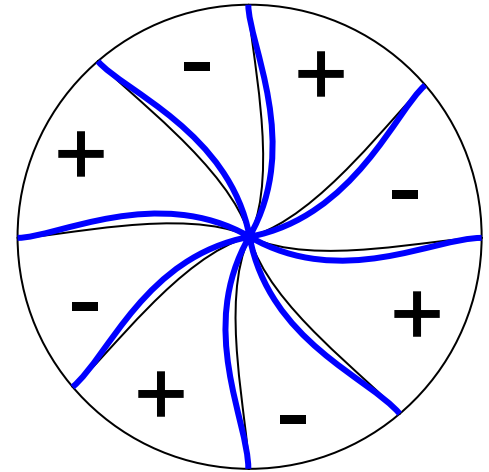


# The search for the nodes of the fermionic ground state



$$\psi(R) = 0$$



Some believe that unicorns are just shy and difficult to find.  
However, most people believe blue ones do not exist.  
We all believe that the nodes of a many body fermionic wave-  
functions exist.  
However, many think they are as hard to find as blue unicorns.



DMC for poets

The node

$$\psi_T(R) = 0$$

**“Nothing really matters**

**Anyone can see**

**Nothing really matters, nothing really matters - to me”**

**Freddie Mercury**

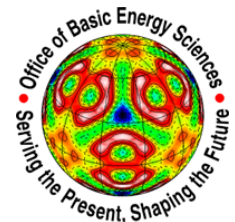
# A self-healing DMC algorithm

(a systematic correction of nodal surfaces  
in small systems)

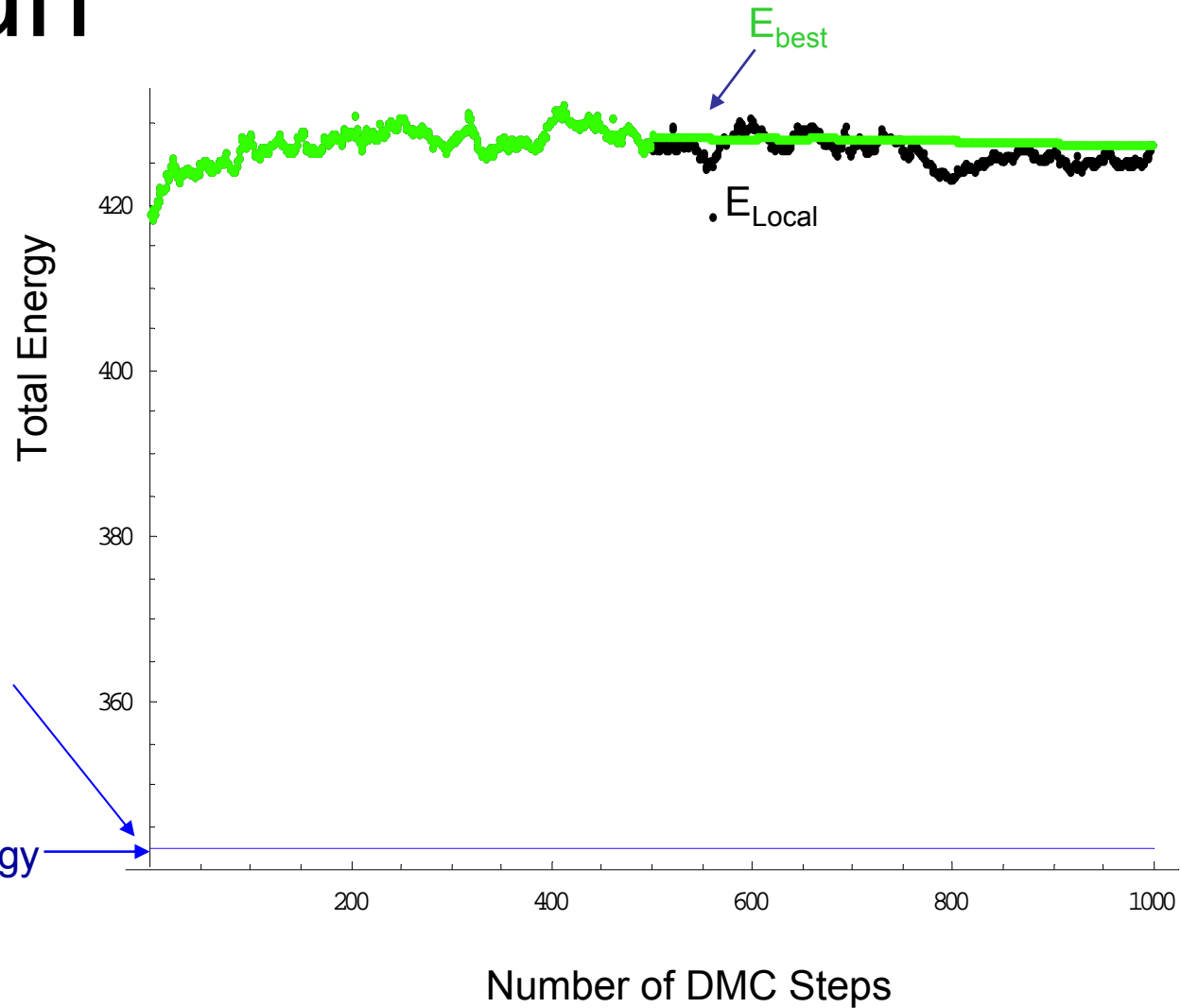
**Fernando A. Reboredo (ORNL)**

Paul R. C. Kent (ORNL) R. Q. Hood (LLNL)

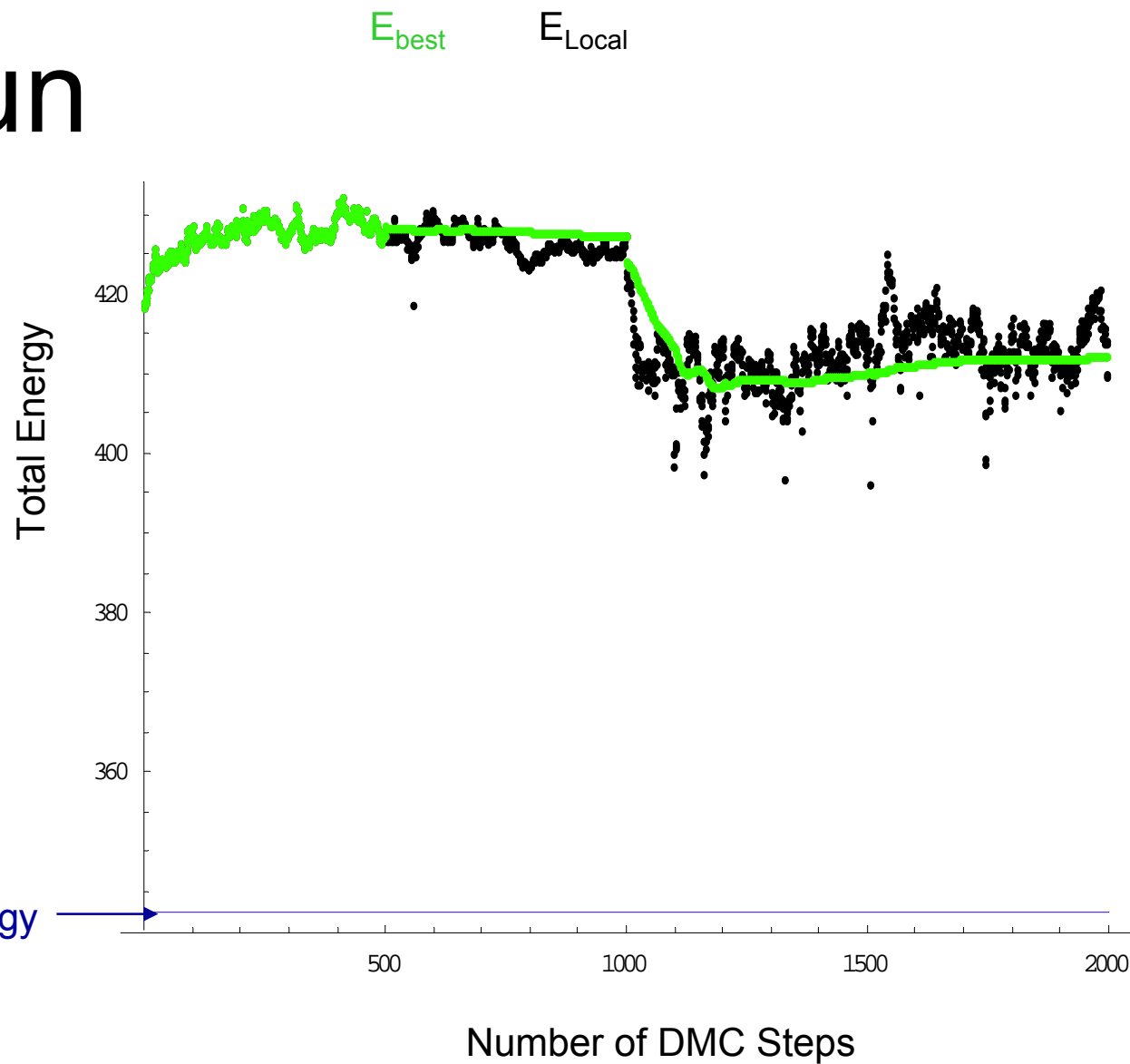
Research performed at the Materials Science and Technology Division  
and the Center of Nanophase Material Sciences at Oak Ridge National  
Laboratory sponsored the Division of Materials Sciences and the  
Division of Scientific User Facilities U.S.



# DMC run



# DMC run

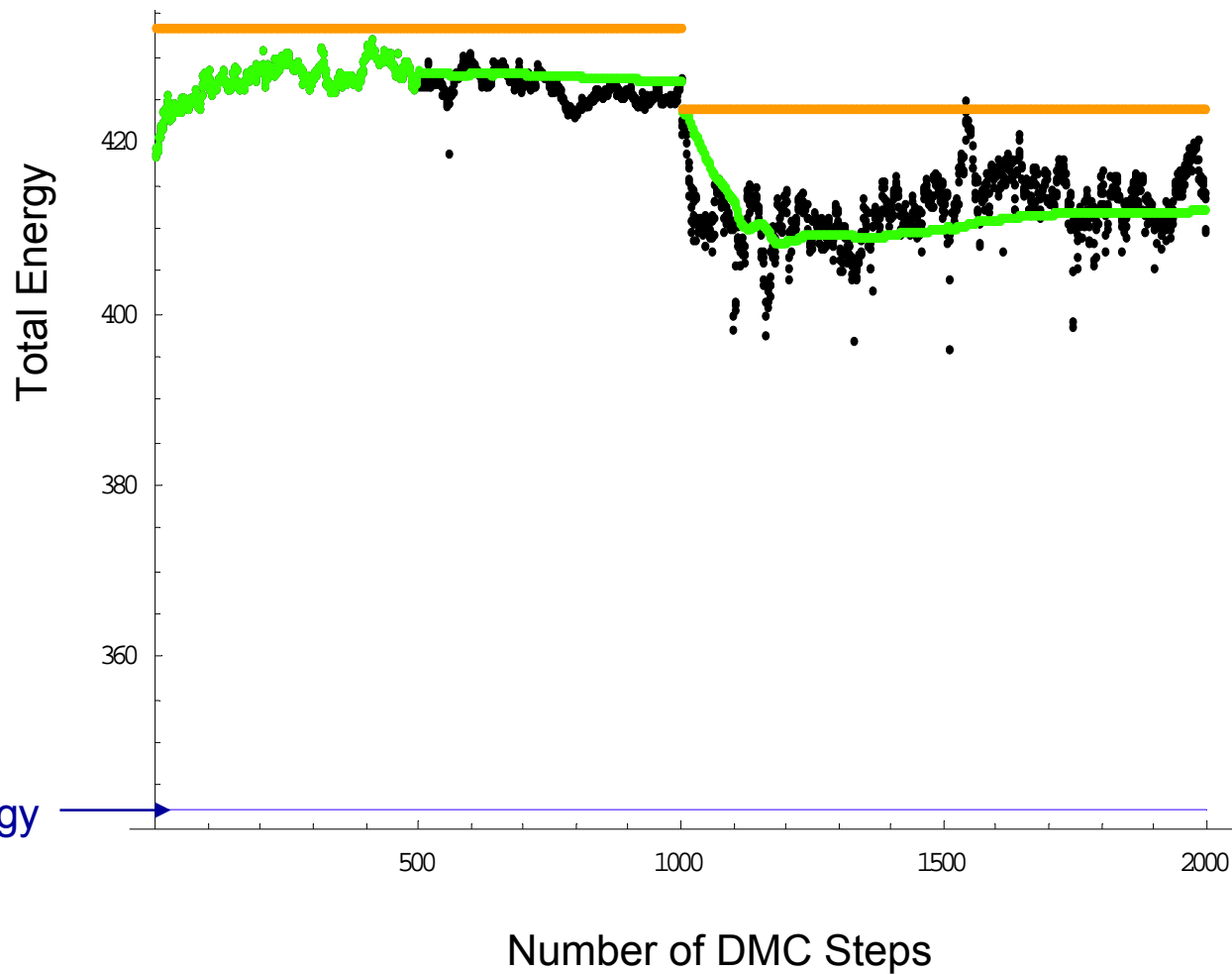


# DMC run

$E_{\text{best}}$

$E_{\text{Local}}$

$E = \langle \psi_T | H | \psi_T \rangle_I$



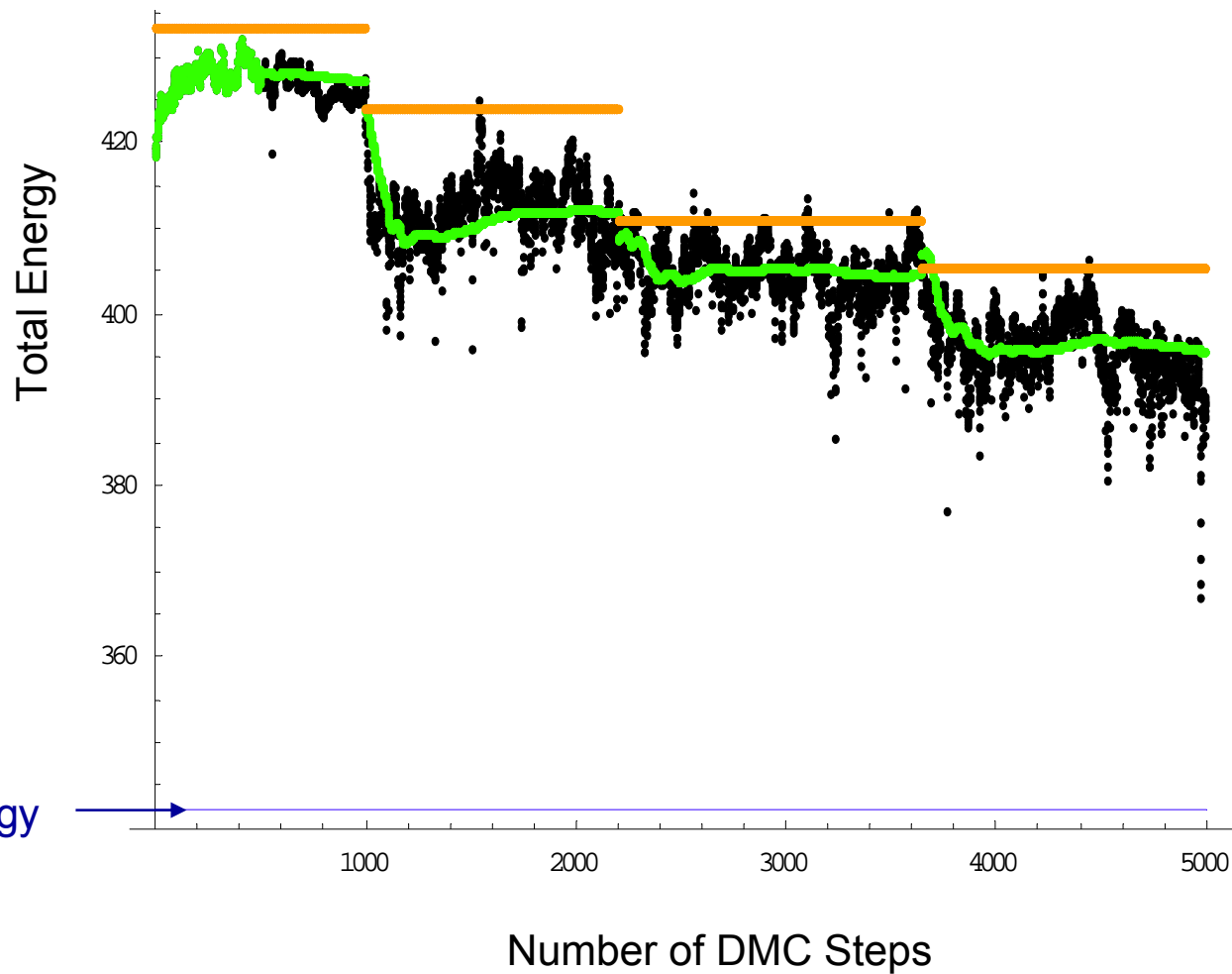
Exact (Full CI) energy

# DMC run

$E_{\text{best}}$

$E_{\text{Local}}$

$E = \langle \psi_T | H | \psi_T \rangle_I$



Exact (Full CI) energy



# A Theoretical Blue Unicorn : finding the blue nodes

## DMC run

$E_{\text{best}}$

$E_{\text{Local}}$

$E = \langle \psi_T | H | \psi_T \rangle_I$

Total Energy

NO DFT

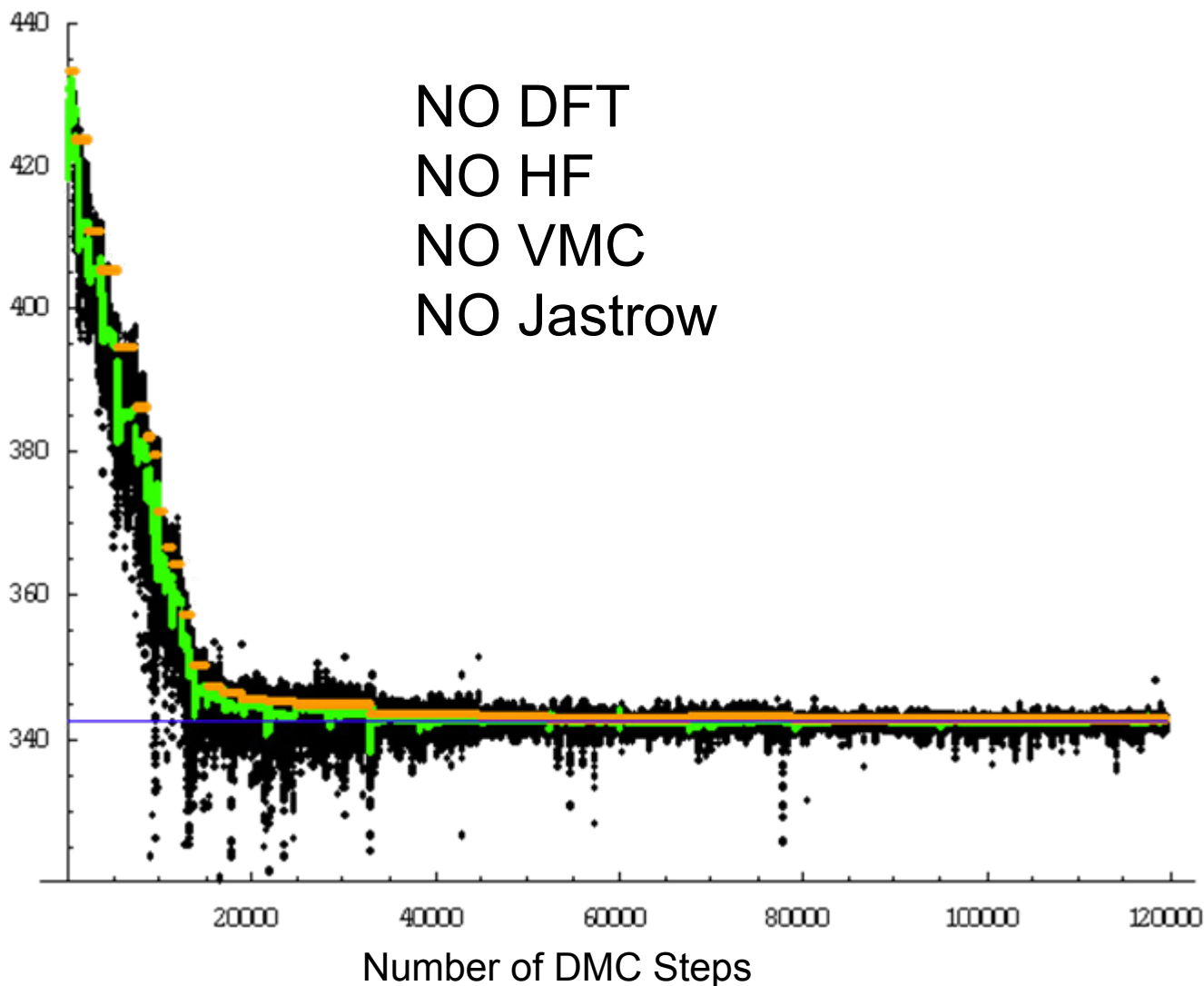
NO HF

NO VMC

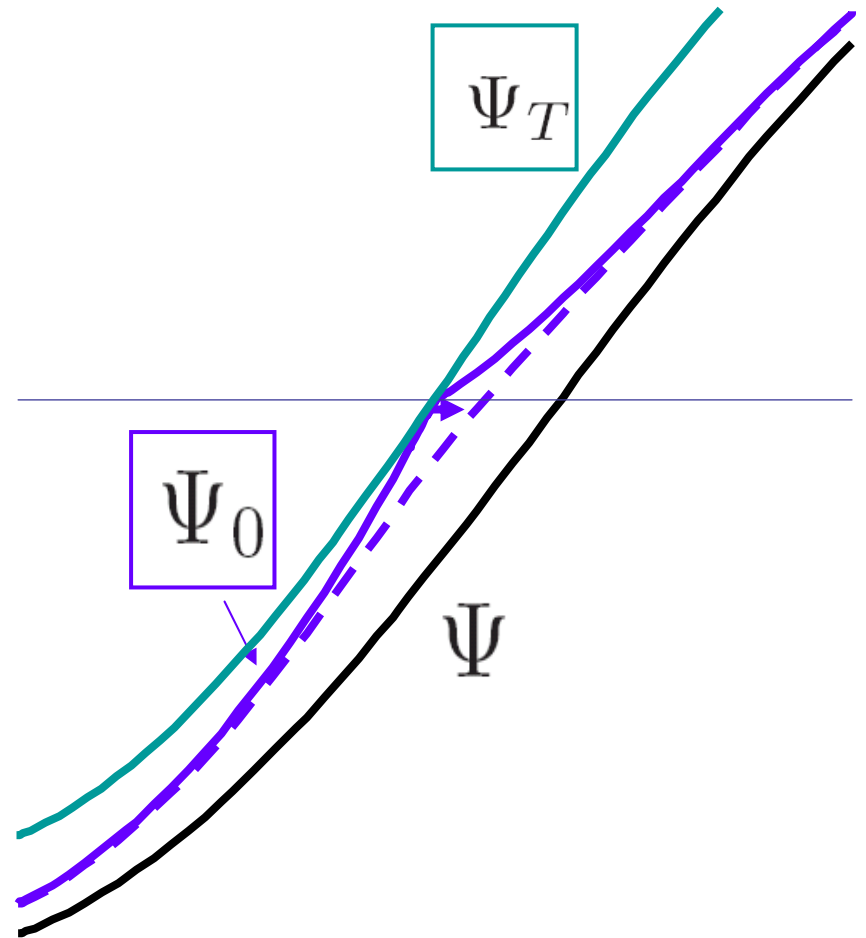
NO Jastrow



Exact (Full CI) energy



What could we do if we new the Fixed Node wave function?



Removing the kink in  $\Psi_T$  moves the node in the right direction

# Standard Importance Sampling Diffusion Monte Carlo Algorithm

D. M. Ceperley and B. J. Alder, Phys. Rev. Lett. **45**, 566 (1980)

$$f(\mathbf{R}) = \frac{N_c}{\nu_T} \Psi_0(\mathbf{R}) \Psi_T(\mathbf{R})$$

Diagram illustrating the equation for the distribution of walkers  $f(\mathbf{R})$ . The equation is  $f(\mathbf{R}) = \frac{N_c}{\nu_T} \Psi_0(\mathbf{R}) \Psi_T(\mathbf{R})$ . Annotations include:

- $N_c$ : Number of configurations
- $\Psi_T(\mathbf{R})$ : Trial Wave-Function
- $\Psi_0(\mathbf{R})$ : Fixed-Node Ground State Wave-Function

Distribution of walkers

We know  $\Psi_T(\mathbf{R})$ ,  $N_c$  and  $f(\mathbf{R})$

We want to know  $\Psi_0(\mathbf{R})$  and  $\nu_T$

One equation with three known quantities and two unknowns could be soluble

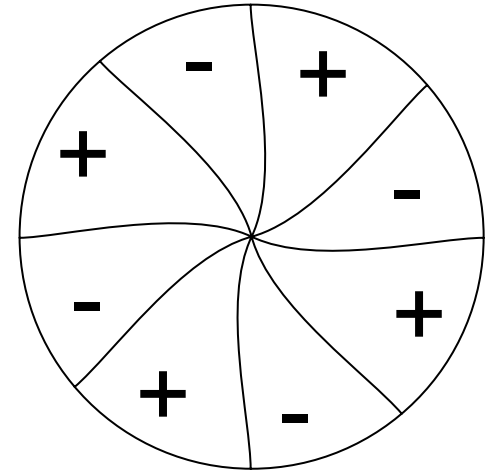
# Expansion of the Fixed-Node Ground-State Wave-Function

$$f(\mathbf{R}) = \frac{N_c}{\nu_T} \Psi_0(\mathbf{R}) \Psi_T(\mathbf{R})$$

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} e^{J(\mathbf{R})} \Psi_0(\mathbf{R})$$

$$= e^{-\hat{J}} \sum_n \lambda_n (\prod c^\dagger \prod c) |\Phi_T\rangle$$

$$= e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$$



Multi-Determinant Expansion

Every **anti-symmetric function** can be written as a **product of a symmetric function** (Jastrow) and a **complete sum of anti-symmetric functions**.

# Expansion of the Fixed-Node Ground-State Wave-Function

$$f(\mathbf{R}) = \frac{N_c}{\nu_T} \Psi_0(\mathbf{R}) \Psi_T(\mathbf{R})$$

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$$

$$\boxed{\int d\mathbf{R} \Phi_n(\mathbf{R}) \Phi_m(\mathbf{R}) = \delta_{n,m}}$$

Every **anti-symmetric function** can be written as a **product of a symmetric function** (Jastrow) and a **complete sum of anti-symmetric functions**.

# Expansion of the Fixed-Node Walker Distribution Function

$$f(\mathbf{R}) = \frac{N_c}{\nu_T} \Psi_0(\mathbf{R}) \Psi_T(\mathbf{R})$$

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$$

$$\int d\mathbf{R} \Phi_n(\mathbf{R}) \Phi_m(\mathbf{R}) = \delta_{n,m}$$

$$f(\mathbf{R}) = \frac{N_c}{\nu} e^{-2J(\mathbf{R})} \Phi_T(\mathbf{R}) \sum_n \lambda_n \Phi_n(\mathbf{R})$$

Any expression of the trial wave-function

- Single determinant
- Multi determinant
- Back flow
- Pfaffian
- etc

# Expansion of the Fixed-Node Walker Distribution Function

$$f(\mathbf{R}) = \frac{N_c}{\nu_T} \Psi_0(\mathbf{R}) \Psi_T(\mathbf{R})$$

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R}) \quad \boxed{\int d\mathbf{R} \Phi_n(\mathbf{R}) \Phi_m(\mathbf{R}) = \delta_{n,m}}$$

$$f(\mathbf{R}) = \frac{N_c}{\nu} e^{-2J(\mathbf{R})} \Phi_T(\mathbf{R}) \sum_n \lambda_n \Phi_n(\mathbf{R})$$

# The Wave-Functions Projectors

$$f(\mathbf{R}) = \frac{N_c}{\nu_T} \Psi_0(\mathbf{R}) \Psi_T(\mathbf{R})$$

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R}) \quad \boxed{\int d\mathbf{R} \Phi_n(\mathbf{R}) \Phi_m(\mathbf{R}) = \delta_{n,m}}$$

$$f(\mathbf{R}) = \frac{N_c}{\nu} e^{-2J(\mathbf{R})} \Phi_T(\mathbf{R}) \sum_n \lambda_n \Phi_n(\mathbf{R})$$

$$\xi_n(\mathbf{R}) = e^{2J(\mathbf{R})} \frac{\Phi_n(\mathbf{R})}{\Phi_T(\mathbf{R})} \quad \leftarrow \begin{array}{l} \text{Reboredo \& Proetto} \\ \text{PRB } \mathbf{67}, 115325 (2003). \end{array}$$

$$\tilde{\lambda}_n = \lambda_n \frac{N_c}{\nu} = \int d\mathbf{R} f(\mathbf{R}) \xi_n(\mathbf{R})$$



# The Wave-Functions Coefficients

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$$

$$f(\mathbf{R}) = \frac{N_c}{\nu} e^{-2J(\mathbf{R})} \Phi_T(\mathbf{R}) \sum_n \lambda_n \Phi_n(\mathbf{R})$$

$$\xi_n(\mathbf{R}) = e^{2J(\mathbf{R})} \frac{\Phi_n(\mathbf{R})}{\Phi_T(\mathbf{R})}$$

$$\int d\mathbf{R} f(\mathbf{R}) \longrightarrow \sum_{\text{DMC}}$$

$$\tilde{\lambda}_n = \lambda_n \frac{N_c}{\nu} = \int d\mathbf{R} f(\mathbf{R}) \xi_n(\mathbf{R})$$

$$\langle \tilde{\lambda}_n \rangle = \sum_i \xi_n(\mathbf{R}_i) \gamma(\mathbf{R}_i) \leftarrow$$

Umrigar, Nightingale & Runge  
J.CP **99**, 2865-2890 (1993).

# The Wave-Functions Coefficients

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$$

$$\xi_n(\mathbf{R}) = e^{2J(\mathbf{R})} \frac{\Phi_n(\mathbf{R})}{\Phi_T(\mathbf{R})}$$

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Umrigar, Nightingale & Runge  
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# The Wave-Functions Coefficients

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$$\langle \tilde{\lambda}_n \rangle = \sum \xi_n(\mathbf{R}_i) \gamma(\mathbf{R}_i)$$

$$\gamma(\mathbf{R}_i) = \frac{-1 + \sqrt{1 + 2|\mathbf{v}|^2\tau}}{|\mathbf{v}|^2\tau} \text{ with } \mathbf{v} = \frac{\nabla \Psi_T(\mathbf{R}_i)}{\Psi_T(\mathbf{R}_i)}$$

Umrigar, Nightingale & Runge  
J.CP **99**, 2865-2890 (1993).

# Errors in the Wave-Functions Coefficients

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$$

$$\xi_n(\mathbf{R}) = e^{2J(\mathbf{R})} \frac{\Phi_n(\mathbf{R})}{\Phi_T(\mathbf{R})}$$

$$\langle \tilde{\lambda}_n \rangle = \sum \xi_n(\mathbf{R}_i) \gamma(\mathbf{R}_i)$$

$$\langle \tilde{\lambda}_n^2 \rangle = \sum \xi_n(\mathbf{R}_i)^2 \gamma(\mathbf{R}_i)^2$$

$$\tilde{\lambda}_n \simeq \langle \tilde{\lambda}_n \rangle \pm \frac{\langle \tilde{\sigma}_n \rangle}{\sqrt{N_c - 1}}$$

$$\langle \tilde{\sigma}_n \rangle = \sqrt{\frac{\langle \tilde{\lambda}_n \rangle^2 - \langle \tilde{\lambda}_n^2 \rangle}{N_c}}$$

# The Fixed Node Wave-Function...

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$$

Fixed-Node Ground State

$$\xi_n(\mathbf{R}) = e^{2J(\mathbf{R})} \frac{\Phi_n(\mathbf{R})}{\Phi_T(\mathbf{R})}$$

Wave-Function Projectors

$$\langle \tilde{\lambda}_n \rangle = \sum \xi_n(\mathbf{R}_i) \gamma(\mathbf{R}_i)$$

DMC sampling

$$\langle \tilde{\lambda}_n^2 \rangle = \sum \xi_n(\mathbf{R}_i)^2 \gamma(\mathbf{R}_i)^2$$

$$\int d\mathbf{R} f(\mathbf{R}) \rightarrow \sum$$

....can be obtained directly by sampling over the walker distribution.

# Errors in the Wave-Functions Coefficients

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$$

Fixed-Node Ground State

$$\xi_n(\mathbf{R}) = e^{2J(\mathbf{R})} \frac{\Phi_n(\mathbf{R})}{\Phi_T(\mathbf{R})}$$

$$\tilde{\lambda}_n \simeq \langle \tilde{\lambda}_n \rangle \pm \frac{\langle \tilde{\sigma}_n \rangle}{\sqrt{N_c - 1}}$$

- The nodes move because of errors.

# A Self-Healing DMC Algorithm

$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$$

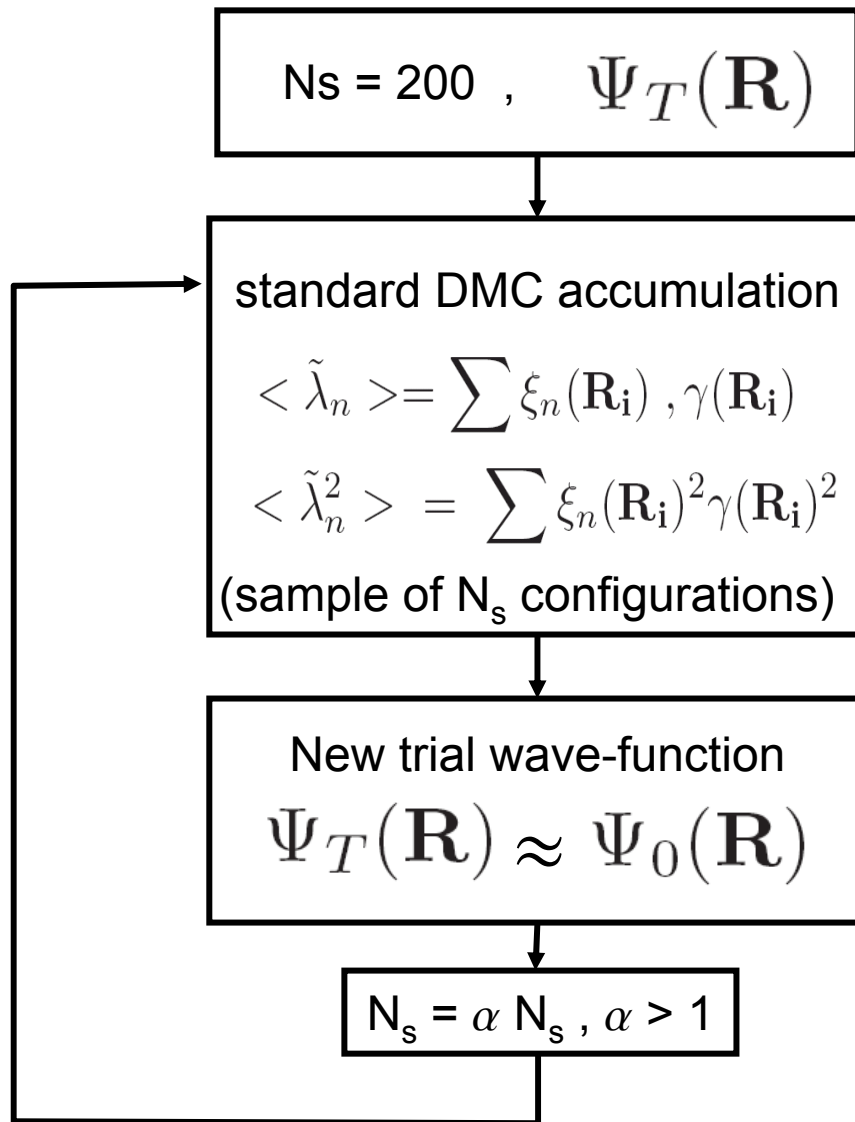
Fixed-Node Ground State

$$\xi_n(\mathbf{R}) = e^{2J(\mathbf{R})} \frac{\Phi_n(\mathbf{R})}{\Phi_T(\mathbf{R})}$$

$$\tilde{\lambda}_n \simeq \langle \tilde{\lambda}_n \rangle \pm \frac{\langle \tilde{\sigma}_n \rangle}{\sqrt{N_c - 1}}$$

- The nodes can move because of errors
- How do we take advantage of errors?

# A Simple Self-Healing DMC Algorithm



starting trial wave function: **anything**



# A Simple Self-Healing DMC Algorithm

1) 
$$\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R}) \quad \boxed{\int d\mathbf{R} \Phi_n(\mathbf{R}) \Phi_m(\mathbf{R}) = \delta_{n,m}}$$

$\Phi_n(\mathbf{R})$  Are non-interacting eigen-functions:  
 $\Rightarrow$  increasing kinetic energy

2) 
$$\lambda_n = 0 \quad \text{if} \quad \left| \langle \tilde{\lambda}_n \rangle \right| < \frac{\langle \tilde{\sigma}_n \rangle}{\sqrt{N_c - 1}} \times 4$$
  
else  $\lambda_n = \langle \tilde{\lambda}_n \rangle$

3) We set 
$$\Psi_T(\mathbf{R}) = \Psi_0(\mathbf{R})$$

# A Simple Self-Healing DMC Algorithm: **Model**

The model test system: two interacting spinless fermions in a box

Case (1) Model interaction

$$V = 8\gamma \pi^2 \cos[\alpha\pi(x-x')] \cos[\alpha\pi(y-y')]$$

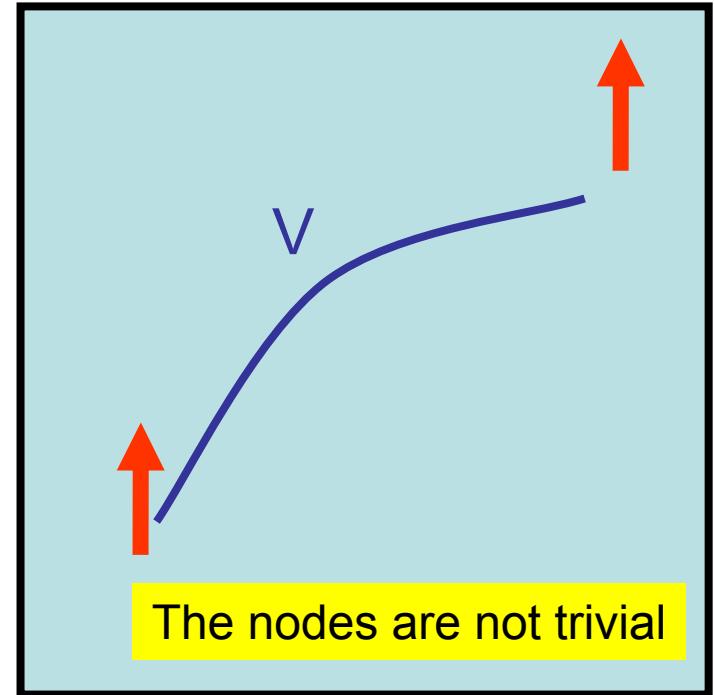
$\alpha$  and  $\gamma$  control the shape and strength of  $V$

$V$  is **repulsive** for  $|\alpha| < 1/2$  and  $\gamma > 0$

**Full CI:**  $H$  expanded in the first 300 non-interacting eigenfunctions with the symmetry of the ground state. **All integrals done analytically.** Converged results

Case (2) Coulomb interaction

$$V = 20 \pi^2 1/\text{sqrt}[(x-x')^2 + (y-y')^2]$$



We will compare the algorithm against almost-analytical results

# A Simple Self-Healing DMC Algorithm: Initial $\Psi_T$

$$N_s = 200, \quad \Psi_T(\mathbf{R})$$

standard DMC accumulation

$$\langle \tilde{\lambda}_n \rangle = \sum \xi_n(\mathbf{R}_i), \gamma(\mathbf{R}_i)$$

$$\langle \tilde{\lambda}_n^2 \rangle = \sum \xi_n(\mathbf{R}_i)^2 \gamma(\mathbf{R}_i)^2$$

(sample of  $N_s$  configurations)

New trial wave-function

$$\Psi_T(\mathbf{R}) \approx \Psi_0(\mathbf{R})$$

$$N_s = \alpha N_s, \quad \alpha > 1$$

starting trial wave function: **anything**

$$\lambda_1 = -a_3$$

$$\lambda_3 = a_1$$

$$\lambda_n = 0 \text{ (for any other } \lambda_n)$$

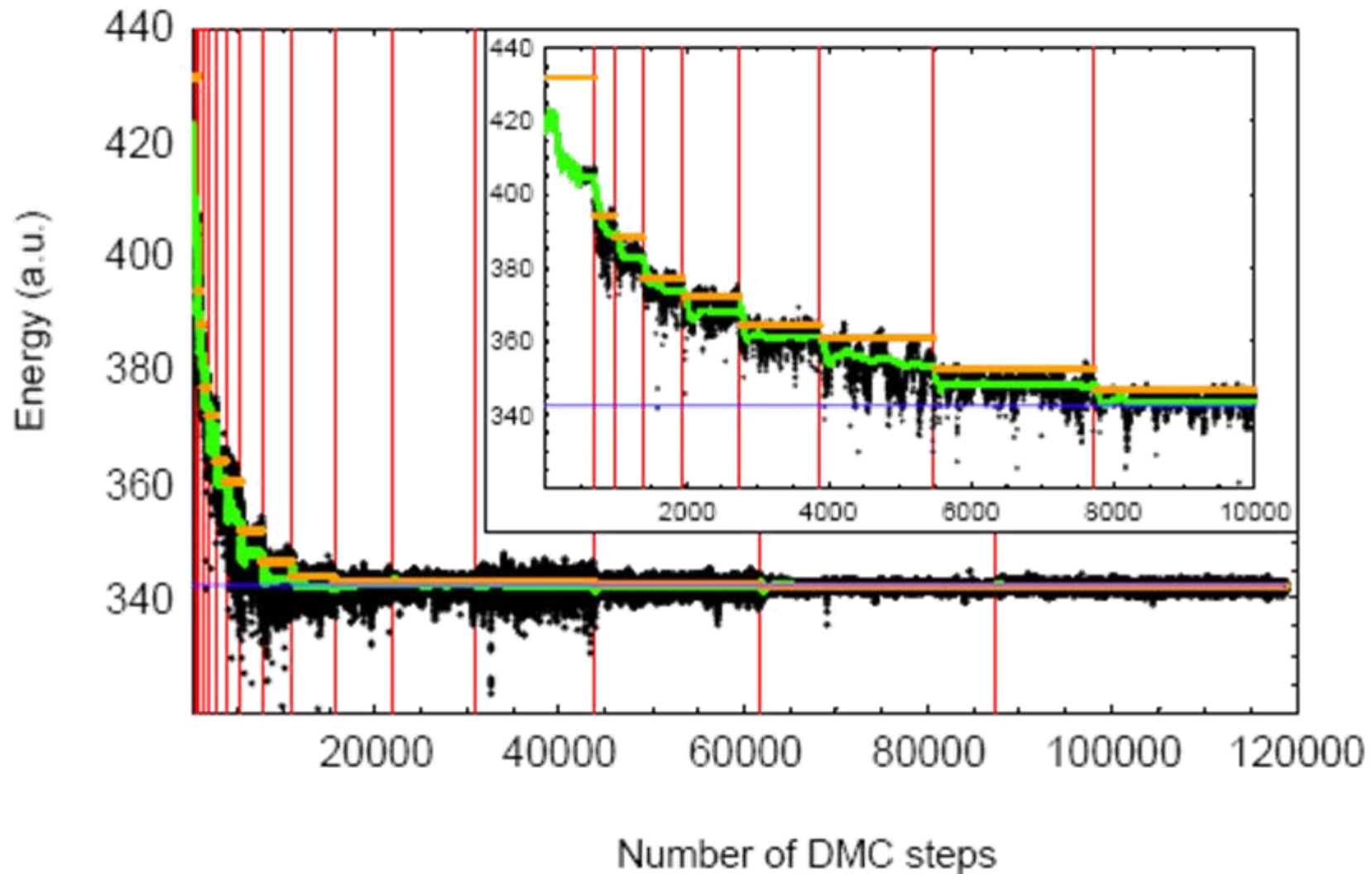
$a_n$  (full CI coefficients)

The starting trial wave function:  
is **orthogonal to the “exact” CI  
ground state**

The standard DMC algorithm must fail  
with this trial wave-function choice

$$e^{-J(\mathbf{R})} = 1$$

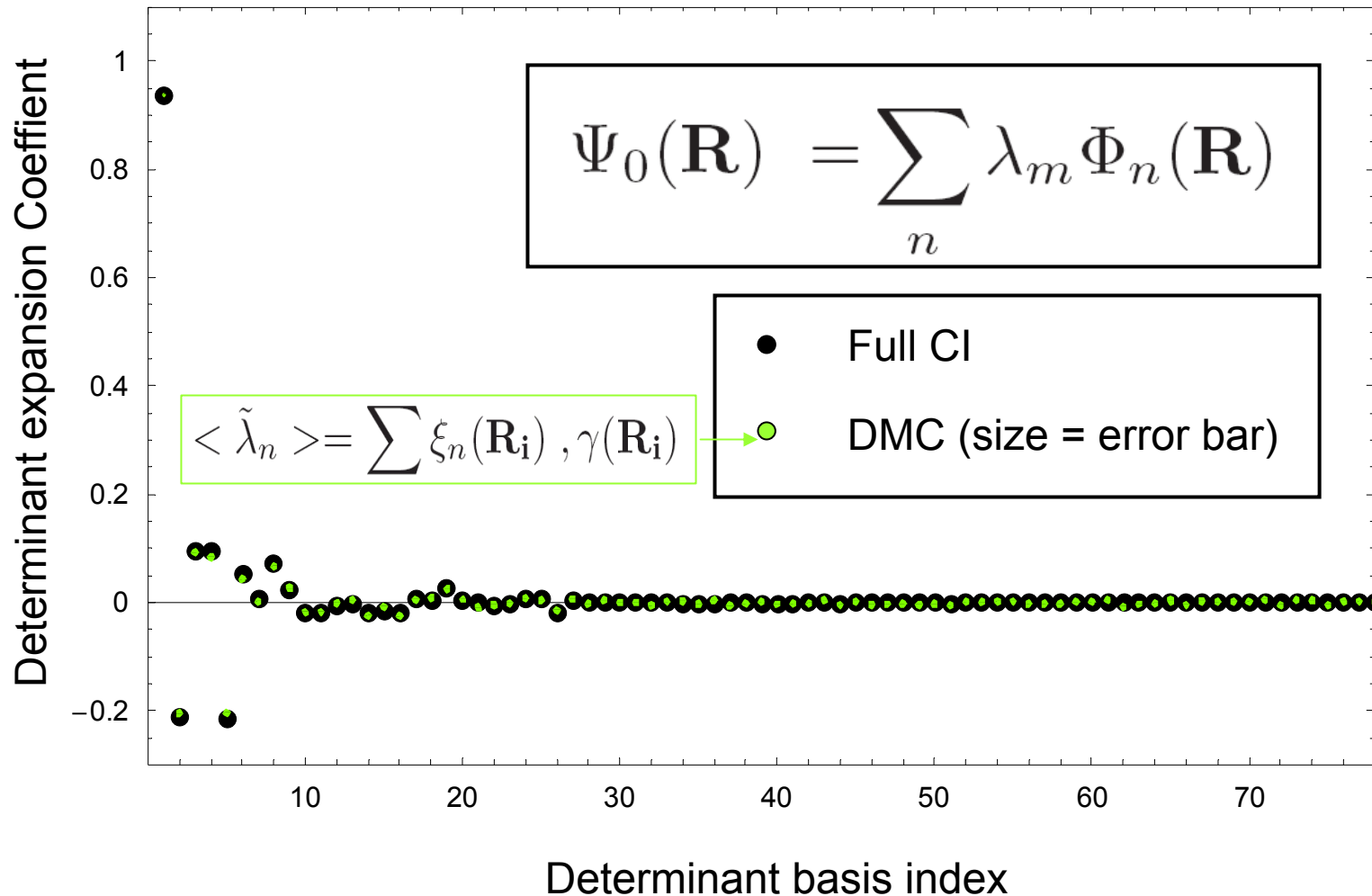
# A Simple Self-Healing DMC Algorithm: Results



The DMC energy converges to the full CI even starting from the worst trial wavefunction

# A Simple Self-Healing DMC Algorithm: Results

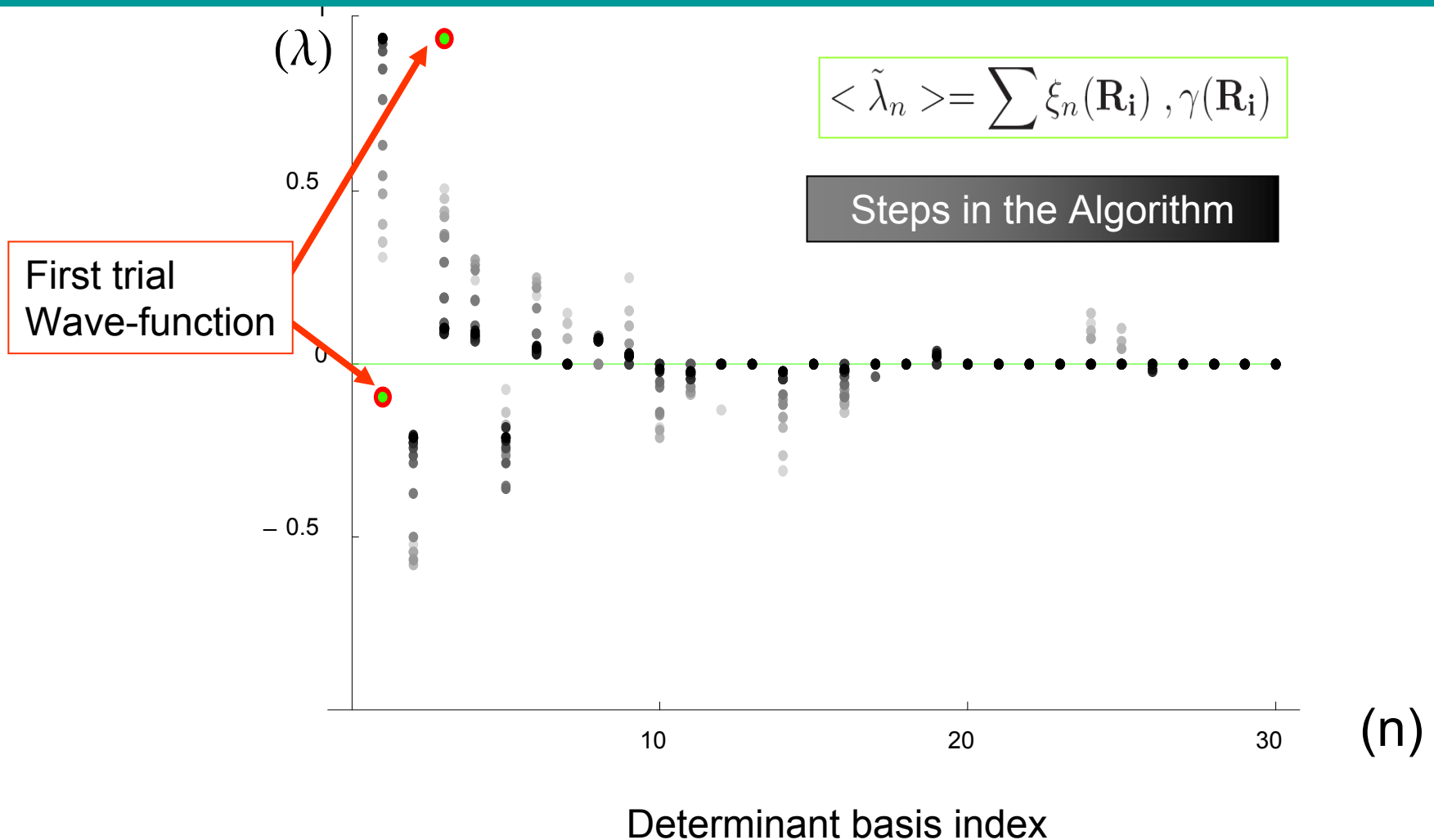
## Expansion of the Ground-State Wave-Function



An expansion of the Ground-State Wave-Function can be obtained from DMC with full CI quality

# A Simple Self-Healing DMC Algorithm: Results

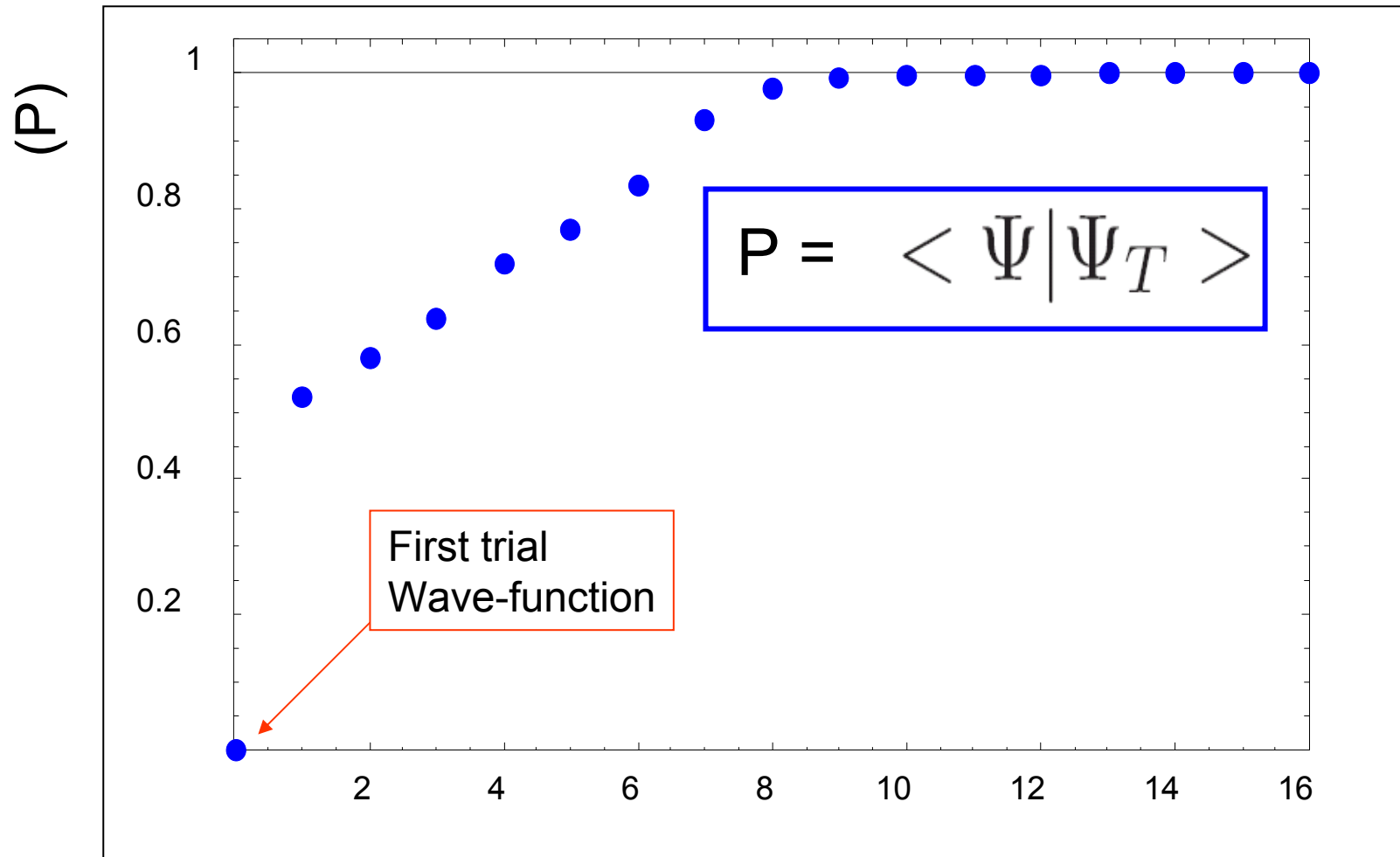
## Expansion of the Ground-State Wave-Function (time evolution)



Systematic convergence of the wave-function towards the CI solution

# A Self-Healing DMC Simple Algorithm: **Results**

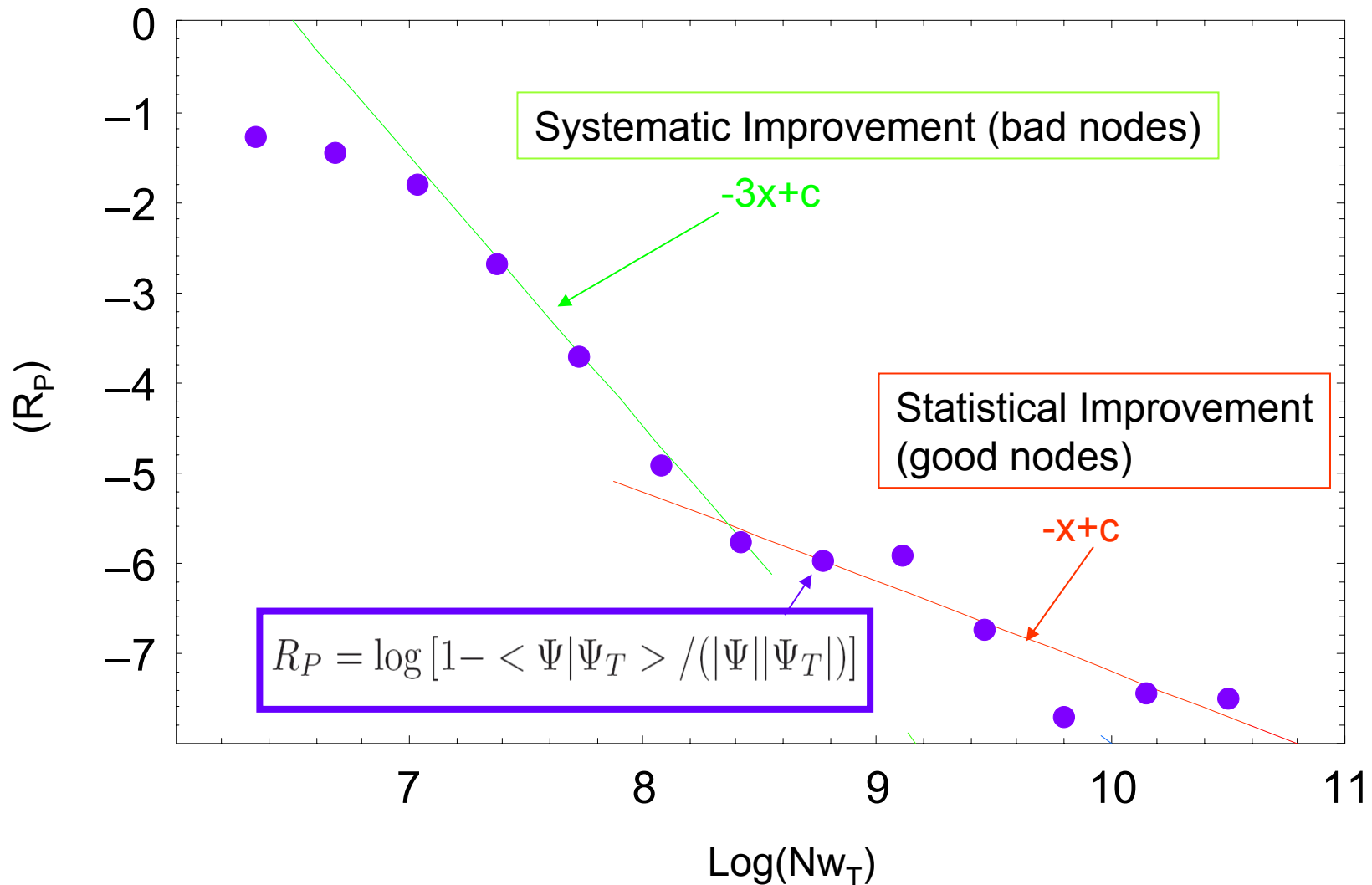
Projection of the SH-DMC WF on the CI Ground-State WF



Number of DMC steps  $[(2 \log(2) \log(N_{\text{DMC}}/200))]$

# A Self-Healing DMC Simple Algorithm: Results

## Projection of the SH-DMC WF on the CI Ground-State WF

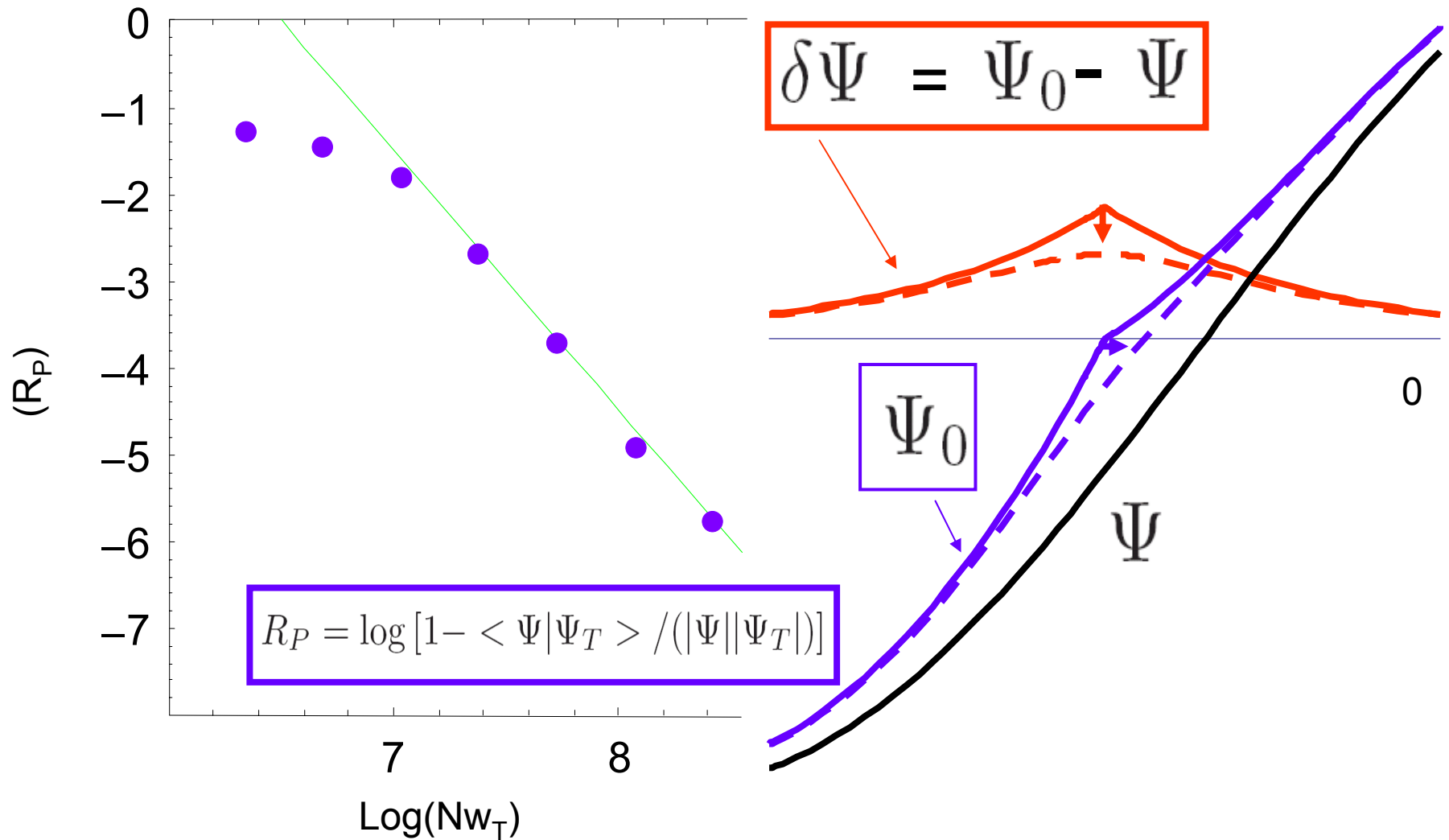


We observe first a fast improvement followed by a slow improvement regime



# A Self-Healing DMC Simple Algorithm: Results

Why does it work?



Truncation of high energy components moves the nodes in right direction

# A Self-Healing DMC Simple Algorithm: Results

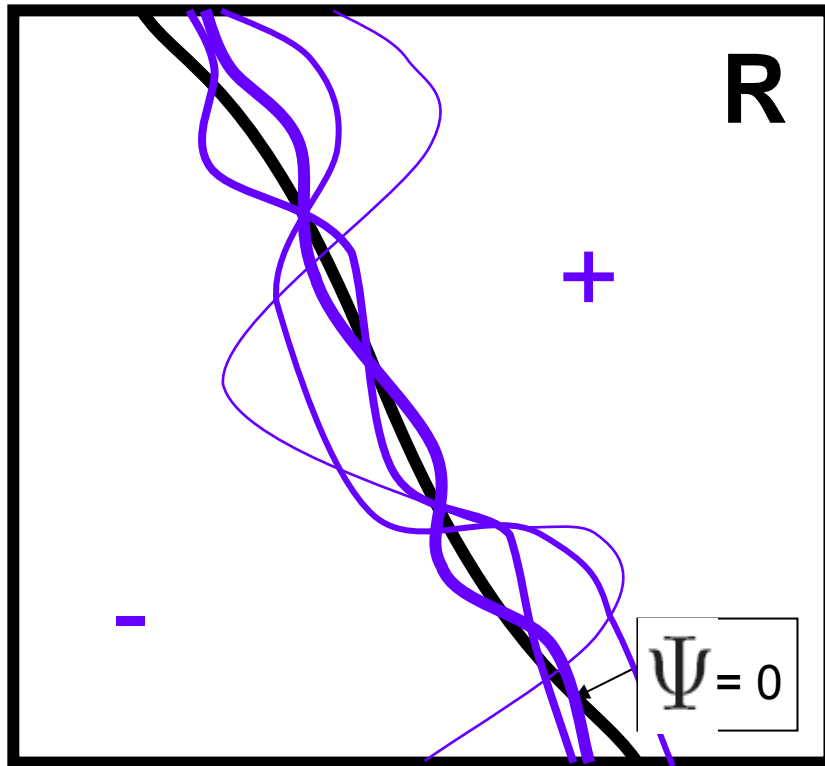
Why does it work?

- 1)  $\Psi_0(\mathbf{R}) = e^{-J(\mathbf{R})} \sum_n \lambda_n \Phi_n(\mathbf{R})$   $\int d\mathbf{R} \Phi_n(\mathbf{R}) \Phi_m(\mathbf{R}) = \delta_{n,m}$   
 $\Phi_n(\mathbf{R})$  Are non-interacting eigen-functions:  
 $\Rightarrow$  **increasing kinetic energy**
- 2)  $\lambda_n = 0$  if  $|\langle \tilde{\lambda}_n \rangle| < \frac{\langle \tilde{\sigma}_n \rangle}{\sqrt{N_c - 1}} \times 4$   
else  $\lambda_n = \langle \hat{\lambda}_n \rangle$
- 3) We set  $\Psi_T(\mathbf{R}) = \Psi_0(\mathbf{R})$

Truncation of poorly resolved high energy components  
moves the nodes in right direction

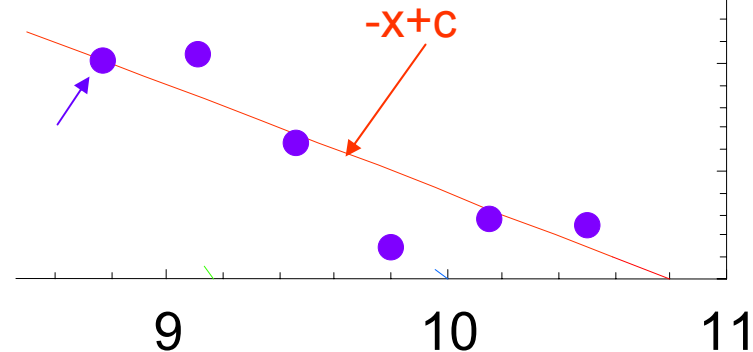
# A Self-Healing DMC Simple Algorithm: Results

Why does it work?



$$R_P = \log [1 - \langle \Psi | \Psi_T \rangle / (|\Psi| |\Psi_T|)]$$

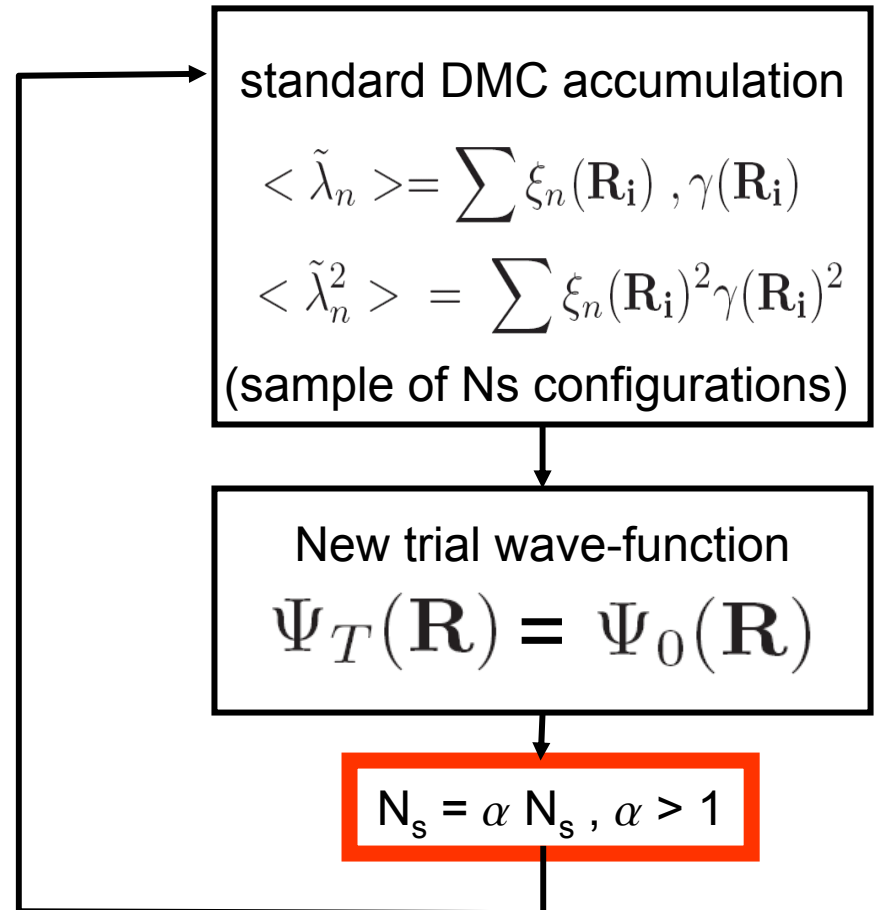
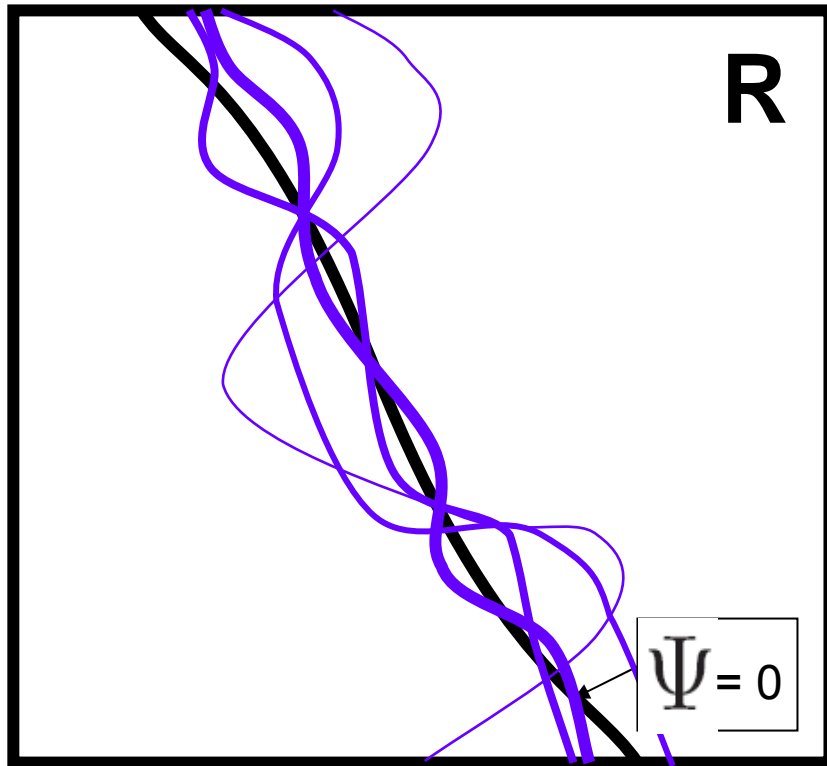
Statistical Improvement  
(good nodes)



Noise in the coefficients plays the role of a temperature in a simulated annealing approach. Good fluctuations are reinforced bad ones are abandoned.

# A Self-Healing DMC Simple Algorithm: Results

Why does it work?



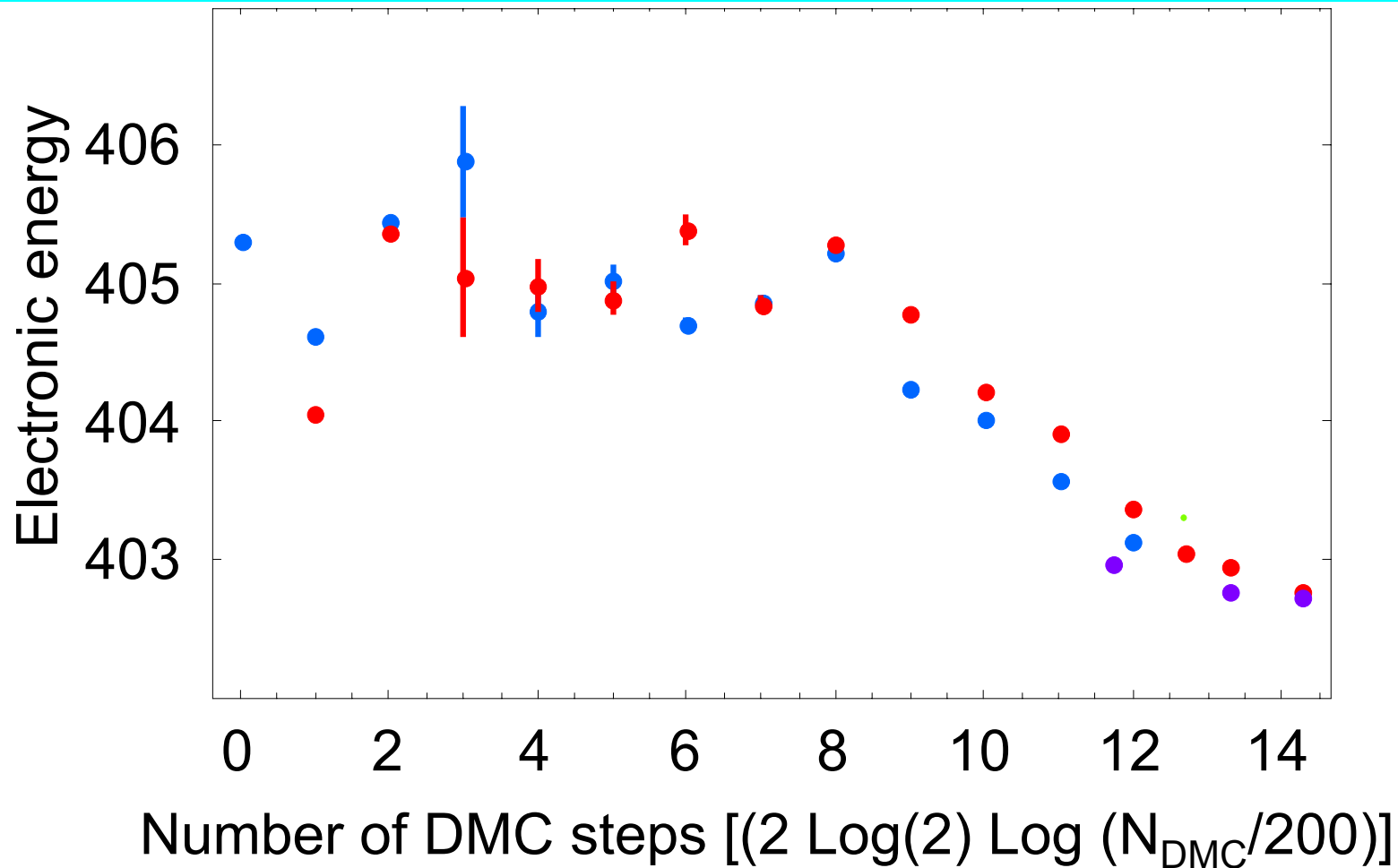
Noise in the coefficients plays the role of a temperature in a simulated annealing approach. Good fluctuations are reinforced bad ones are abandoned.

# A Self-Healing DMC Simple Algorithm: **Results**

Case (2) Coulomb interaction

$$V = 20 \pi^2 \frac{1}{\sqrt{(x-x')^2 + (y-y')^2}}$$

Expansion of the Ground-State Wave-Function



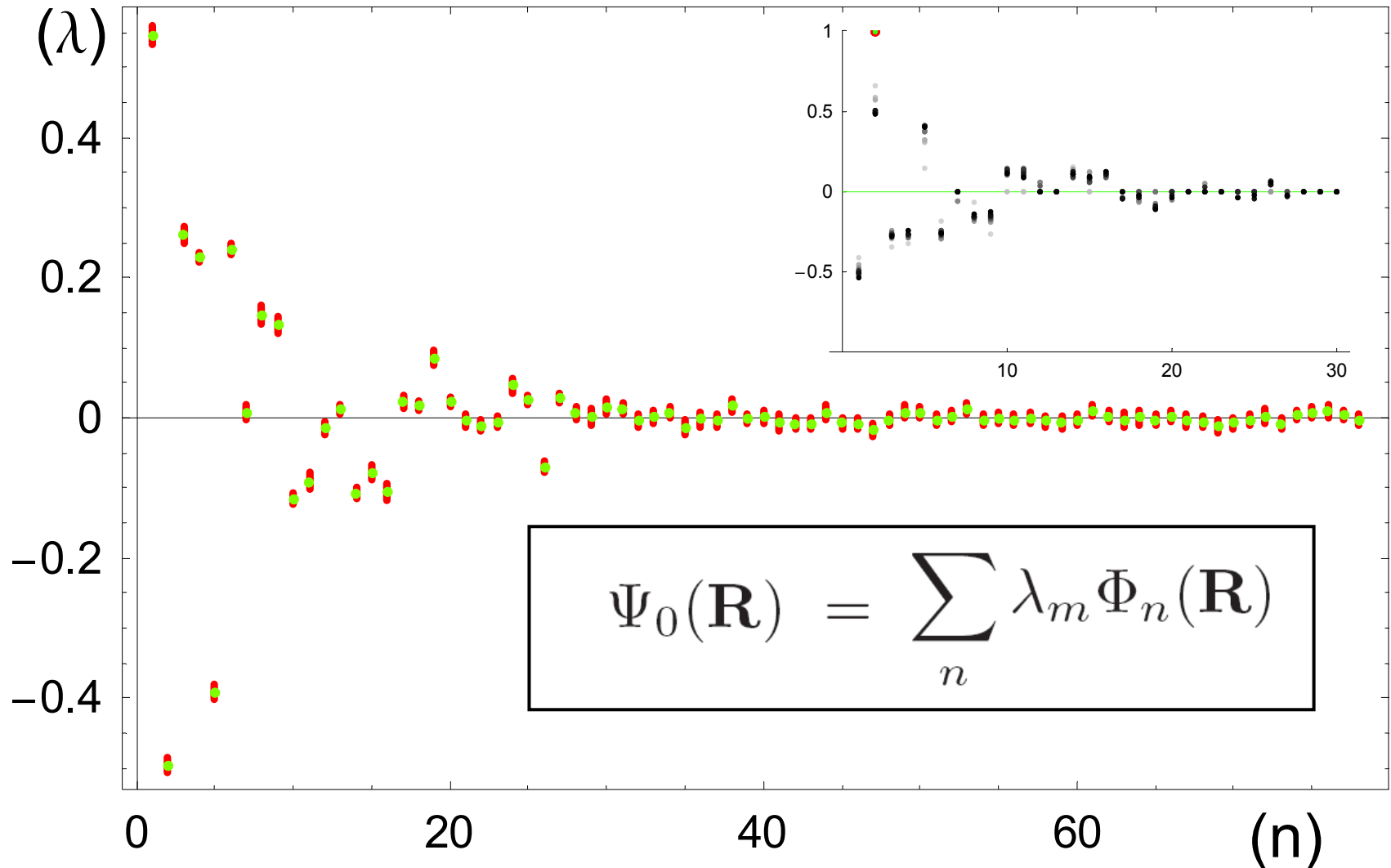
We obtain a systematic reduction of the energy (beyond error bar)

# A Self-Healing DMC Simple Algorithm: **Results**

Case (2) Coulomb interaction

$$V = 20 \pi^2 \frac{1}{\sqrt{(x-x')^2 + (y-y')^2}}$$

Expansion of the Ground-State Wave-Function



# A Self-Healing DMC Simple Algorithm: Results

Case (2) Coulomb interaction

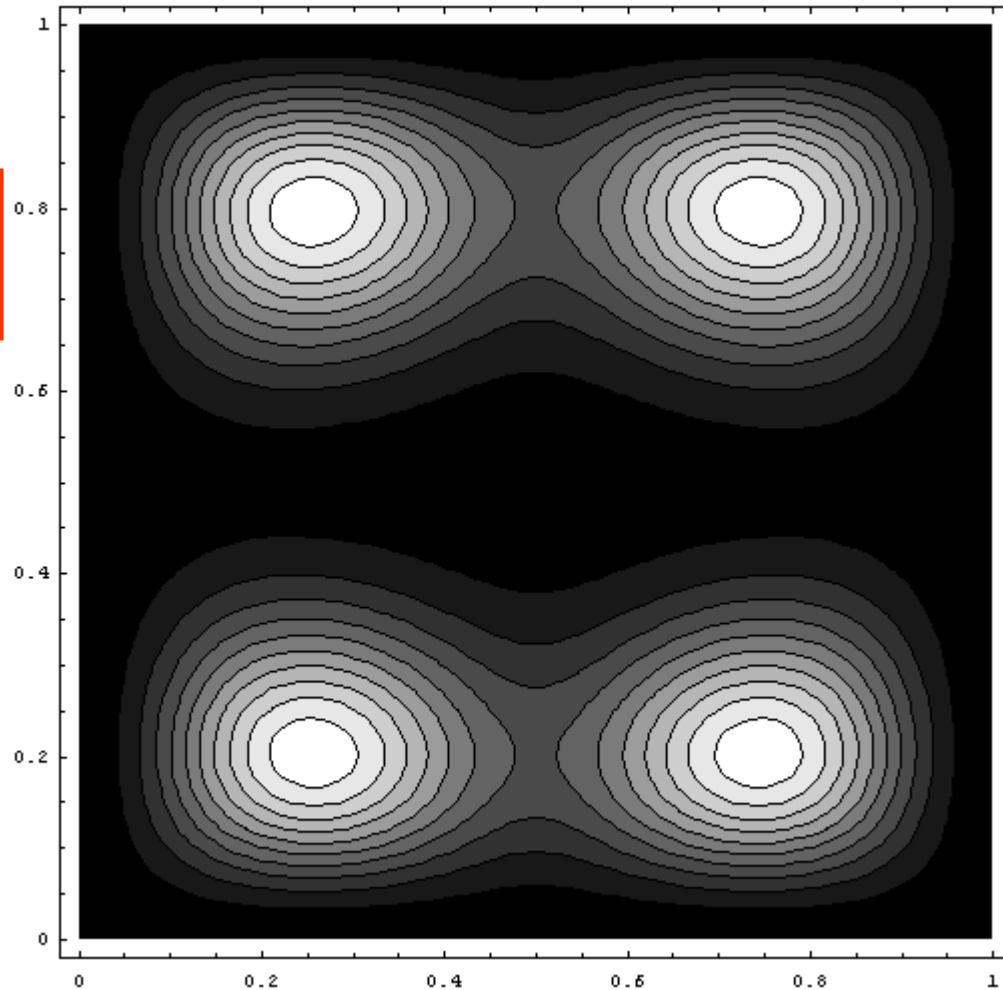
$$V = 20 \pi^2 \frac{1}{\sqrt{(x-x')^2 + (y-y')^2}}$$

Interacting Ground-State: Electronic Density

$$e^{-J(\mathbf{R})} = 1$$

$$\rho(\mathbf{r}) = \sum_{n,\nu} \phi_n(\mathbf{r}) \phi_\nu(\mathbf{r}) \sum_{k,l} \lambda_k \lambda_l \langle \Phi_k | c_n^\dagger c_\nu | \Phi_l \rangle$$

Initial trial wave function density  $\longrightarrow$



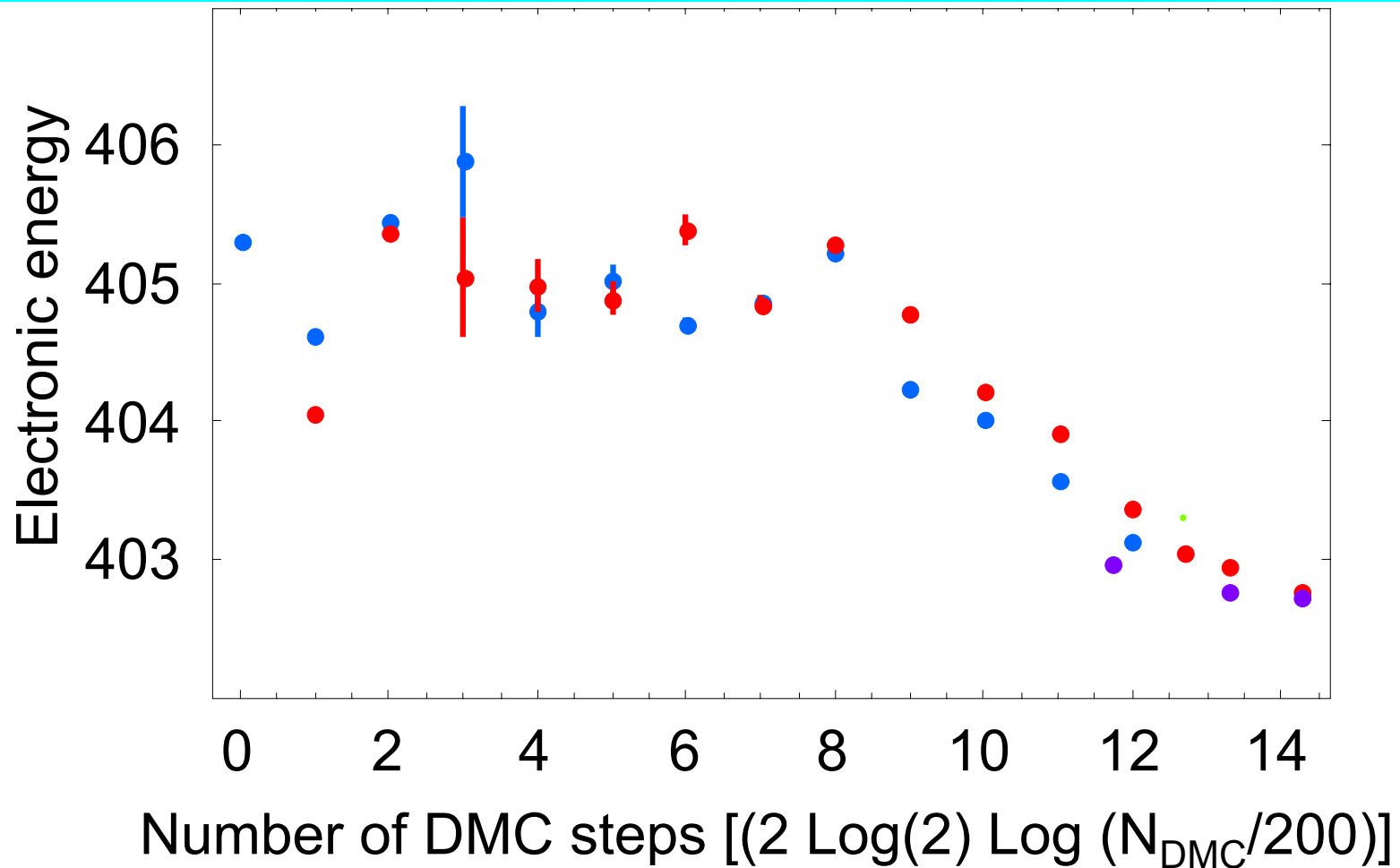
With the ground state wave function we can evaluate any observable

# A Self-Healing DMC Simple Algorithm: **Results**

Case (2) Coulomb interaction

$$V = 20 \pi^2 \frac{1}{\sqrt{(x-x')^2 + (y-y')^2}}$$

Expansion of the Ground-State Wave-Function



We obtain a systematic reduction of the energy (beyond error bar)



# A Self-Healing DMC Simple Algorithm: Results

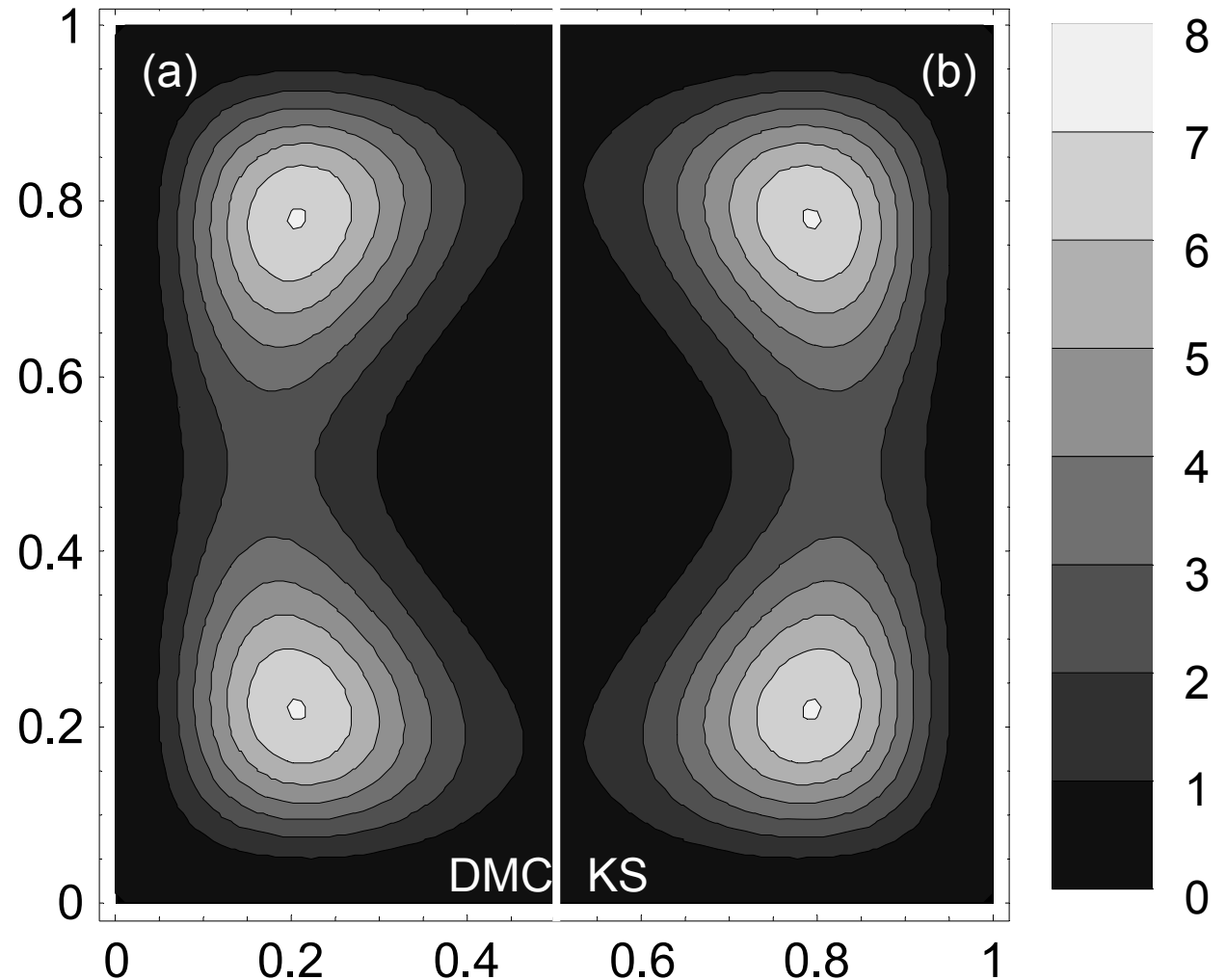
Case (2) Coulomb interaction

$$V = 20 \pi^2 \frac{1}{\sqrt{(x-x')^2 + (y-y')^2}}$$

Non-Interacting Kohn-Sham Electronic Density

Reboredo & Kent  
PRB 245110 (2008)

$$K_\rho = \frac{1}{2} \int d\mathbf{r} [\bar{\rho}(\mathbf{r}) - \rho(\mathbf{r})]^2$$



# A Self-Healing DMC Simple Algorithm: Results

Case (2) Coulomb interaction

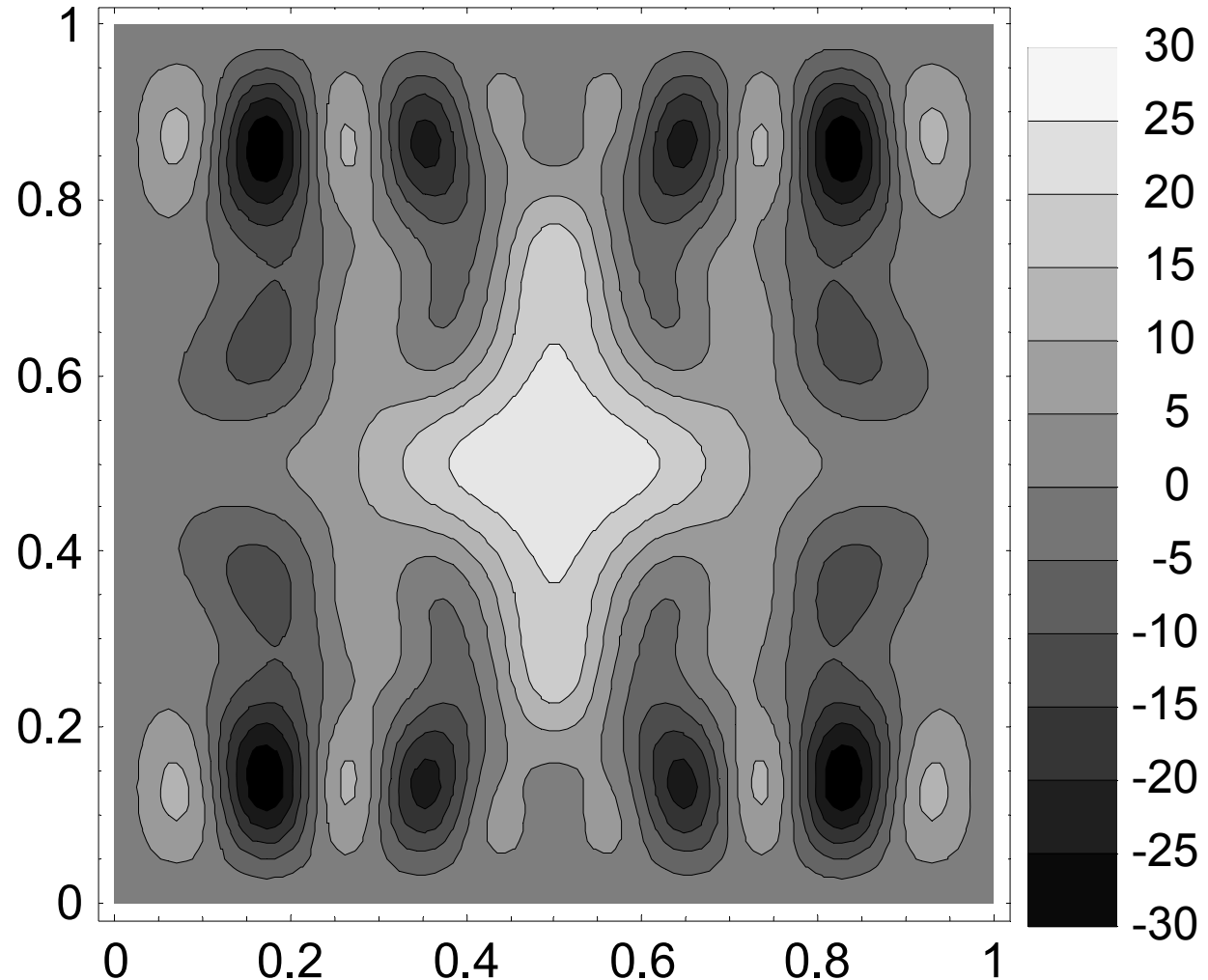
$$V = 20 \pi^2 \frac{1}{\sqrt{(x-x')^2 + (y-y')^2}}$$

## Kohn-Sham Potential

Reboredo & Kent  
PRB 245110 (2008)

$$K_\rho = \frac{1}{2} \int d\mathbf{r} [\bar{\rho}(\mathbf{r}) - \rho(\mathbf{r})]^2$$

$$\Delta \bar{V}_{K_\rho}(\mathbf{r}) = \int d\mathbf{r}' [\rho(\mathbf{r}') - \bar{\rho}(\mathbf{r}')] \frac{\delta V(\mathbf{r}')}{\delta \rho(\mathbf{r})}$$



# Summary

- The fixed node ground state wave function can be obtained directly from the walker distribution
- An iterative algorithm based on the update of the trial wave function leads to a systematic reduction of nodal errors
- The algorithm takes advantage of truncation and statistical errors to improve the trial wave function
- The Kohn-Sham potential can be obtained directly from a SH-DMC run
- Tests in larger systems are in progress