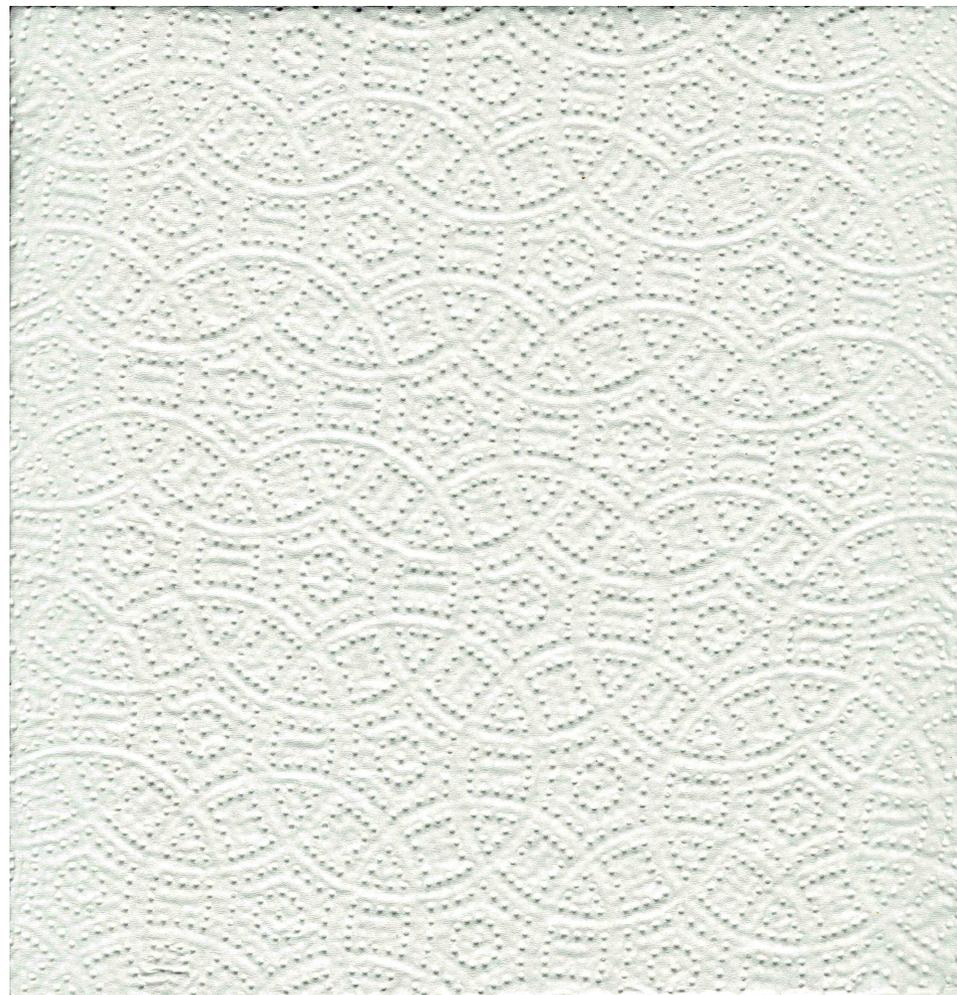
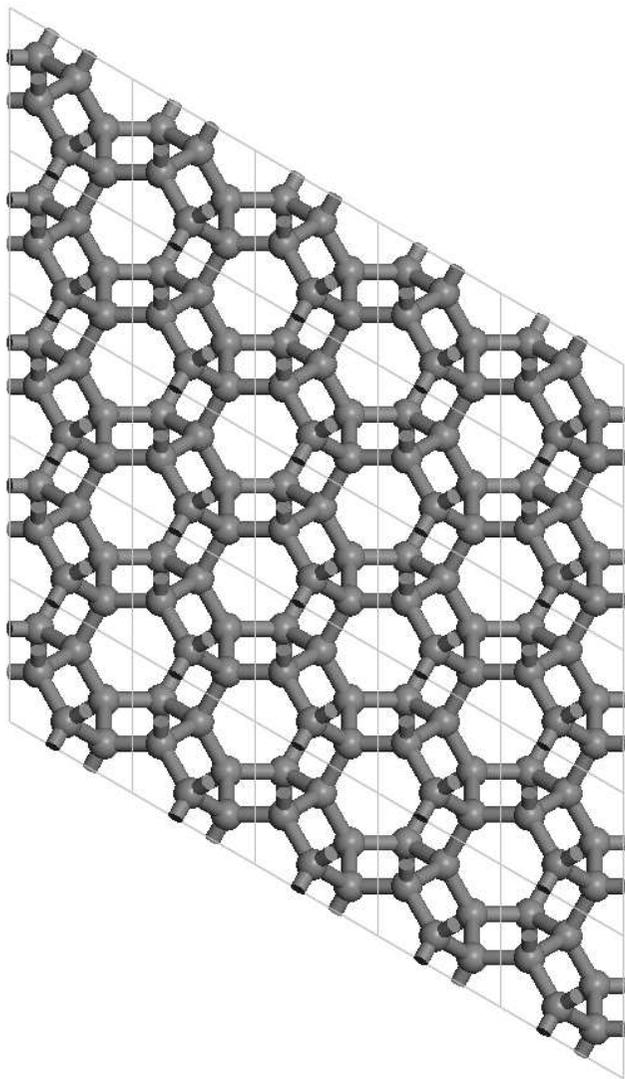
Structure of Phase II of Ammonia Monohydrate



Chiral Framework Structure for C, Si, Ge, Sn



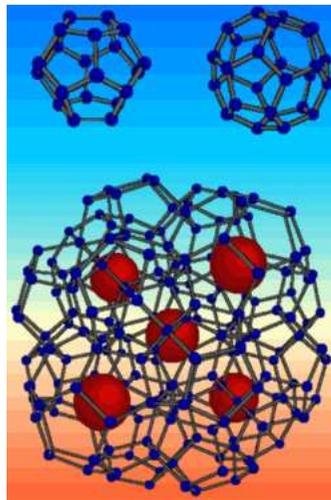
Chiral Framework Structure



CFS and Clathrate II compared with diamond

Element	ΔE_{CFS} (meV)	ΔE_{CII} (meV)	V_{CFS} (\AA^3)	V_{dia} (\AA^3)
C	112	72	6.2	5.7
Si	53	52	22.1	20.4
Ge	34	26	26.0	24.1
Sn	28	23	39.5	36.8

Pickard and Needs, unpublished

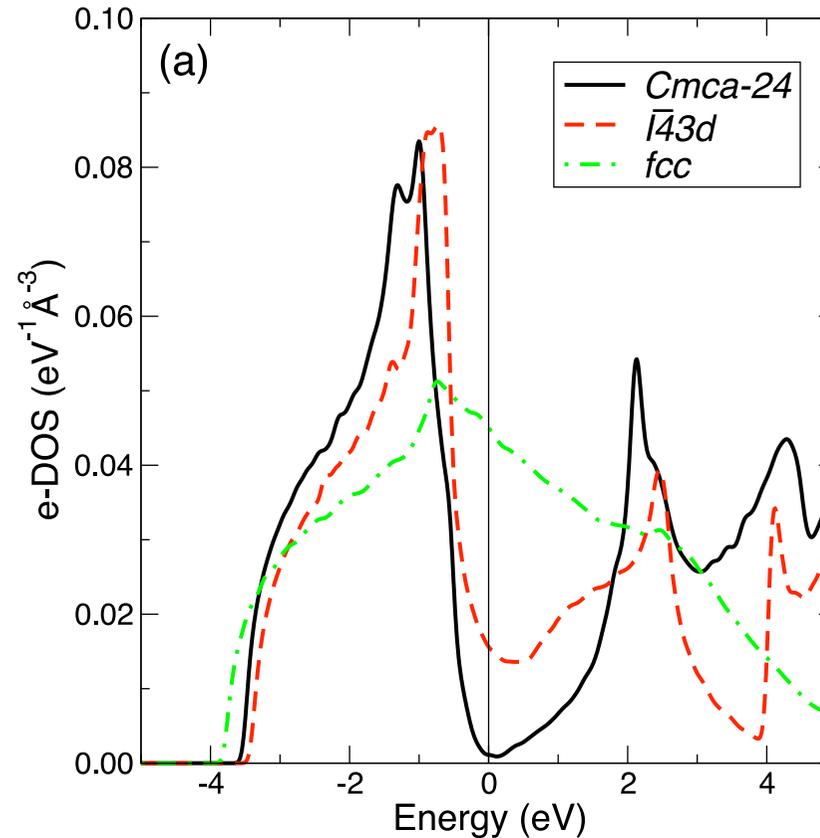
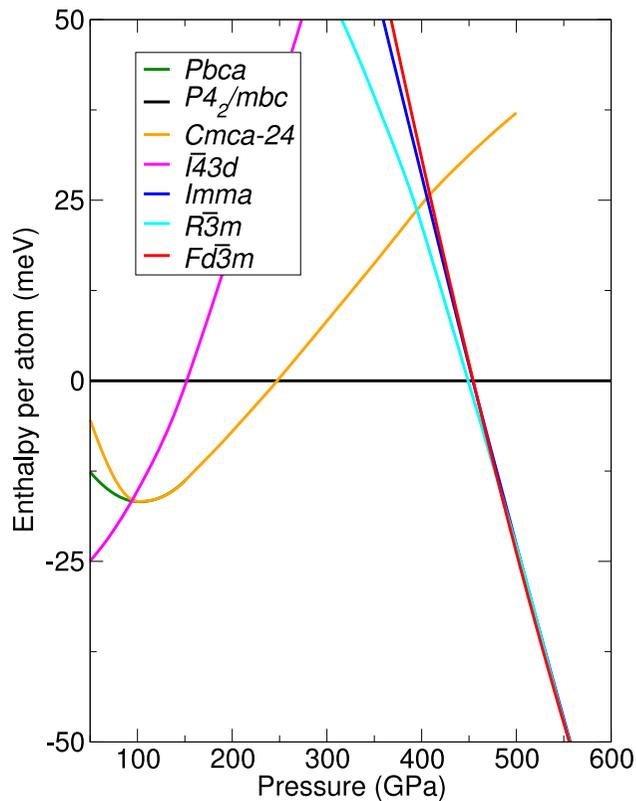


Clathrate II structure

High-Pressure Phases of Lithium

FCC (12-fold) $\Rightarrow I\bar{4}3d$ 40 GPa (3-fold) \Rightarrow ???? 70 GPa

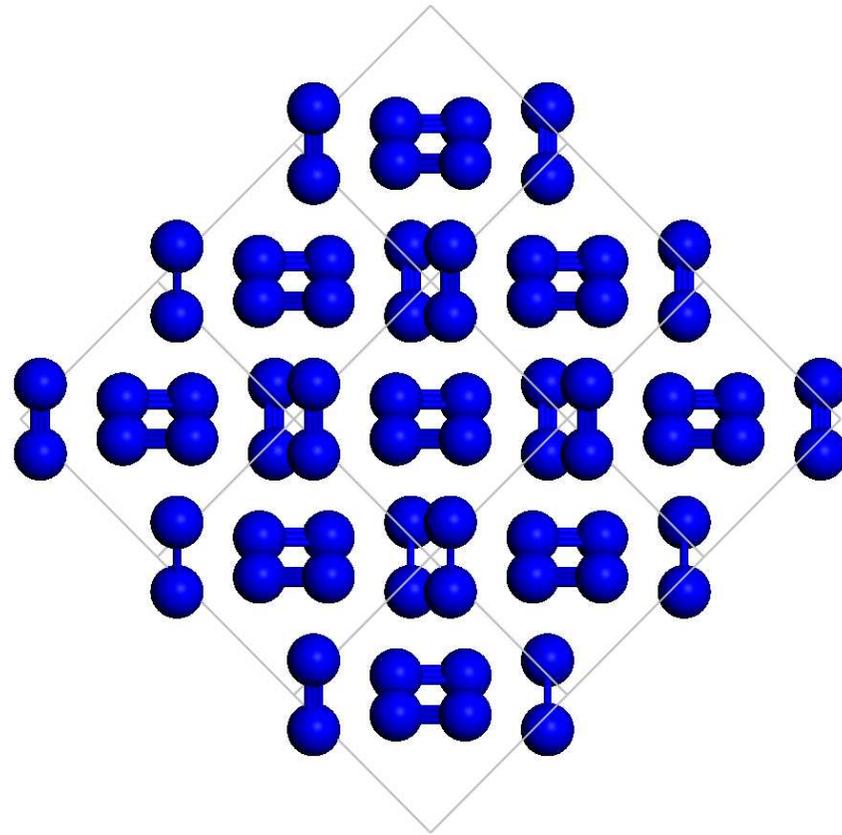
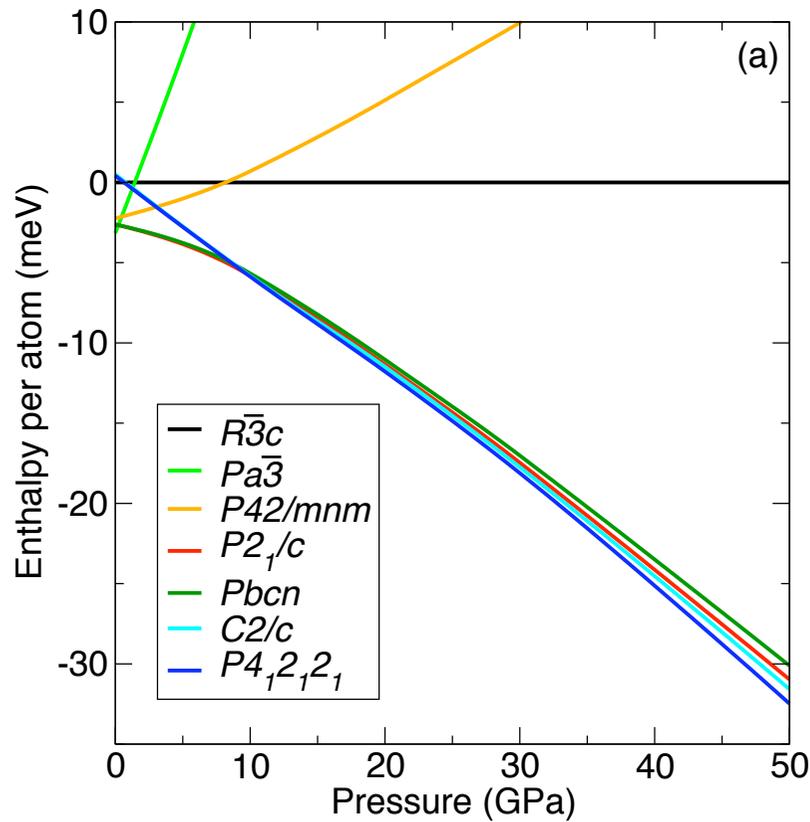
High pressure phases are “Elemental electrideres” (Anions are electrons)



Pickard and Needs, Phys Rev Lett 102, 146401 (2009)

High-Pressure Phases of Nitrogen

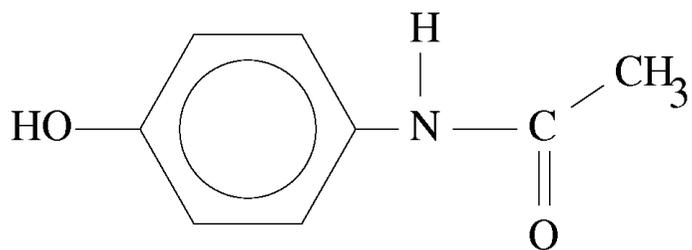
Structures of the higher-pressure molecular phases



Pickard and Needs, Phys Rev Lett 102, 125702 (2009)

Crystal Polymorph Prediction

Can we predict the crystal structure(s) of paracetamol?



“Layered approach”

Empirical potentials

DFT

QMC



“As it’s your first day we’re going to start you on something easy”

Conclusions

It's all really quite fun!