Quantum Monte Carlo Study of the Two-Dimensional Homogeneous Electron Gas

Neil D. Drummond and Richard J. Needs

TCM Group, Cavendish Laboratory, University of Cambridge

Quantum Monte Carlo in the Apuan Alps IV TTI, Vallico Sotto, Italy

Tuesday 29th July, 2008

Two-Dimensional Homogeneous Electron Gas (I)

- 2D HEG: set of electrons moving in 2D in a uniform, inert, neutralising background.
- Hamiltonian (for finite system):

$$\hat{H} = \sum_{i} -\frac{1}{2}\nabla_i^2 + \sum_{j>i} v_E(\mathbf{r}_{ij}) + \frac{Nv_M}{2}.$$

Infinite-system GS energy per particle depends only on the **density** (specified by radius r_s of circle containing one electron on average) and **spin polarisation** [$\zeta = (N_{\uparrow} - N_{\downarrow})/N$].

- Physical realisations:
 - Electrons on metal surfaces. E.g. Cu [111].
 - *Electrons on droplets of liquid He.* Held in place by image charges
 - Inversion layers in MOS devices. Can easily tune density. Electrons far from dopants; fewer complications due to disorder; technologically important.

Two-Dimensional Homogeneous Electron Gas (II)

- HEG is simplest fully interacting quantum many-body system.
- QMC is the only accurate method available for studying its ground-state properties.
- We have carried out QMC studies of the 2D HEG:
 - 1. We have calculated the zero-temperature phase diagram.¹
 - 2. We have calculated the PCF, structure factor and momentum distribution.²
 - 3. We are currently calculating the single-particle energy band and hence quasiparticle effective mass.
- Our data will hopefully be of interest to
 - Experimentalists looking for ferromagnetism and Wigner crystallisation in lowdensity 2D HEGs.
 - Theorists interested in constructing 2D XC functionals for DFT calculations.

¹ N. D. Drummond and R. J. Needs, submitted to Phys. Rev. Lett. (2008).

 $^{^2}$ N. D. Drummond and R. J. Needs, submitted to Phys. Rev. B (2008).

Wigner Crystallisation in 2D (I)

- Kinetic energy dominates at high density: form Fermi fluid to minimise it.
- Potential energy dominates at low density: form Wigner crystal to minimise it.
- Wigner crystals have been observed on the surface of liquid helium³ and in inversion layers in MOSFET devices⁴.
- 2D Wigner crystals could be of use in quantum computing devices.⁵
- Previous QMC studies⁶ indicate that fluid–crystal transition occurs somewhere between $r_s = 25$ and 40 a.u.
- Can we be more precise?

⁶ B. Tanatar & D. M. Ceperley, Phys. Rev. B **39**, 5005 (1989); F. Rapisarda & G. Senatore, Aust. J. Phys. **49**, 161 (1996).

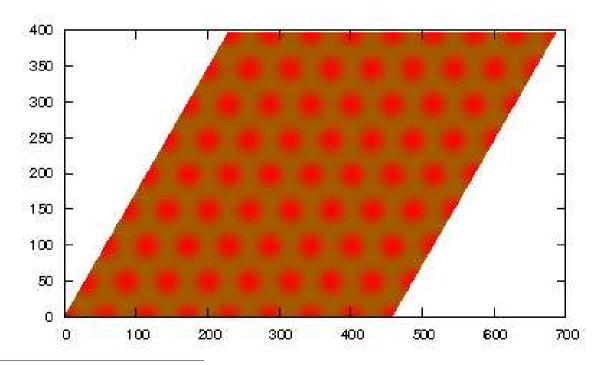
³ C. C. Grimes and G. Adams, Phys. Rev. Lett. **42**, 795 (1979).

⁴ E. Y. Andrei *et al.*, Phys. Rev. Lett. **60**, 2765 (1988); R. L. Willett *et al.*, Phys. Rev. B **38**, 7881 (1988).

⁵ P. M. Platzman & M. I. Dykman, Science **284**, 1967 (1999); P. Glasson *et al.*, Phys. Rev. Lett. **87**, 176802 (2001).

Wigner Crystallisation in 2D (II)

- Triangular lattice has lowest Madelung constant. Wins at low density.
- Hartree–Fock theory⁷: antiferromagnetic square lattice \rightarrow ferromagnetic triangular lattice at $r_s = 2.6$ a.u.
- We consider only triangular lattices.



⁷ J. R. Trail, M. D. Towler and R. J. Needs, Phys. Rev. B **68**, 045107 (2003).

Magnetic Behaviour of the Fermi Fluid

- Bloch transition: para. fluid favoured at high density (want to minimise KE); ferro. fluid favoured at low density (keep electrons apart to minimise XC energy).
- Hartree–Fock theory: Bloch transition at $r_s = 2.01$ a.u. No region of stability for ferromagnetic fluid.
- VMC⁸: Bloch transition at $r_s = 13(2)$ a.u.; crystallisation at $r_s = 33(2)$ a.u.
- DMC⁹: Bloch and crystallisation transitions at $r_s = 37(5)$ a.u.
- DMC¹⁰: Bloch transition at $r_s = 20(2)$ a.u. and crystallisation at $r_s = 34(4)$ a.u.
- Experiment¹¹: "Possible evidence" of ferromagnetism at $r_s = 7.6$ a.u.

¹⁰ F. Rapisarda and G. Senatore, Aust. J. Phys. **49**, 161 (1996).

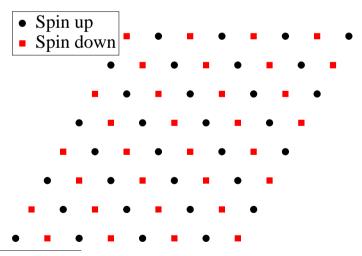
⁸ D. Ceperley, Phys. Rev. B **18**, 3126 (1978).

⁹ B. Tanatar and D. M. Ceperley, Phys. Rev. B **39**, 5005 (1989).

¹¹ A. Ghosh, C. J. B. Ford, M. Pepper, H. E. Beere and D. A. Ritchie, Phys. Rev. Lett. **92**, 116601 (2004).

Magnetic Behaviour of the Wigner Crystal

- Hartree-Fock theory¹²: ferromagnetic for $r_s > 2.6$ a.u.
- Multispin exchange model¹³: frustrated antiferromagnetism (spin liquid) \rightarrow ferromagnetism at $r_s = 175(10)$ a.u.
- We have studied both ferromagnetic and antiferromagnetic triangular crystals.
- We have used striped antiferromagnetic crystals. Energy should be close to that of the spin liquid.



¹² J. R. Trail, M. D. Towler and R. J. Needs, Phys. Rev. B 68, 045107 (2003).
 ¹³ B. Bernu, L. Candido and D. M. Ceperley, Phys. Rev. Lett. 86, 873 (2001).

Fermi Fluid: PBC, TBC and TABC

• Orbitals for Fermi fluid:

$$\phi_{\mathbf{k}}(\mathbf{r}) = \exp(i\mathbf{k}\cdot\mathbf{r}).$$

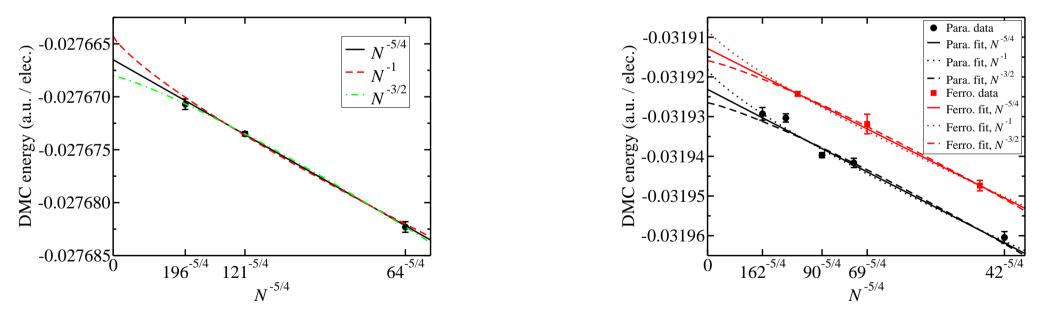
- Periodic boundary conditions: $\{k\}$ are simulation-cell $G\mbox{-vectors}.$
- Single-particle finite-size effects: Increase N at fixed density; grid of G-vectors gets finer; energy per electron jumps as shells of G vectors pass through Fermi line.
- Twisted boundary conditions: k are simulation-cell G vectors offset by $k_s \in 1$ st BZ of simulation cell.
- Twist averaging: average over all \mathbf{k}_s . Replaces grid of \mathbf{k} by a Fermi area (equal to area of Fermi circle), greatly reducing single-particle finite-size effects. Shape of Fermi line isn't quite right: gives negligibly small positive bias to KE.
- Previous QMC studies of 2D HEG have not used twist averaging.

Long-Ranged Finite-Size Errors

• Compression of XC hole and neglect of long-ranged two-body correlations in finite cell give error in 2D energy per electron going as $\mathcal{O}(N^{-5/4})$.¹⁴ Extrapolate using:

$$E_N = E_\infty - bN^{-5/4}.$$

• Previous QMC studies have used $N^{-3/2}$ for crystals and N^{-1} for fluid.



Left: crystal extrapolation at $r_s = 35$ a.u.; right: fluid extrapolation at $r_s = 30$ a.u. ¹⁴ N. D. Drummond, R. J. Needs, A. Sorouri and W. M. C. Foulkes, submitted to Phys. Rev. B.

Backflow Transformation

- Evaluate Slater wave function at quasiparticle coordinates related to actual electron coordinates by electron-electron backflow functions.¹⁵
- Moves nodal surface of wave function; can improve the fixed-node DMC energy.
- BF is more significant in fluids than crystals, where electrons are already kept apart by localisation on lattice sites.
- Parallel spins are already kept away from each other by wave-function antisymmetry. BF is much less important in ferromagnetic systems.

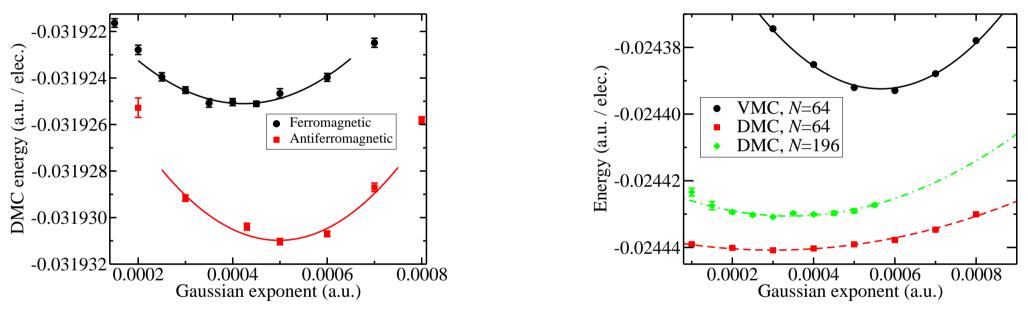
System ($r_s = 30$ a.u.)	Lowering of energy due to BF (μ Ha / elec.)
Paramagnetic fluid	36(3)
Ferromagnetic fluid	1.5(4)
Antiferromagnetic crystal	6(1)
Ferromagnetic crystal	1.0(4)

¹⁵ P. López Ríos, A. Ma, N. D. Drummond, M. D. Towler and R. J. Needs, Phys. Rev. E **74**, 066701 (2006).

Optimisation of Crystal Orbitals

Crystal orbitals: $\phi_{\mathbf{R}}(\mathbf{r}) = \exp(-C|\mathbf{r} - \mathbf{R}|^2).$

Only orbital parameter affecting crystal nodal surface: Gaussian exponent C. Minimise DMC energy w.r.t. C to minimise fixed-node error.



DMC energy against C at $r_s = 30$ a.u. (left) and $r_s = 40$ a.u. (right) (ferro. crystals).

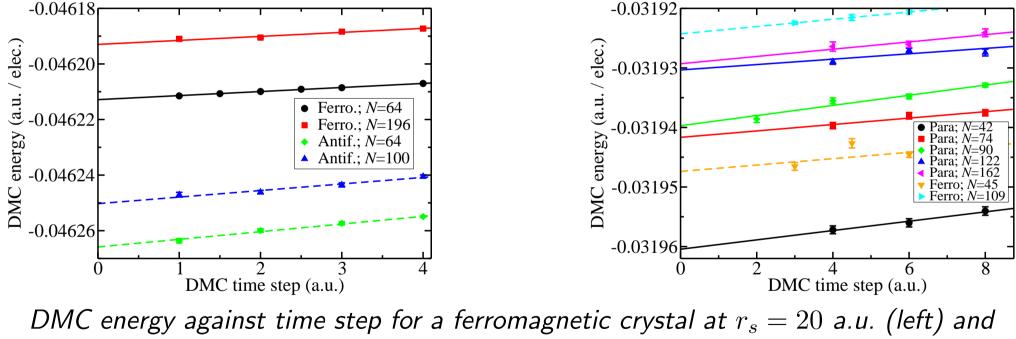
Ferromagnetic crystals: optimal exponent is $C_{\text{DMC}}^{\text{F}} = 0.071 r_s^{-3/2}$. (CF, VMC exponent is $C_{\text{VMC}}^{\text{F}} = 0.15 r_s^{-3/2}$ and HF exponent is $C_{\text{HF}}^{\text{F}} = 0.46 r_s^{-3/2}$.) Antiferromagnetic crystals: optimal exponent is $C_{\text{DMC}}^{\text{AF}} = 0.082 r_s^{-3/2}$.

Time-Step and Population-Control Biases

Population-control bias is bad at low density.¹⁶

Use about 1600 configurations to make population-control bias negligible.

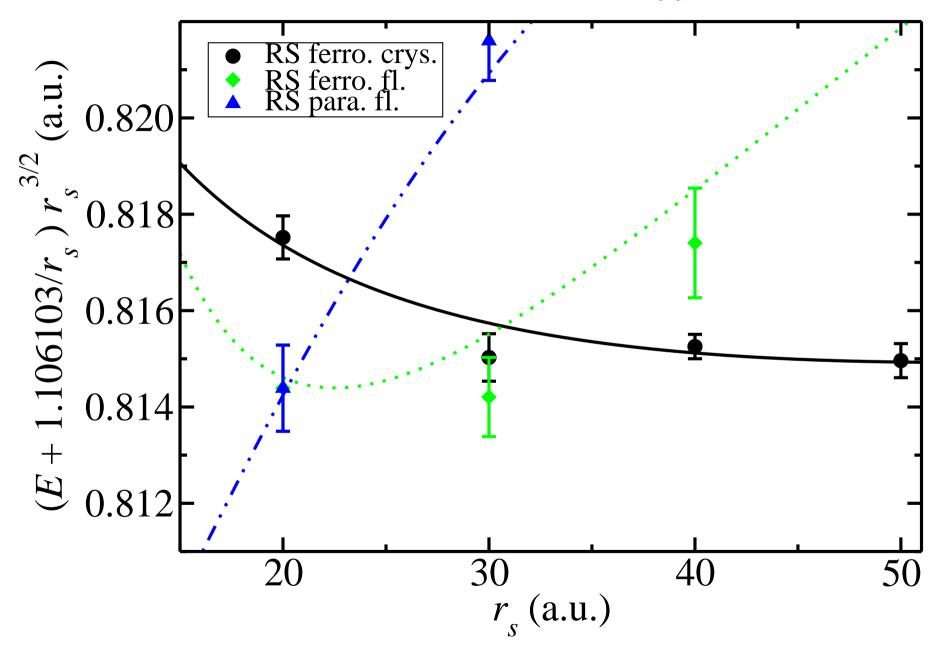
Time-step bias is linear; extrapolate DMC energies to zero time step.



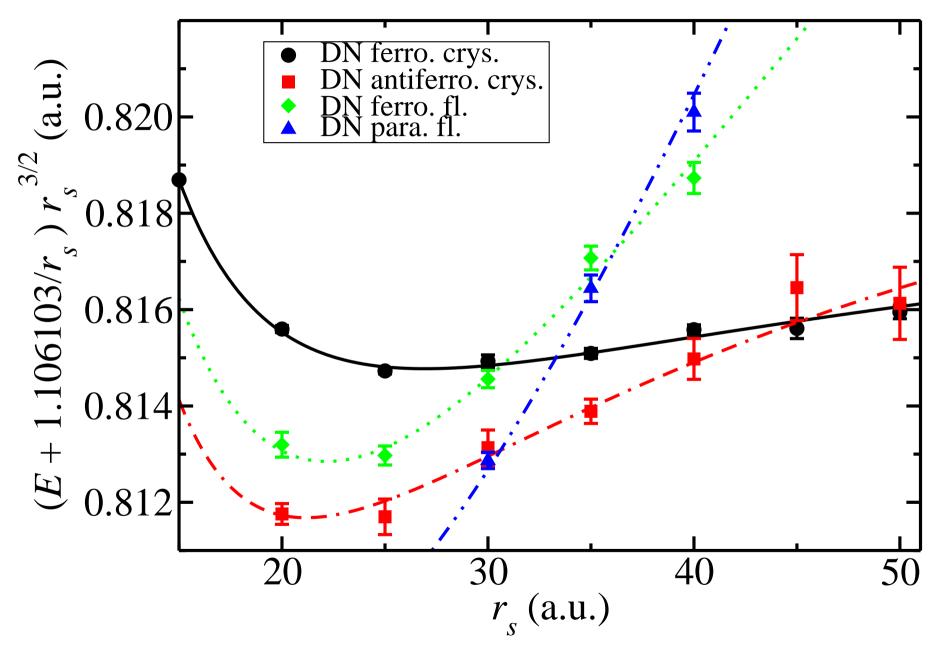
fluid at $r_s = 30$ a.u. (right).

¹⁶ N. D. Drummond, Z. Radnai, J. R. Trail, M. D. Towler and R. J. Needs, Phys. Rev. B **69**, 085116 (2004).

2D HEG Energy Diagram (I)



2D HEG Energy Diagram (I)



2D HEG Energy Diagram (II)

- Fully polarised fluid is never stable.
- Wigner crystallisation occurs at $r_s = 30 \pm 1$ a.u. Transition is from a **paramagnetic** fluid to an antiferromagnetic Wigner crystal.
- Further transition: **antiferromagnetic** \rightarrow **ferromagnetic** crystal at $r_s = 45 \pm 5$ a.u.
- At $r_s = 35$ a.u., the energy of a fluid with $\zeta = 2/5$ agrees with the paramagnetic and ferromagnetic fluid energies. Very unlikely that a region of stability for a partially polarised fluid exists.
- Phase transitions in 2D HEG cannot be first order.¹⁷
- It's energetically favourable to form boundaries between macroscopically separated phases, so a "microemulsion" is formed at crystallisation density.
- New phases could "round off corners" in energy diagram.

¹⁷ B. Spivak and S. A. Kivelson, Phys. Rev. B **70**, 155114 (2004); R. Jamei *et al.*, Phys. Rev. Lett. **94**, 056805 (2005).

Hybrid Phases (I)

- It's been suggested that there exist hybrid phases that are neither fluid nor crystal¹⁸.
 Orbitals are long-ranged Wannier functions. (From limit that band-gap closes.)
- Have tried using orbitals of the form

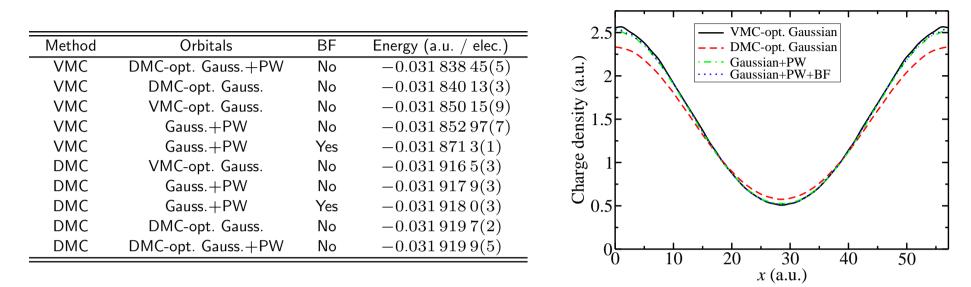
$$\phi_{\mathbf{R}}(\mathbf{r}) = \exp\left(-C|\mathbf{r} - \mathbf{R}|^2\right) + \sum_{S} c_{S} \sum_{\mathbf{G} \in S} \cos[\mathbf{G}.(\mathbf{r} - \mathbf{R})],$$

where C and the c_S are optimisable. S runs over stars of \mathbf{G} vectors. This form of orbital can describe the proposed hybrid phase and the crystal phase, but not the fluid phase (which is a partially filled band).

- Tried variance and energy minimisation, brute force VMC, and brute force DMC optimisation, starting from both $c_P = 0$ and random $\{c_P\}$. Tried fixed and free Gaussian exponent C.
- Restricted ourselves to ferromagnetic phases.

¹⁸ H. Falakshahi and X. Waintal, Phys. Rev. Lett. **94**, 046801 (2005); X. Waintal, Phys. Rev. B **73**, 075417 (2006).

Hybrid Phases (II)



- Can lower VMC energy slightly with hybrid wave function.
- Doesn't change charge density (optimising exponent in DMC has a greater effect).
- Doesn't lower DMC energy as much as optimising Gaussian exponent within DMC.
- Suggests we aren't really finding a new phase.

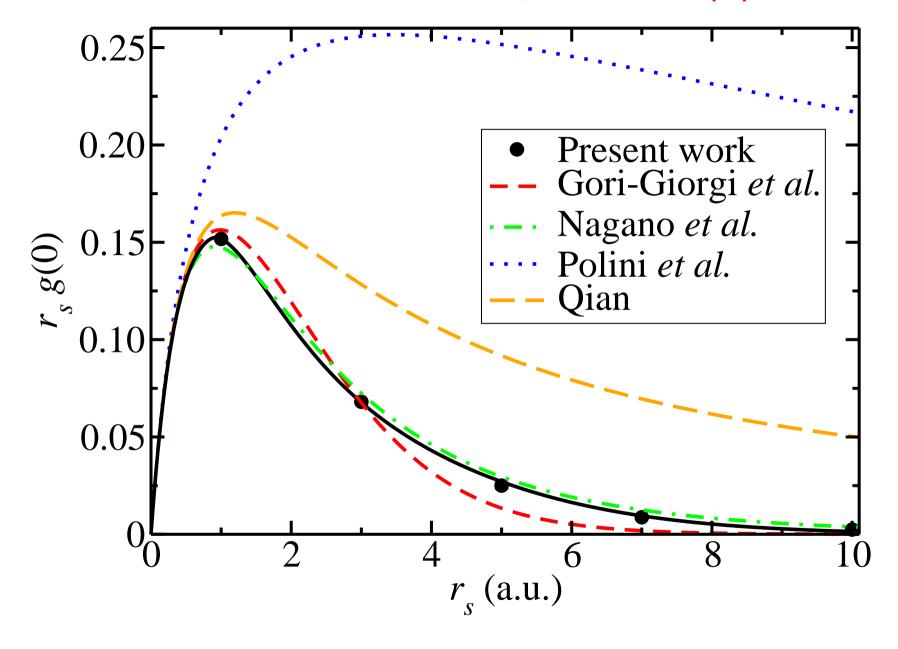
Contact PCF of Paramagnetic Fluid (I)

- g(0) is an important parameter in construction of GGA XC functionals.
- Most theoretical calculations of g(0) have used *ladder theory* to solve approximately the Bethe–Goldstone equation for the effective interaction between two electrons. Exact in high-density limit, but not at low densities.
- Disagreement between old approximation¹⁹ in ladder theory and a better approximation,²⁰ and between the better approximation in ladder theory and QMC.²¹ Which is right?
- We evaluate g(r) [including g(0)] by binning interparticle distances. Easier in 2D than 3D. Easier at high density than low density.
- Earlier study used Slater–Jastrow wave function and no twist averaging; ours used Slater–Jastrow–backflow wave functions and twist averaging.
- ¹⁹ S. Nagano, K. S. Singwi, and S. Ohnishi, Phys. Rev. B **29**, 1209 (1984); *Erratum*, Phys. Rev. B **31**, 3166 (1985).

²⁰ Z. Qian, Phys. Rev. B **73**, 035106 (2006).

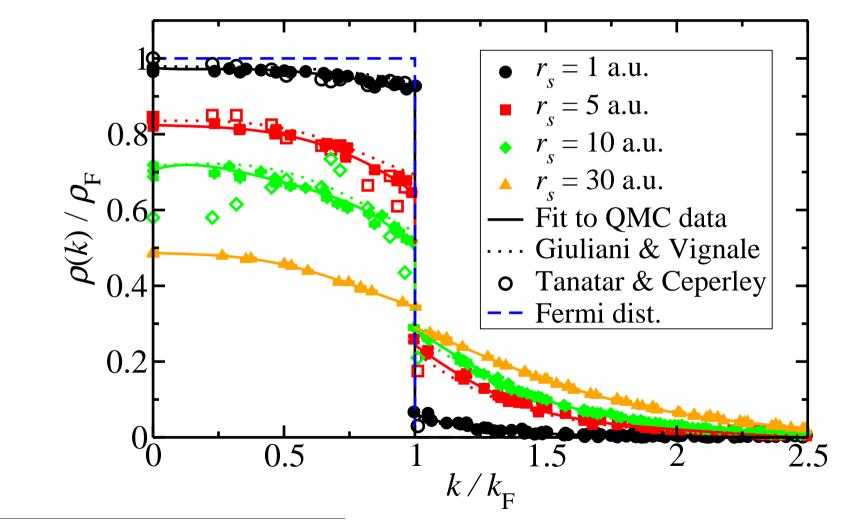
²¹ P. Gori-Giorgi, S. Moroni, and G. B. Bachelet, Phys. Rev. B **70**, 115102 (2004).

Contact PCF of Paramagnetic Fluid (II)



Momentum Density of Paramagnetic Fluid

Discontinuity at Fermi edge is important in Fermi liquid theory. Old QMC data²² shows rise in MD at Fermi edge at low density. Our new data doesn't show this.



²² B. Tanatar and D. M. Ceperley, Phys. Rev. B **39**, 5005 (1989).

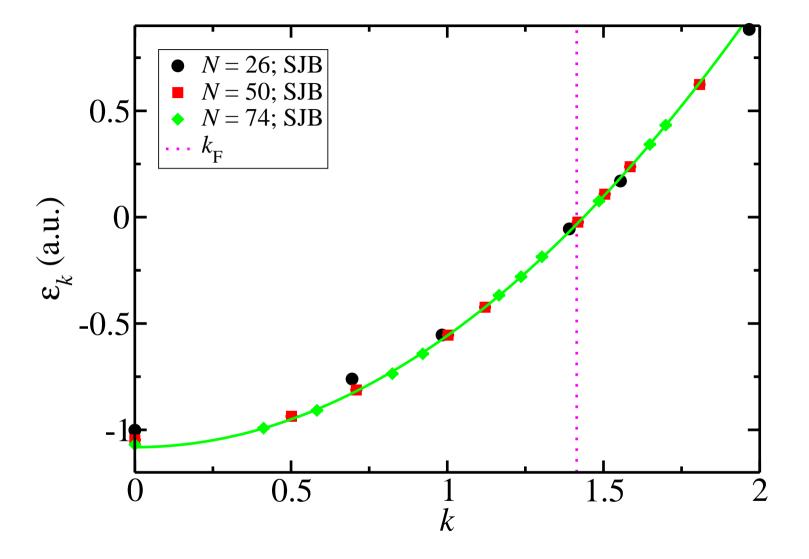
Single-Particle Energy Band (I)

- Single-Particle Energy Band $\mathcal{E}_{\mathbf{k}}$ (in many-body theory):
 - Occupied k: $\mathcal{E}_{\mathbf{k}}$ is the difference between the total closed-shell GS energy and the energy of an (N-1)-electron system with an electron removed from k.
 - Unoccupied k: \mathcal{E}_k is the difference between the energy of the (N + 1)-electron system in which k is occupied and the closed-shell GS energy.
- Fermi liquid theory justifies "single-particle" picture.
- Quasiparticle effective mass: $m^* = k_F / (\partial \mathcal{E}_k / \partial k)_{k_F}$. Most important parameter in Fermi liquid theory. Lots of controversy: GW,²³ QMC²⁴ and experimental results²⁵ are inconsistent.
- DMC SP band is very sensitive to nodal surface of wave function. Less sensitive to DMC time step. Suffers some finite-size effects, although most cancel.

 ²³ G. F.Giuliani and G. Vignale, *Quantum Theory of the Electron Liquid*, Cambridge University Press, Cambridge (2005)
 ²⁴ Y. Kwon, D. M. Ceperley and R. M. Martin, Phys. Rev. B 50, 1684 (1994); Y. Kwon, D. M. Ceperley, and R. M. Martin, Phys. Rev. B 53, 7376 (1996).

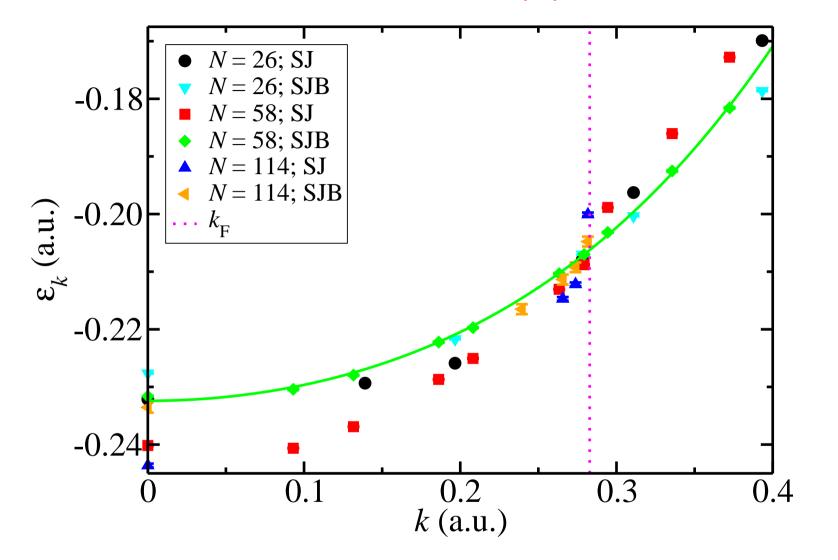
²⁵ V. M. Pudalov *et al.*, Phys. Rev. Lett. **88**, 196404 (2002); J. Zhu *et al.*, Phys. Rev. Lett. **90**, 056805 (2003).

Single-Particle Energy Band (II): $r_s = 1$ a.u.



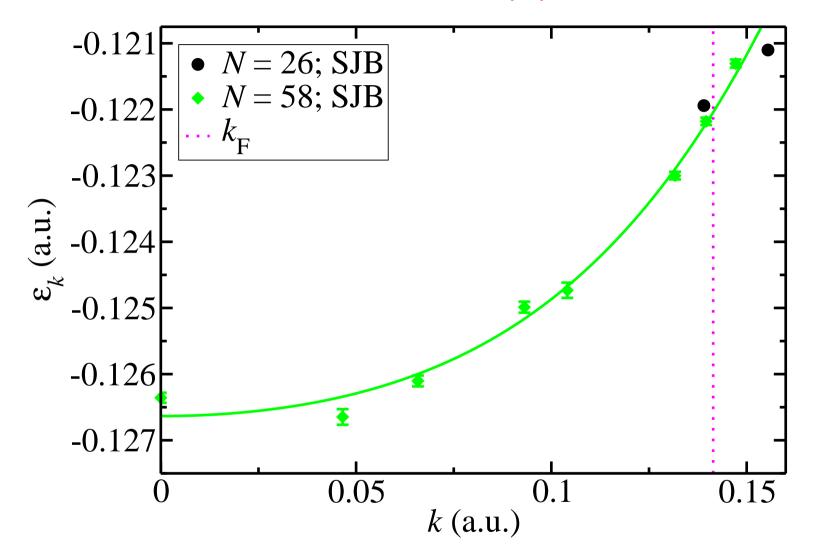
Effective mass (from fit): $m^* = 0.954$ a.u. Fairly good agreement with earlier QMC calculation.

Single-Particle Energy Band (II): $r_s = 5$ a.u.



Effective mass (from fit): $m^* = 1.30$ a.u. Energy band is very sensitive to nodal surface.

Single-Particle Energy Band (II): $r_s = 10$ a.u.



Effective mass (from fit): $m^* = 1.49$ a.u.

Significant increase in effective mass at low density, unlike earlier QMC calculations.

Conclusions

- There is no region of stability for a ferromagnetic Fermi fluid in 2D.
- Wigner crystallisation occurs at $r_s = 30 \pm 1$ a.u. in 2D.
- Crystallisation transition is from a paramagnetic fluid to a (frustrated) antiferromagnetic triangular crystal.
- Transition from an antiferromagnetic to a ferromagnetic crystal at $r_s = 45 \pm 5$ a.u.
- *Have looked for a recently proposed hybrid phase. Didn't find it.* Of course there could be other types of hybrid phase. .
- QMC results for contact PCF change little when wave function is improved. Suggests they are accurate. Disagreement with ladder theory.
- No evidence for rise in MD at Fermi edge, found in old QMC study.
- Calculations of energy band and effective mass are in progress.

Acknowledgements

Financial support was received from Jesus College, Cambridge and the Engineering and Physical Sciences Research Council.





Computing resources were provided by the Cambridge High Performance Computing Service.

